CITY OF RYE

NOTICE

There will be a regular meeting of the City Council of the City of Rye on Wednesday, June 11, 2014, at 7:30 p.m. in Council Chambers at City Hall. *The meeting will be preceded by an Audit Committee Meeting beginning at 7:00 p.m. in the Mayor's Conference room. The Council will adjourn into Executive Session at the end of the meeting to discuss personnel.*

AMENDED AGENDA

1. Pledge of Allegiance.

2. Roll Call.

3. General Announcements.

4. Presentation by Mayor and City Manager of Certificates of Public Service to members of the City staff who have reached milestones in their service to the City of Rye.

5. Draft unapproved minutes of the regular meeting of the City Council held May 21, 2014.

6. Issues Update/Old Business.

7. Presentation on City Financials by Robert Daniele of the auditing firm of O'Connor Davies, LLP.

8. Continuation of the Public Hearing to change the zoning designation of County-owned property located on Theodore Fremd Avenue and North Street to the RA-5 District to provide for the construction of affordable senior housing. *It is anticipated that the Public Hearing will be held over while documents are reviewed.*

9. Public Hearing to amend local law Chapter 191, Vehicles and Traffic, of the Rye City Code by amending Section §191-19, "No parking any time" to prohibit parking on the north side of Mead Place; and Section §191-19-1, "Parking prohibited certain hours" to remove the restriction of no parking on Mead Place Monday through Saturday from 7:00 a.m. to 6:00 p.m.

10. Consideration of referral to the Board of Architectural Review and the Planning Commission, the request from the Landmarks Advisory Committee to landmark the Rye Meeting House and the Bird Homestead.

11. Discussion regarding ways to engage in historic preservation and maintain the intrinsic character of Rye's community by keeping the Smoke Shop as a central meeting place in Rye.

12. Discussion of the recommendation by the Traffic and Pedestrian Safety Committee that a Pilot Study be conducted to test the effect of reducing the speed limit to 25 miles per hour on Stuyvesant Avenue, and/or to remove the rocks and belgian block in the City right of way.

13. Consideration to set a Public Hearing for July 9, 2014 to amend local law Chapter 76, "Dogs", Section §76-5, "Running at large prohibited" and Section §76-6, "When leash required" to establish regulations for the leashing of dogs at Rye Town Park.

14. Consideration to set a Public Hearing for July 9, 2014 on a proposed local law amending Article 6, "Council" of the Charter of the City of Rye to amend §C6-2 "Powers and duties" to add Section G to provide all Council members with the same authority as the Mayor as outlined in Section C7-1G to "examine the books, papers and accounts of any board, commission, department, office or agency of the city."

15. Residents may be heard on matters for Council consideration that do not appear on the agenda.

16. Presentation of the City of Rye Stormwater Management Program 2013 Annual Report.

17. Resolution to revise the Mission Statement for the Finance Committee.

18. Presentation on Smart Parking Technology.

19. Resolution ratifying the appointment of one member to the Emergency Medical Services Committee for a three-year term ending June 30, 2017.

20. Bid Award for the Annual Street Resurfacing contract (Contract #2014-02).
Roll Call.

20A. One appointment to the Board of Architectural Review for a three-year term, by the Mayor with Council approval.

21. Appeal of denial of FOIL requests by Timothy Chittenden.

22. Appeal of denial of FOIL request by David McKay Wilson.

23. Miscellaneous communications and reports.

24. New Business.

25. Adjournment.

* *

The next regular meeting of the City Council will be held on Wednesday, July 9, 2014 at 7:30 p.m.

** City Council meetings are available live on Cablevision Channel 75, Verizon Channel 39, and on the City Website, indexed by Agenda item, at www.ryeny.gov under "RyeTV Live".

* Office Hours of the Mayor by appointment by emailing jsack@ryeny.gov or contacting the City Manager's Office at (914) 967-7404.

CITY COUNCIL AGENDA

NO. 4 DEPT.: City Manager DATE: June 11, 2014

CONTACT: Frank J. Culross, City Manager

AGENDA ITEM: Presentation by Mayor and City Manager of Certificates of Public Service to members of the City staff who have reached milestones in their service to the City of Rye.

FOR THE MEETING OF:
June 11, 2014

RYE CITY CODE,
CHAPTER
SECTION

RECOMMENDATION:

IMPACT: ☐ Environmental ☐ Fiscal ☐ Neighborhood ☒ Other:

BACKGROUND: Awards will be presented to the following City of Rye employees who have reached milestones in their service to the City of Rye.

EMPLOYEE	DEPARTMENT	YEARS OF SERVICE
Todd Barnum	Fire	25.5
Craig Casterella	Public Works	31
Sgt. Charles Hunter	Police	25
Edward Iannarelli	Public Works	26
Michael Pearce	Public Works	41
Lt. Jeffrey Reichert	Police	36
Robert Slater	Public Works	26
Lt. Joseph Verille	Police	36
Sgt. Robert Vogel	Police	31

CITY COUNCIL AGENDA

NO. 5 DEPT.: City Clerk DATE: June 11, 2014

CONTACT: Dawn Nodarse

AGENDA ITEM Draft unapproved minutes of the regular meeting of the City Council held May 21, 2014, as attached.

FOR THE MEETING OF:
June 11, 2014
RYE CITY CODE,
CHAPTER
SECTION

RECOMMENDATION: That the Council approve the draft minutes.

IMPACT: ☐ Environmental ☐ Fiscal ☐ Neighborhood ☒ Other:

BACKGROUND: Approve the minutes of the regular meeting of the City Council held May 21, 2014, as attached.

DRAFT UNAPPROVED MINUTES of the Regular Meeting of the City Council of the City of Rye held in City Hall on May 21, 2014 at 7:30 P.M.

PRESENT:

JOSEPH A. SACK Mayor
LAURA BRETT
KIRSTIN BUCCI
JULIE KILLIAN
TERRENCE McCARTNEY
RICHARD MECCA
RICHARD SLACK
Councilmembers

ABSENT: None

The Council convened at 7:00 p.m. Councilwoman Killian made a motion, seconded by Councilman McCartney and unanimously carried to immediately adjourn into executive session to discuss litigation and attorney/client matters. (Mayor Sack and Councilwoman Brett joined the executive session later.) Councilman Mecca made a motion, seconded by Councilman Slack and unanimously carried, to adjourn the executive session at 7:41 p.m. The regular meeting convened at 7:45 p.m.

1. Pledge of Allegiance

Mayor Sack called the meeting to order and invited the Council to join in the Pledge of Allegiance.

2. Roll Call

Mayor Sack asked the City Clerk to call the roll; a quorum was present to conduct official city business.

3. General Announcements by the Council

Announcements were made regarding meetings, events and activities that may be of interest to City residents.

3A. Approval of the election of one new member to the Rye Fire Department

Councilman Mecca made a motion, seconded by Councilwoman Brett and unanimously carried, to adopt the following Resolution:

> **RESOLVED,** that the City Council of the City of Rye hereby approves the election of John Lawlor to the Fire Police Patrol Company of the Rye Fire Department, as approved by the Fire Wardens at their May 6, 2014 meeting.

4. Draft unapproved informal minutes of the Joint Meeting of the City Council and Board of Education held April 5, 2014 and the minutes of the regular meeting of the City Council held May 7, 2014

Councilwoman Brett made a motion, seconded by Councilman Mecca and unanimously carried, to approve the informal minutes of the Joint Meeting of the City Council and Board of Education held on April 5, 2014.

Mayor Sack made a motion, seconded by Councilwoman Brett and unanimously carried to amend the Resolution adopted at the May 7, 2014 meeting in connection with the appeal of the denial of a FOIL request to read as follows:

> **RESOLVED** that the appeal of the response to the FOIL request submitted by Liz Button for "Any and all documents related to work performance reviews for Scott Pickup in his position as City Manager starting in 2010" is granted, however, the documents will be subject to necessary redactions.

Councilwoman Brett made a motion, seconded by Councilman Mecca and unanimously carried, to approve the minutes of the regular meeting of the City Council held on May 7, 2014.

5. Issues Update/Old Business

Sustainable Playland (SPI) – Mayor Sack reported that last week a letter was sent on behalf of the City to the New York State Commissioner of the Department of Environmental Conservation (DEC) stating that there appears to be a dispute between the City and the County as to who should be the "Lead Agency" with regard to the SEQRA review of the Playland Improvement Plan (PIP). The City maintains it should be Lead Agency because it is the community that will bear the brunt of any environmental impacts. The County has ten days to respond to the letter.

Rye Town Park – Councilwoman Brett reported that only one response had been received to the RFP that was issued by the Rye Town Park Commission for possible uses of the Pavillion/Bath House Building at the park. It was rejected by the Commission. Ms. Brett also

raised the issue of the requirement that dogs be leashed at all times in the park and the tensions that the law raises. She said the Commission would like the City to resolve the issue. There was a discussion among the Council regarding a local law to allow dogs off leashes in certain areas during certain hours. The Corporation Counsel was asked to draft a law by the June meeting so the Council can set a public hearing for the July meeting.

Beaver Swamp Brook – Corporation Counsel Wilson provided an update on the Administrative Law proceeding that commenced in 2007 in connection with the Town of Harrison's proposed "Project Home Run". Ms. Wilson said her advice to the Council is to retain a hydrology consultant to review the work of Harrison's consultant, Leonard Jackson & Associates, regarding the flood storage capacity of the site and provide an independent opinion. A proposal has been provided with a $10,000 scope, which includes the analysis of Leonard Jackson's data and, if necessary, any mitigation measures that they would recommend.

5A. Authorization for the City Manager to retain an expert hydrologist for the purpose of reviewing the Town of Harrison's hydrology report

Councilwoman Brett made a motion, seconded by Councilman Mecca, to adopt the following Resolution:

> **RESOLVED,** that the City Council of the City of Rye hereby authorizes the City Manager to retain the firm of Barton & Loguidice for the purpose of reviewing the hydrology report prepared for the Town of Harrison by Leonard Jackson & Associates.

ROLL CALL:

AYES:	Mayor Sack, Councilmembers Brett, Bucci, Killian, McCartney, Mecca and Slack
NAYS:	None
ABSENT:	None

The Resolution was adopted by a 7-0 vote.

6. Consideration of a Memorandum of Agreement between the City of Rye and the Rye Police Association of the City of Rye, Inc. for 2011-2015
Roll Call

Councilwoman Killian made a motion, seconded by Councilman McCartney, to adopt the following Resolution:

> **WHEREAS**, The City of Rye and the Rye Police Association of the City of Rye, Inc. have negotiated a new Memorandum of Agreement which will replace the agreement which expired on December 31, 2008, and;

WHEREAS, The Police Association of the City of Rye, Inc. ratified the proposed terms of the MOA, now, therefore, be it;

RESOLVED, that the City Council approve the contract MOA between the Police Association of the City of Rye, Inc. for the contract period of 01/01/2011 to 12/31/2015.

ROLL CALL:
AYES: Mayor Sack, Councilmembers Brett, Bucci, Killian, McCartney, Mecca and Slack
NAYS: None
ABSENT: None

The Resolution was adopted by a 7-0 vote.

7. Consideration of Stipulation of Settlement between the City of Rye and the Rye Police Association of the City of Rye, Inc.
Roll Call

Councilwoman Killian made a motion, seconded by Councilman McCartney, to adopt the following Resolution:

WHEREAS, The City of Rye and the Rye Police Association of the City of Rye, Inc. have negotiated a Stipulation of Settlement regarding the new Memorandum of Agreement which will replace the agreement which expired on December 31, 2008, and;

WHEREAS, The Police Association of the City of Rye, Inc. ratified the proposed terms of the MOA, now, therefore, be it;

WHEREAS, that the City Council approves the Stipulation of Settlement between the City of Rye and the Rye Police Association of the City of Rye, Inc.

ROLL CALL:
AYES: Mayor Sack, Councilmembers Brett, Bucci, Killian, McCartney, Mecca and Slack
NAYS: None
ABSENT: None

The Resolution was adopted by a 7-0 vote.

8. Continuation of the Public Hearing to change the zoning designation of County-owned property located on Theodore Fremd Avenue and North Street to the RA-5 District to provide for the construction of affordable senior housing

Mayor Sack said that subsequent to the last meeting, the City was provided with information it had requested from the County by Lou Larizza the proposed developer. There was a lengthy discussion among the Council about the information provided, in particular, the environmental analysis and the lack of data provided in connection with the groundwater analysis of the property. Mr. Larizza offered to ask the County to provide their data on the water testing. The Council discussed whether is was prudent to retain an environmental engineer to review the data provided by the County. *Walter Saurach of Hammond Road* was the only member of the public to comment. He encouraged the Council to hire an independent consultant.

Councilwoman Brett made a motion, seconded by Councilman Slack to adopt the following Resolution:

> **RESOLVED**, that the City Council of the City of Rye hereby authorizes the City Manager to retain a professional consultant to assist the City with the review of the environmental assessment provided by the County in connection with the application for a change in the zoning designation of County-owned property located on Theodore Fremd Avenue and North Street to the RA-5 District to provide for the construction of affordable senior housing.

ROLL CALL:

AYES:	Mayor Sack, Councilmembers Brett, Bucci, McCartney, Mecca and Slack
NAYS:	None
ABSENT:	Councilwoman Killian

The Resolution was adopted by a 6-0 vote.

The public hearing will be kept open until the June 11th meeting.

9. <u>Consideration to set a Public Hearing to amend local law Chapter 191, Vehicles and Traffic, of the Rye City Code by amending Section §191-19, "No parking any time" to prohibit parking on the north side of Mead Place; and Section §191-19-1, "Parking prohibited certain hours" to remove the restriction of no parking on Mead Place Monday through Saturday from 7:00 a.m. to 6:00 p.m.</u>

City Manager Culross said this is a recommendation from the Traffic & Pedestrian Safety Committee. *John Rock of 29 Mead Place* said there is 100% consensus of the residents to prohibit parking on the north side of Mead Place.

Councilwoman Brett made a motion, seconded by Councilwoman Killian to adopt the following Resolution:

WHEREAS, the Council wishes to amend Chapter 191, Vehicles and Traffic of the Code of the City of Rye by amending Sections 191-19 and 191-19-1; and

WHEREAS, it is now desired to call a public hearing on such proposed amendments to the law, now, therefore, be it

RESOLVED, by the Council of the City of Rye as follows:

Section 1. Pursuant to Section 20 of the Municipal Home Rule Law and the Charter of the City of Rye, New York, a public hearing will be held by the Council of said City on June 11, 2014 at 7:30 P.M. at City Hall, Boston Post Road, in said City, for the purpose of affording interested persons an opportunity to be heard concerning such proposed local law.

Section 2. Such notice of public hearing shall be in substantially the following form:

PUBLIC NOTICE
CITY OF RYE

Notice of Public Hearing on a proposed local law Chapter 191, Vehicles & Traffic of the Rye City Code by amending §191-19, "No parking any time" to prohibit parking on the north side of Mead Place and to amend §191-19-1 "Parking prohibited certain hours." to remove the restriction of no parking on Mead Place Monday though Saturday from 7:00 PM to 6:00PM

Notice is hereby given that a public hearing will be held by the City Council of the City of Rye on the 11th day of June 2014 at 7.30 P.M. at City Hall, Boston Post Road, in said City, at which interested persons will be afforded an opportunity to be heard concerning a proposal to amend §191-19, "No parking any time" to prohibit parking on the north side of Mead Place and to amend §191-19-1 to remove the restriction of no parking on Mead Place Monday though Saturday from 7:00 PM to 6:00 PM "Parking Prohibited certain hours."

Copies of said local law may be obtained from the office of the City Clerk.

Dawn F. Nodarse
City Clerk
Dated: May 22, 2014

10. Residents may be heard on matters for Council consideration that do not appear on the agenda

Joe Murphy, Chair of the Rye Senior Advocacy Committee said that seniors have questions in connection with the local television carriers Verizon and Cablevision regarding such things as senior discounts. Corporation Counsel Wilson said she would take a look at the agreements the City has and bring the issue up with them. He also requested some financial support from the City in order to hire personnel to work on the project to update the Senior Directory. He was asked to submit a proposal to the City Manager regarding who he wants to retain; how much it would cost; and what they would be doing.

11. Resolution to transfer $100,000 from the Contingency account to fund legal services
Roll Call

Councilwoman Brett made a motion, seconded by Councilman Mecca, to adopt the following Resolution:

WHEREAS, City staff has determined that the amounts required for the cost of legal services performed and on-going for various legal cases were not anticipated and were not provided for in the adopted 2014 budget, and;

WHEREAS, the General Fund Contingent Account has a balance of $250,000, now, therefore, be it;

RESOLVED, that the City Comptroller is authorized to transfer $100,000 from the General Fund Contingent Account to the City Legal Services Account.

ROLL CALL:

AYES:	Mayor Sack, Councilmembers Brett, Bucci, Killian, McCartney, Mecca and Slack
NAYS:	None
ABSENT:	None

12. Discussion of the recommendation by the Traffic and Pedestrian Safety Committee that a Pilot Study be conducted to test the effect of reducing the speed limit to 25 miles per hour on Stuyvesant Avenue

This agenda item was deferred to the June 11th meeting.

13. Consideration of a request by the Rye Chamber of Commerce for the use of City streets for the Annual Sidewalk Sale to be held on Thursday, July 24, 2014 through Saturday, July 26, 2014 from 9:00 a.m. to 5:00 p.m.

Councilwoman Brett made a motion, seconded by Councilman Mecca and unanimously carried, to adopt the following Resolution:

> **RESOLVED**, that the City Council of the City of Rye hereby approves the request of the Rye Chamber of Commerce for the use of City streets and sidewalks for the Annual Sidewalk Sale to be held on Thursday, July 24, 2014 through Saturday, July 26, 2014 from 9:00 a.m. to 5:00 p.m.

14. Miscellaneous Communications and Reports

Councilwoman Killian reported that the Sustainability Committee has an intern from Rye High School.

15. New Business

There was nothing reported under this Agenda item.

16. Adjournment

There being no further business to discuss Councilman Slack made a motion, seconded by Councilwoman Brett and unanimously carried, to adjourn into executive session to discuss attorney/client matters regarding FOIL and not return to regular session at 9:40 p.m.

Respectfully submitted,

Dawn F. Nodarse
City Clerk

CITY COUNCIL AGENDA

NO. 6 DEPT.: City Council DATE: June 11, 2014

CONTACT: Mayor Joseph Sack

AGENDA ITEM: Issues Update/Old Business

FOR THE MEETING OF:
June 11, 2014

RYE CITY CODE,
CHAPTER
SECTION

RECOMMENDATION: That an update be provided on outstanding issues or Old Business.

IMPACT: ☐ Environmental ☐ Fiscal ☐ Neighborhood ☐ Other:

BACKGROUND:

CITY COUNCIL AGENDA

NO. 7 DEPT.: Finance DATE: June 11, 2014

CONTACT: Joseph S. Fazzino, Deputy Comptroller

AGENDA ITEM: Presentation on City Financials by Robert Daniele of the auditing firm of O'Connor Davies LLP.

FOR THE MEETING OF:
June 11, 2014

RYE CITY CODE,
CHAPTER
SECTION

RECOMMENDATION:

IMPACT: ☐ Environmental ☒ Fiscal ☐ Neighborhood ☐ Other:

BACKGROUND:

A presentation will be made by Robert Daniele of the auditing firm of O'Connor Davies, LLP on City Financials.

See attached documents: 2013 Comprehensive Financial Annual Report (CAFR)
2013 Management letter

CITY OF RYE, NEW YORK
COMPREHENSIVE ANNUAL FINANCIAL REPORT
FOR THE FISCAL YEAR ENDED DECEMBER 31, 2013

Prepared by the
Department of Finance
Joseph S. Fazzino Jr., Deputy City Comptroller

CITY SEAL

The official City Seal displays the three significant dates in Rye history:

1660 - when the community was first settled, illustrated by a peace pipe,
1904 - the year Rye became a village, showing a torch of progress, and
1942 - the date Rye became a City.

The ship in the center is copied from the seal of Rye, England.

City of Rye, New York

Comprehensive Annual Financial Report
Fiscal Year Ended December 31, 2013
Table of Contents

	Page
INTRODUCTORY SECTION	
Principal Officials	ii
Organization Chart	iii
Letter of Transmittal	xi
Certificate of Achievement for Excellence in Financial Reporting	
FINANCIAL SECTION	
Independent Auditors' Report	1
Management's Discussion and Analysis	3
Basic Financial Statements	
Government-wide Financial Statements	
Statement of Net Position	15
Statement of Activities	17
Fund Financial Statements	
Balance Sheet - Governmental Funds	19
Reconciliation of Governmental Funds Balance Sheet to the Government-wide Statement of Net Position - Governmental Activities	21
Statement of Revenues, Expenditures and Changes in Fund Balances - Governmental Funds	22
Reconciliation of the Statement of Revenues, Expenditures, and Changes in Fund Balances of Governmental Funds to the Statement of Activities	24
Statement of Revenues, Expenditures and Changes in Fund Balance - Budget and Actual - General Fund	25
Proprietary Funds	
Statement of Net Position	31
Statement of Revenues, Expenses and Changes in Net Position	35
Statement of Cash Flows	37
Statement of Fiduciary Assets and Liabilities – Agency Fund	41
Notes to Financial Statements	42
Required Supplementary Information –	
Other Postemployment Benefits –	
Schedule of Funding Progress – Last Three Fiscal Years	70
Capital Projects Fund - Project Length Schedule	71
Combining and Individual Fund Financial Statements and Schedules	
Combining Balance Sheet - Nonmajor Governmental Funds	73
Combining Statement of Revenues, Expenditures and Changes in Fund Balances - Nonmajor Governmental Funds	75

	Page
Schedules of Revenues, Expenditures and Changes in Fund Balance - Budget and Actual	
Cable TV Fund	77
K.T. Woods Permanent Fund	79
Debt Service Fund	81
Internal Service Funds	
Combining Statement of Net Position	83
Combining Statement of Revenues, Expenses and Changes in Net Position	87
Combining Statement of Cash Flows	89
Statement of Changes in Assets and Liabilities - Fiduciary Fund	93
Capital Assets Used in the Operation of Governmental Funds	
Schedule by Source	94
Schedule by Function and Activity	95
Schedule of Changes by Function and Activity	96

STATISTICAL SECTION
(Unaudited)

This part of the City's comprehensive annual financial report presents detailed information as a context for understanding what the information in the financial statements, note disclosures, and required supplementary information reveals about the City's overall financial health.

This section includes the following schedules

Financial Trends	
Net Position by Component - Last Ten Fiscal Years	97
Changes in Net Position - Last Ten Fiscal Years	99
Fund Balances, Governmental Funds - Last Ten Fiscal Years	103
Changes in Fund Balances, Governmental Funds - Last Ten Fiscal Years	105
Tax Revenues by Source, Governmental Funds - Last Ten Fiscal Years	107
Revenue Capacity	
Assessed Valuation, State Equalization Rate, and Estimated Full Value of Real Property - Last Ten Fiscal Years	108
Property Tax Rates per $1,000 Assessed Valuation - All Direct and Overlapping Governments - Last Ten Fiscal Years	110
Principal Taxpayers - Current Year and Nine Years Ago	112
Property Tax Levies and Collections - Last Ten Fiscal Years	113
Constitutional Tax Limit	115

	Page
Debt Capacity	
Ratios of Outstanding Debt by Type - Last Ten Fiscal Years	116
Direct and Overlapping Governmental Activities Debt - Last Ten Fiscal Years	118
Computation of Legal Debt Margin - Last Ten Fiscal Years	119
Calculation of Charter Bonded Debt Limits	120
Demographic and Economic Information	
Demographic and Economic Statistics - Last Ten Fiscal Years	121
Principal Employers	122
Operating Information	
Full-Time Equivalent Employees by Program - Last Ten Fiscal Years	123
Operating Indicators by Program - Last Ten Fiscal Years	125
Capital Asset Statistics by Program - Last Ten Fiscal Years	127

Sources: Unless otherwise noted, the information in these schedules is derived from the comprehensive annual financial reports for the relevant year.

FEDERAL AWARDS

Federal Programs:	
Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with Government Auditing Standards	129
Report on Compliance for Each Major Federal Program and Report on Internal Control Over Compliance	131
Schedule of Expenditures of Federal Awards	133
Notes to Schedule of Expenditures of Federal Awards	134
Summary Schedule of Prior Audit Findings	135
Schedule of Findings and Questioned Costs	136

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INTRODUCTORY SECTION

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City of Rye, New York
List of Elected and Appointed Officials
December 31, 2013

Elected Officials

Douglas French, Mayor

Laura Brett, Council Member

Richard Filippi, Council Member

Peter Jovanovich, Council Member

Julie Killian, Council Member

Catherine Parker, Council Member

Joseph Sack, Council Member

Appointed Officials

Scott D. Pickup, City Manager

Joseph S. Fazzino, Deputy City Comptroller

Kristen Wilson Esq., Corporation Counsel

Ryan Coyne, City Engineer

Dawn Nodarse, City Clerk

Noreen Whitty, City Assessor

Christian Miller, City Planner

Maureen Eckman, City Building Inspector

William R. Connors, Police Commissioner

Michael Taylor, Fire Chief

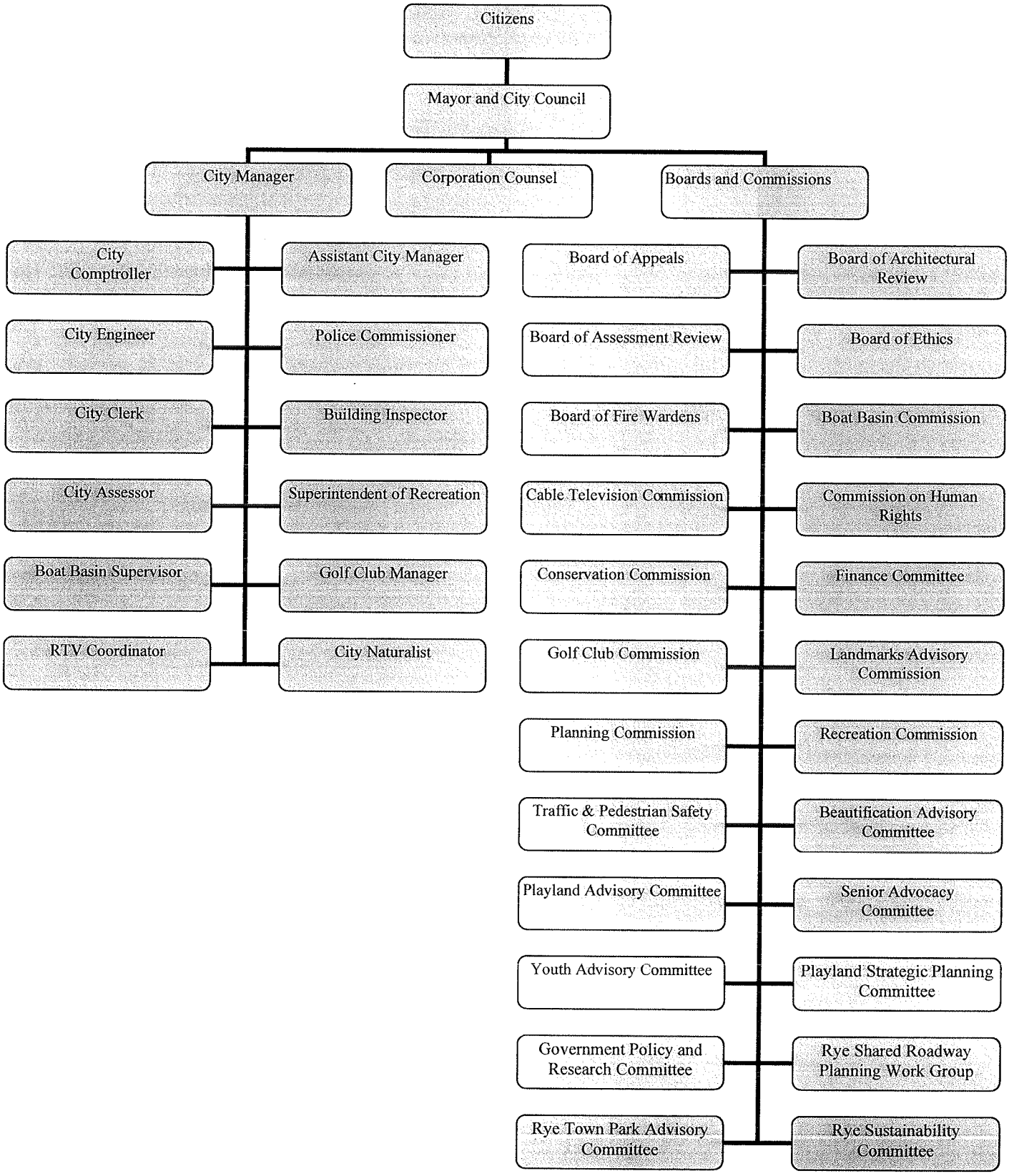
Kurt Tiejen, Fire Inspector

Sally Rogol, Superintendent of Recreation

Peter Fox, Boat Basin Supervisor

Nicole Levitsky, RTV Coordinator

City of Rye, New York
Organizational Chart



Joseph S. Fazzino Jr.
Deputy City Comptroller
1051 Boston Post Road
Rye, New York 10580-2945

CITY OF RYE
Department of Finance

Tel: (914) 967-7303
Fax: (914) 967-7370
E-mail: jfazzino@ryeny.gov
http://www.ryeny.gov

June 3, 2014

To the Honorable Mayor, Members of the City Council, and Citizens of the City of Rye, New York:

Submitted herewith is the comprehensive annual financial report of the City of Rye, New York ("City") as of and for the year ended December 31, 2013.

This report consists of management's representations concerning the finances of the City. Consequently, management assumes full responsibility for the completeness and reliability of all of the information presented in this report. To provide a reasonable basis for making these representations, management of the City has established a comprehensive internal control framework that is designed to both protect the City's assets from loss, theft, or misuse and to compile sufficient reliable information for the preparation of the City's financial statements in conformity with generally accepted accounting principles. Because the cost of internal controls should not outweigh their benefits, the City's comprehensive framework of internal controls has been designed to provide reasonable, rather than absolute, assurance that the financial statements will be free from material misstatement. As management, we assert that to the best of our knowledge and belief, this comprehensive annual financial report is complete and reliable in all material respects.

The City's financial statements have been audited by O'Connor Davies LLP, a firm of licensed certified public accountants. The goal of the independent audit was to provide reasonable assurance that the financial statements of the City for the fiscal year ended December 31, 2013 are free of material misstatement. The independent audit involved examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements; assessing the accounting principles used and significant estimates made by management, and evaluating the overall financial statement presentation. The independent auditor concluded, based upon the audit, that there was a reasonable basis for rendering an unmodified opinion that the City's financial statements for the fiscal year ended December 31, 2013, are fairly presented in conformity with generally accepted accounting principles. The independent auditor's report is presented as the first component of the financial section of this report.

Generally accepted accounting principles require that management provide a narrative introduction, overview, and analysis to accompany the basic financial statements in the form of Management's Discussion and Analysis ("MD&A"). This letter of transmittal is designed to complement MD&A and should be read in conjunction with it. The City's MD&A can be found immediately following the report of the independent auditor.

Profile of the City of Rye, New York

The City is located in Westchester County, New York, on the Long Island Sound, approximately twenty-five miles north of New York City. Founded in 1660, it became a village in 1904, and was incorporated as a city in 1942. The City's gentle and sophisticated country setting, conveniently located near a major metropolis, has earned it the reputation of being a highly desirable place to

live. The City consistently makes the list of *Worth Magazine*'s "250 Richest Towns", and its amenities have been featured in *The New York Times*.

The City maintains 71 miles of streets and roads, 53 miles of sanitary sewer lines; 6 pump stations, and 30 miles of storm drains within the borders of its six square miles. City-owned buildings include city hall, a police station, two firehouses, recreation facilities including a recreation center and other buildings, public works facilities consisting of offices, garages, and material storage buildings, a museum, a nature center, an arts center, marina offices and garages, and several buildings belonging to our golf club, including a historic "castle" (circa 1858) overlooking the golf course and Long Island Sound. The City prides itself in its maintenance and preservation of open space, with several parks and playgrounds for both passive and active recreation.

The United States Census Bureau's *American Community Survey* (2006-2010) reports that the City has a per capita income of $94,559 and a median household income of $143,359. The median family income is $216,810. The City's population count was reported at 15,868.

On November 3, 1959 an amendment to the City Charter was approved by public referendum to create the position of City Manager. Since that time, the City has operated under the Council-Manager form of government. Policy-making and legislative authority are vested in the City Council, which consists of the Mayor and six other members. The City Council appoints the City Manager and the Corporation Counsel; supervises the work of all boards, commissions and officers appointed by the City Council, and awards all contracts for public works requiring competitive bidding. The Mayor serves as head of the City government; appoints City judges subject to approval by the City Council; designates chairpersons of boards and commissions, and unless otherwise provided by law or the City Council, executes deeds, contracts and other documents. City Council members, including the Mayor, each serve a four-year term of office, and are elected to office by registered voters authorized to vote in City elections.

The City Manager is the chief administrative officer of the City, and is responsible for all city affairs placed in his or her charge. The City Manager appoints all department heads and their subordinates, with the exception of the Corporation Counsel and police officers. With the advice and assistance of the City Comptroller, the City Manager prepares and submits to the City Council the annual tentative budget. The City Manager has control and supervision over purchasing, except for competitive bidding as required by law. The City Manager serves at the pleasure of the City Council for an indefinite term.

The City Comptroller serves at the pleasure of the City Manager for an indefinite term. All other department heads and employees serve terms subject to various laws, employment contracts, labor agreements and/or the provisions of Civil Service Law.

The City provides a full range of services. General government services include vital statistics (birth and death certificates); business and occupational licenses, and a variety of other permits and licenses required under state or local law. Public safety is provided through a full-time police department; a fire department comprised of paid and volunteer firefighters, an ambulance/EMS services contracted through a local volunteer ambulance corps and building inspections provided by the building department. Transportation services include engineering, street maintenance and administration, snow removal, street lighting, and off-street parking. Recreational services include recreation activities (camps, athletics, tennis, youth and adult activities), parks and playgrounds, marina services, a golf course, a municipal pool, and a nature center. Home and community services includes community planning, conservation,

emergency disaster, maintenance of sewers and drains, street cleaning, solid waste and recycling, community beautification and shade tree maintenance. Cultural services include library services contracted through a local library, an art center owned by the City but operated by an independent not-for-profit organization, and two government access cable television channels operated by the City.

The annual budget serves as the foundation for the City's financial planning and control. All departments of the City are required to submit requests for appropriations, revenue estimates for the forthcoming year, and a forecast of current year revenues and expenditures to the City Comptroller, on or before August 31 of each year. The City Comptroller reviews these submissions with the departments to ensure that the current forecasts are reasonable, and that the forthcoming year's appropriation requests and revenue estimates are clearly understood. The finance department, under the direction of the City Comptroller, completes the forecasts, appropriations, and revenue estimates for those items not specifically associated with a department, such as general revenues, internal service fund charges, interfund charges, and debt service requirements. When all of this information has been compiled and reviewed, the City Comptroller submits the entire set of requests and estimates to the City Manager, usually within the second week of September. The City Manager will meet with departments and the City Comptroller throughout the months of September and October to review the submissions, making adjustments as required or desired to meet the objectives that may have been expressed or implied by the City Council.

In accordance with §C21-2 of the City Charter, the City Manager must present a tentative budget to the City Council on the first Wednesday in November. Prior to a public hearing on the tentative budget, the City Council may make any changes to the tentative budget by a vote of at least four council members. After considering the tentative budget, the City Council publishes a notice of public hearing on the tentative budget to be held no later than the first Wednesday in December of the current year, and with at least ten days' notice. After the public hearing, the City Council may adopt the budget without amendment, or, may continue to amend the budget, except for those items required by law or for debt service. Amendments that would increase the estimated revenues or total appropriations require five affirmative votes of the City Council. The City Council must adopt the budget and set the property tax rate for the forthcoming year on or before December 31 of the current year. If the City Council fails to adopt the budget by December 31, the tentative budget as amended by the City Council becomes the adopted budget. If no amendments to the tentative budget had been made by the City Council, the tentative budget as submitted by the City Manager becomes the adopted budget.

Throughout the year, the City Manager is authorized to make amendments between any accounts within a fund, provided that the amendments do not increase total estimated revenues or total appropriations. Amendments between funds or those that would increase total estimated revenues or appropriations must be made by resolution of the City Council. The original budget; the budget as amended by fiscal year end; actual results, and variances between the amended budget and actual results for the governmental funds are presented in the governmental funds subsection of this report. It should be noted that multi-year, rather than annual budgets, are adopted for the Capital Projects Fund. This report includes a project-length schedule for all projects within the Capital Projects Fund, including project budget, current year revenues and expenditures, project inception-to-date revenues and expenditures, and available project balance remaining at the end of the current fiscal year.

The Governmental Accounting Standards Board ("GASB") issued its Statement No. 54, Fund Balance Reporting and Governmental Fund Type Definitions, in February 2009. GASB Statement No. 54 abandoned the reserved and unreserved classifications of fund balance and replaced them with five new classifications: nonspendable, restricted, committed, assigned and unassigned. An explanation of these classifications follows:

> Nonspendable – consists of assets that are inherently nonspendable in the current period either because of their form or because they must be maintained intact, including prepaid items, inventories, long-term portions of loans receivable, financial assets held for resale and principal of endowments.
>
> Restricted – consists of amounts that are subject to externally enforceable legal purpose restrictions imposed by creditors, grantors, contributors, or laws and regulations of other governments; or through constitutional provisions or enabling legislation.
>
> Committed – consists of amounts that are subject to a purpose constraint imposed by a formal action of the government's highest level of decision-making authority before the end of the fiscal year, and that require the same level of formal action to remove the constraint.
>
> Assigned – consists of amounts that are subject to a purpose constraint that represents an intended use established by the government's highest level of decision-making authority, or by their designated body or official. The purpose of the assignment must be narrower than the purpose of the General Fund, and in funds other than the General Fund, assigned fund balance represents the residual amount of fund balance.
>
> Unassigned – represents the residual classification for the government's General Fund, and could report a surplus or deficit. In funds other than the General Fund, the unassigned classification should be used only to report a deficit balance resulting from overspending for specific purposes for which amounts had been restricted, committed, or assigned.

These changes were made to reflect spending constraints on resources, rather than availability for appropriations and to bring greater clarity and consistency to fund balance reporting. This pronouncement should result in an improvement in the usefulness of fund balance information.

Factors Affecting Financial Condition

The information presented in the financial statements is best understood when it is considered in light of the specific environment in which the City operates.

Local Economy

In 2013, the City's revenues continued the upward trend of the past two years. In 2013, General Fund revenues were roughly $1,850,000 greater than 2012 revenues. Property tax revenues increased about $502,000. Building department revenues increased about $435,000, or 29.9%, over 2012. Mortgage tax revenue increased almost $895,000 in 2013 as compared to 2012. Sales and use tax revenue increased $146,000. The City received over $700,000 in Federal and State emergency aid for Superstorm Sandy compared to $628,000 received in 2012 for Sandy and Hurricane Irene. The City is cautiously optimistic that revenues will remain near 2013 levels. Based on the positive results in 2012, the City used $195,000 of General

Fund unassigned fund balance for capital project expenditures in 2013, after having no funding in 2012.

Taxable assessments rose slightly, after declining for three consecutive years, increasing 0.26% (from $137,736,733 to $138,095,192), and our tax base is not dependent upon any single industry or taxpayer. The top ten principal taxpayers of the City represent roughly 7.4% of the tax roll, with public utilities representing 2.9%, commercial establishments 1.7%, and residential properties representing the remaining 2.8%.

Residential home sales reflect the upscale nature of our community. The City Assessor reported that 231 homes in the City were sold in 2013. Of these, 71% sold for $1 million or more and 34% sold for $2 million or more. The median home sales price increased slightly, from $1,440,000 in 2012 to $1,450,000 in 2013, and the average home sales price experienced an increase from $1,869,000 to $1,920,000. The highest 2013 sales price reported for a single-family home was $11,600,000.

Major employers with facilities located in the City enhance its economic strength by providing a wide variety of industries, including business services, electric and gas utilities, social services, primary metal industries, educational services, insurance services, investment banking and miscellaneous retail operations. The distribution of the assessment roll for the current fiscal year, five years' and ten years' previous demonstrates a relative economic stability of the City over time:

Assessment Roll Classification

Class	2013	2008	2004
Residential	73.01 %	71.68 %	69.90 %
Business	12.27	12.99	13.87
Other	14.72	15.33	16.23
Total	100.00 %	100.00 %	100.00 %

Note: Residential includes one to three-family homes, condominiums, cooperative apartments, and apartments. Business includes commercial, industrial, and recreational facilities. Other includes agriculture, vacant land, community service, utility, wetland and parkland, most of which is exempt from property taxes.

Access to employment in New York City is readily available by car or mass transit (train or bus), with Interstate Route 95, Interstate Route 287, U.S. Route 1, and the Metro-North commuter train line intersecting in the City. Westchester County Airport is a short drive from the City. Two major international airports (John F. Kennedy and LaGuardia) are located within 30 miles and Newark International Airport lies within 45 miles of the City.

Economic data for the City from the United States Census Bureau's *American Community Survey* (2006-2010) compares quite favorably against the Westchester County, New York State, and national figures:

Data Item	City of Rye	Westchester County	New York State	United States
Per Capita	$ 94,559	$47,814	$30,948	$27,344
Median Household	$149,973	$79,619	$55,603	$51,914
Median Family	$216,810	$100,893	$67,405	$62,982

The 2013 unemployment rate for Westchester County was 6.3% and continues to remain favorable against the 2013 rates for New York State (7.7%) and the United States (7.4%).

Long-term Financial Planning

The City publishes documents that assist in its long-term financial planning, including a five-year *Capital Improvement Plan*. This document is available for review in City Hall, in the Rye Free Reading Room, and on the City's website (http://www.ryeny.gov). The City's Finance Committee is currently working on updating a 4 Year General Fund Plan.

Building department revenues reached a high point compared to the last five years and sales tax revenues have returned to levels prior to the recession. In 2013, mortgage taxes also returned to pre-recession levels. This shows signs of continued stabilization in the economy.

Over the past year, the Federal Funds rate has maintained a low point of less than one percent. This will continue to have a significant impact on the City's interest earnings. General fund interest earnings were over $902,000 in 2007 prior to the recession and were just $47,000 in 2013.

While State aid for the time being is projected to remain flat, it may also be further reduced as the State attempts to close its own budget shortfalls. The volatility of commodity markets could mean that broad and sweeping changes in our assumed costs of materials and supplies could increase our costs. Market liquidity, interest rates, and consumer confidence could have a profound effect on our outlook for sales and use taxes, mortgage taxes, and building permit revenues.

Effective for local government fiscal years beginning in 2015, the Adopted New York State Budget includes a proposal to effectively "freeze" property taxes for two years on the primary residences of homeowners with annual incomes at or below $500,000 in local governments and school districts that stay within the tax cap there will be a property tax freeze for taxpayers, which requires the City to stay under the property tax cap. In order for homeowners in their jurisdictions to receive the property tax credit in the second year, the local government must certify that it has stayed within the tax cap (and there is no local override law in place), as well as develop (or participate in the development of) a state-approved "Government Efficiency Plan" that features shared services, cooperation agreements, mergers and/or efficiencies that, when implemented, will achieve savings for taxpayers.

We believe that 2014 is a year that requires responsible planning for 2015 and beyond, with an eye towards evaluating and possibly reducing core services, while preserving capital for future needs.

Relevant Financial Policies

The City has adopted a *Comprehensive Financial Policy Document*, which is available in City Hall, the Rye Free Reading Room, and on the City's website. This document contains all of the City's adopted financial policies, including budget, cash management and investment, capital assets, debt management, revenues and expenditures, operating position, and procurement/purchasing. This document is reviewed at least annually and as needed to incorporate applicable recommended practices.

The City prepares its budgets, capital improvement plans, and forecasts with the various financial policies in mind, and operates in compliance with the policies. The City does not foresee any issues with its ability to comply with the current policies in the implementation of its near and long-term initiatives.

Major Initiatives

Three major flood mitigation and transportation projects were completed in 2013. These included the reconstruction and improved floodway clearance of the Central Avenue Bridge and the construction of the Bowman Avenue Sluice Gate as well as the Milton Harbor Dredging Project. The costs of these projects will be will be reimbursed with aid from the Federal Emergency Management Agency ("FEMA"), as well as Federal, State and Local grants.

The reconstruction of the retaining wall along Theodore Fremd Avenue is expected to be delayed until 2014. This structure, along with the Central Avenue Bridge, was destroyed in the Nor'easter of April 15, 2007. It is anticipated that this project will approach or exceed $1 million in costs, a majority of which will also be reimbursed with Federal, State and County grants.

The City passed a bond referendum in 2012. Infrastructure improvements to be financed with the proceeds of this bond include: major safety improvements to the Smith/Elm/Purchase streets intersection, reconstruction of portions of Smith Street to address a dangerous "sinkhole" beneath the paved surface, rebuilding a portion of the retaining wall along the Boston Post Road between Thistle Lane and Purdy Street, replacing an old and decaying sewer siphon on Locust Avenue and an assortment of sidewalk and pedestrian safety improvements city-wide, primarily around school zones.

Awards and Acknowledgements

The Government Finance Officers Association ("GFOA") awarded a *Certificate of Achievement for Excellence in Financial Reporting* to the City for its Comprehensive Annual Financial Report ("CAFR") for the fiscal year ended December 31, 2012. This was the seventeenth consecutive year that the City received this prestigious award. In order to be awarded a Certificate of Achievement, the City had to publish an easily readable and efficiently organized CAFR that satisfied both generally accepted accounting principles and applicable legal requirements.

The City also received the GFOA's *Distinguished Budget Presentation Award* for its fiscal 2013 annual budget document, making it the thirteenth consecutive year that the City received the

award. In order to qualify for this award, the City's budget document had to be judged proficient as a policy document, a financial plan, an operations guide, and a communications device.

The preparation of this report would not have been possible without the dedicated services of all City departments concerning information specific to their operations. Our gratitude is extended to our Mayor and City Council, who continuously strive to enhance the quality of life for our citizens. We appreciate the input from our independent auditor, O'Connor Davies, LLP and we commend them on their timely and professional completion of our audit.

Most importantly, our thanks go to the citizens and residents, for giving us the opportunity to serve our fine City.

Respectfully submitted,

Joseph S. Fazzino, Jr.
Deputy City Comptroller

Government Finance Officers Association

Certificate of Achievement for Excellence in Financial Reporting

Presented to

City of Rye

New York

For its Comprehensive Annual
Financial Report
for the Fiscal Year Ended

December 31, 2012

Executive Director/CEO

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FINANCIAL SECTION

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O'CONNOR DAVIES

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Independent Auditors' Report

The Honorable Mayor and City Council
of the City of Rye, New York

Report on the Financial Statements

We have audited the accompanying financial statements of the governmental activities, the business-type activities, each major fund and the aggregate remaining fund information of the City of Rye, New York ("City") as of and for the year ended December 31, 2013, and the related notes to the financial statements, which collectively comprise the City's basic financial statements as listed in the table of contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express opinions on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the City's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the City's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

Opinions

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities, the business-type activities, each major fund and the aggregate remaining fund information of the City, as of December 31, 2013, and the respective changes in financial position and, where applicable, cash flows thereof and the respective budgetary comparison for the General Fund for the year then ended in accordance with accounting principles generally accepted in the United States of America.

O'CONNOR DAVIES, LLP
500 Mamaroneck Avenue, Suite 301, Harrison, NY 10528 | Tel: 914.381.8900 | Fax: 914.381.8910 | www.odpkf.com

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Other Matters

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that Management's Discussion and Analysis and the Schedule of Funding Progress – Other Post Employment Benefits be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Supplementary and Other Information

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the City's basic financial statements. The combining and individual fund financial statements and schedules, the schedule of expenditures of federal awards as required by U.S. Office of Management and Budget Circular A-133, *Audits of State, Local Governments and Non-Profit Organizations* and the introductory and statistical sections are presented for purposes of additional analysis and are not a required part of the basic financial statements.

The combining and individual fund financial statements and schedules and the schedule of expenditures of federal awards are the responsibility of management and were derived from and relate directly to the underlying accounting and other records used to prepare the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated, in all material respects, in relation to the basic financial statements as a whole.

The introductory and statistical sections have not been subjected to the auditing procedures applied in the audit of the basic financial statements and, accordingly, we do not express an opinion or provide any assurance on them.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated June 2, 2014 on our consideration of the City's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the City's internal control over financial reporting and compliance.

Report on Comparative Information

We have previously audited the City's December 31, 2012 financial statements, and we expressed an unmodified audit opinion on those audited financial statements in our report dated May 7, 2013. In our opinion, the comparative information presented herein as of and for the year ended December 31, 2012 is consistent, in all material respects, with the audited financial statements from which it has been derived.

O'Connor Davies, LLP

O'Connor Davies, LLP
Harrison, New York
June 2, 2014

Management's Discussion and Analysis ("MD&A")

As management of the City of Rye, New York ("City"), we offer readers of the City's financial statements this narrative overview and analysis of the financial activities of the City for the fiscal year ended December 31, 2013. We encourage readers to consider the information presented here in conjunction with additional information that we have furnished in our letter of transmittal, which can be found at the beginning of this report.

Financial Highlights

- On the government-wide financial statements, the assets of the City exceeded its liabilities at the close of the most recent fiscal year by $61,047,060. Of this amount, the unrestricted portion for governmental activities is a deficit of $3,401,492. This deficit results primarily from the accrual of certain operating liabilities pursuant to Governmental Accounting Standards Board ("GASB") Statement No. 34, which will be satisfied in future years, including tax certiorari claims, compensated absences and the accrual of the City's annual other post employment benefit cost in accordance with the provisions of GASB Statement No. 45.
- The City's total net position increased by $954,641.
- Excluding the Capital Projects Fund, the City's governmental funds reported combined ending fund balances of $13,107,354, of which $5,942,286 is unassigned and available for spending at the City's discretion.
- At the end of the current fiscal year, unassigned fund balance for the General Fund was $5,942,286 or 18.2%, of total General Fund expenditures, up from $4,982,632, or 16.4% of expenditures in the prior year.
- The City's total bonded debt decreased 6.9% from $19,360,000 to $18,010,000 during the current fiscal year, representing $1,550,000 in principal payments made during the year and $200,000 in adjustments due the refunding of the City's 1998 and 2005 Public Improvement Serial Bonds.

Overview of the Financial Statements

This discussion and analysis is intended to serve as an introduction to the City's basic financial statements, which are comprised of three components: government-wide financial statements; fund financial statements, and notes to financial statements. This report also contains other supplementary information in addition to the basic financial statements.

Government-Wide Financial Statements

The government-wide financial statements are designed to provide the reader with a broad overview of the City's finances, in a manner similar to private-sector business.

The statement of net position presents information on all of the City's assets, liabilities and deferred inflows/outflows of resources, with the difference reported as net position. Over time, increases or decreases in net position may serve as a useful indicator of whether the financial position of the City is improving or deteriorating.

The statement of activities presents information showing changes in the City's net position during the most recent fiscal year. All changes in net position are reported as soon as the underlying event giving rise to the change occurs, regardless of the timing of related cash flows. Thus, revenues and expenses are reported in this statement for some items that will only result

in cash flows in future fiscal periods (for example, uncollected taxes, earned but unused vacation leave and other post employment benefit obligations).

Both of the government-wide financial statements distinguish functions of the City that are governmental activities (those principally supported by taxes and revenues from other governments) and functions of the City that are business-type activities (those that are intended to recover all or a significant portion of their costs through user fees and charges). The governmental activities of the City include general government, public safety, transportation, culture and recreation, and home and community services. The business-type activities of the City include the DePauw Municipal Boat Basin and the Rye Golf Club. The government-wide financial statements can be found on the pages immediately following this MD&A.

Fund Financial Statements

A fund is a grouping of related accounts that is used to maintain control over resources that have been segregated for specific activities or objectives. The City, like other state and local governments, uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements. All of the funds of the City can be divided into three categories: governmental funds, proprietary funds and fiduciary funds.

Governmental Funds

Governmental funds are used to account for essentially the same functions reported as governmental activities in the government-wide financial statements. However, unlike the government-wide financial statements, governmental fund financial statements focus on near-term inflows and outflows of spendable resources, as well as balances of spendable resources available at the end of the fiscal year. Such information may be useful in evaluating a government's near-term financing requirements.

Because the focus of governmental funds is narrower than that of the government-wide financial statements, it is useful to compare the information presented for governmental funds with similar information presented for governmental activities in the government-wide financial statements. By doing so, readers may better understand the long-term impact of the City's near-term financing decisions. Both the governmental fund balance sheet and the governmental fund statement of revenues, expenditures and changes in fund balances provide a reconciliation to facilitate this comparison between governmental funds and governmental activities.

The City maintains five individual governmental funds: the General Fund, the Cable TV Special Revenue Fund, the K.T. Woods Permanent Fund, the Debt Service Fund and the Capital Projects Fund. Information is presented separately in the governmental fund balance sheet and in the governmental fund statement of revenues, expenditures and changes in fund balances for the General Fund and the Capital Projects Fund, both of which are considered to be major funds. Information from the other nonmajor governmental funds is combined into a single, aggregated presentation. Individual information on each of these funds is provided in the form of combining statements and schedules found elsewhere in this report. The City adopts annual budgets for the General Fund, Cable TV Fund, K.T. Woods Permanent Fund and Debt Service Fund. Budgetary comparison statements have been provided for these funds to demonstrate compliance with their budgets. Multi-year budgets are adopted for the Capital Projects Fund, and a project-length schedule that provides information concerning these projects and their budgets is included elsewhere in this report.

Proprietary Funds

The City maintains two types of proprietary funds – enterprise funds and internal service funds. Enterprise funds are used to report the same functions presented as business-type activities in the government-wide financial statements. The City uses enterprise funds to account for its marina (the DePauw Municipal Boat Basin) and golf course (the Rye Golf Club) operations. Internal service funds are used to accumulate and allocate costs internally among the City's various functions. The City uses internal service funds to account for its general liability insurance coverage (the Risk Retention Fund), and the acquisition, operation, maintenance and disposition of its buildings and vehicles (the Building and Vehicle Maintenance Fund). These services have been classified as governmental activities in the government-wide financial statements because they predominantly benefit governmental, rather than business-type, functions.

Proprietary funds provide the same type of information as the government-wide financial statements, only in more detail. The proprietary fund financial statements provide separate information for the Boat Basin Fund and the Golf Club Fund, both of which are considered to be major funds of the City. Conversely, both internal service funds are combined into a single, aggregated presentation in the proprietary fund financial statements. Individual fund data for the internal service funds is provided in the form of combining statements elsewhere in this report. The basic proprietary fund financial statements are presented in this report.

Fiduciary Funds

Fiduciary funds are used to account for resources held for the benefit of parties outside the government. Fiduciary funds are not reflected in the government-wide financial statements because the resources of those funds are not available to support City programs. The City maintains only one type of fiduciary fund that is known as an agency fund. The City holds resources in this fund purely in a custodial capacity. The activity in this fund is limited to the receipt, temporary investment, and remittance of resources to the appropriate individual, organization, or government. The agency fund financial statements are presented in this report.

Notes to Financial Statements

The notes to financial statements are an integral part of those statements and provide additional information that is essential to a full understanding of the data provided in the government-wide and fund financial statements.

Other Information

The combining statements referred to earlier in connection with the nonmajor governmental funds and the two internal service funds are presented immediately following the basic financial statements. Combining and individual fund statements and schedules can be found elsewhere in this report.

Government-wide Financial Analysis

As noted earlier, net position may serve over time as a useful indicator of a government's financial position.

	Net Position					
	December 31, 2013			December 31, 2012		
	Governmental Activities	Business-type Activities	Total	Governmental Activities	Business-type Activities	Total
Current Assets	$ 26,850,999	$ 2,645,767	$ 29,496,766	$ 20,259,951	$ 2,199,665	$ 22,459,616
Capital Assets	66,796,027	11,245,285	78,041,312	70,529,430	11,333,866	81,863,296
Total Assets	93,647,026	13,891,052	107,538,078	90,789,381	13,533,531	104,322,912
Deferred Outflows Of Resources	491,110	64,251	555,361	293,193	54,623	347,816
Current Liabilities	5,505,644	223,198	5,728,842	5,289,224	286,851	5,576,075
Long-term Liabilities	38,307,024	3,010,513	41,317,537	35,732,488	3,269,746	39,002,234
Total Liabilities	43,812,668	3,233,711	47,046,379	41,021,712	3,556,597	44,578,309
Net Position						
Net Investment in Capital Assets	51,028,225	9,324,839	60,353,064	54,209,854	9,097,824	63,307,678
Restricted	2,698,735	-	2,698,735	3,237,805	-	3,237,805
Unrestricted	(3,401,492)	1,396,753	(2,004,739)	(7,386,797)	933,733	(6,453,064)
Total Net Position	$ 50,325,468	$ 10,721,592	$ 61,047,060	$ 50,060,862	$ 10,031,557	$ 60,092,419

For the City, assets exceeded liabilities by $61,047,060 at the close of the most recent fiscal year. Of this amount, $60,353,064 reflects the City's investment in capital assets (land, buildings, improvements, machinery and equipment, construction-in-progress, and infrastructure), net of depreciation and any related outstanding debt. The City uses these capital assets to provide services to citizens. Consequently, these assets are not available for future spending. Although the City's investment in its capital assets is reported net of related debt, it should be noted that the resources needed to repay this debt must be provided from other sources, since the capital assets themselves cannot be used to liquidate the debt.

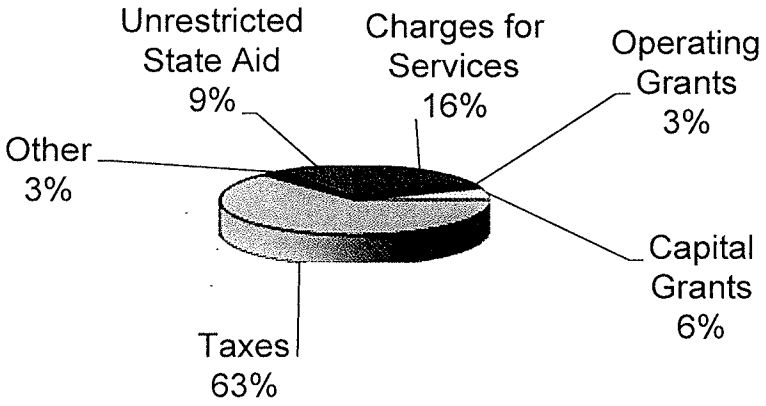
An additional portion of the City's net position, $2,698,735 represents resources that are subject to external restrictions on how they may be used. The remaining balance of unrestricted net position is a deficit of $2,004,739. This deficit does not mean that the City does not have resources available to meet its obligations in the ensuing year. Rather, it is the result of having long-term commitments, including claims payable of $1.1million, compensated absences of $1.5 million and OPEB obligations of $20.1 million that are greater than currently available resources. Payments for these liabilities will be budgeted in the year that actual payment will be made.

Governmental Activities

Governmental activities increased the City's net position by $264,606. Major revenues received included:

- $20,860,358 in real property taxes
- $2,222,745 in sales and use taxes
- $166,778 in hotel occupancy taxes
- $402,313 in utilities gross receipts taxes
- $48,667 from interest and investment income, and rental of property
- Gain on sale of capital assets $470,967, which includes the gain on the sale of 1037 Boston Post Road of $457,108
- $3,390,946 in state aid not restricted to specific programs including $1,208,024 in State Revenue Sharing and $2,182,922 in Mortgage Tax Revenue

Revenues by Source - Governmental Activities

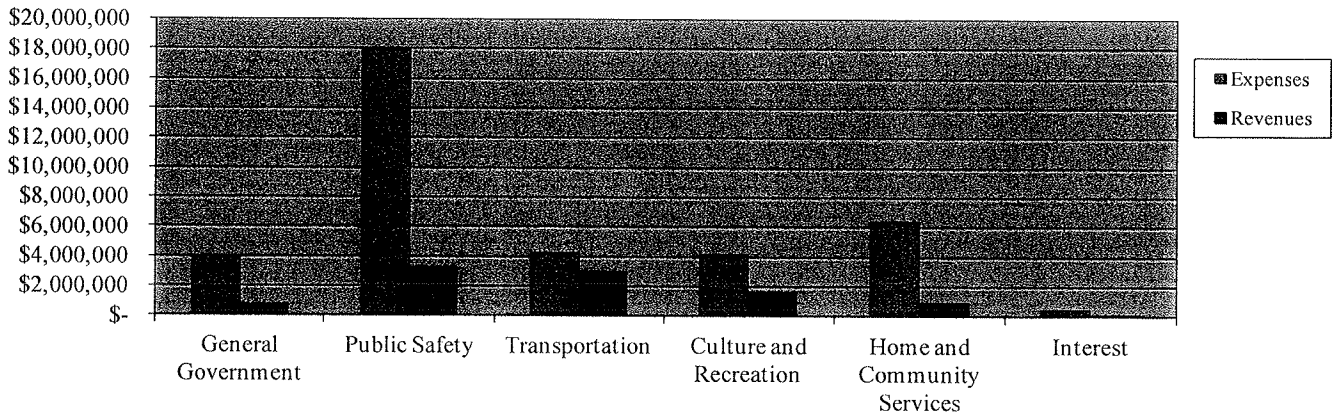


Revenues from charges for services were $6,163,893. Governmental programs received $1,098,398 in operating grants, and $2,208,204 in capital grants, which includes:

- $1,313,484 in Federal Aid for the Central Avenue Bridge
- $ 400,000 in State Aid for the Bowman Avenue Sluice Gate
- $ 344,040 in State Aid (CHIPS) for road improvements
- $ 150,000 in Municipal Aid for the Osborn Road/Theodore Fremd Avenue Corridor

	Changes in Net Position					
	Year Ended December 31, 2013			Year Ended December 31, 2012		
	Governmental Activities	Business-type Activities	Total	Governmental Activities	Business-type Activities	Total
REVENUES						
Program Revenues						
Charges for Services	$ 6,163,893	$ 6,724,029	$ 12,887,922	$ 5,962,787	$ 7,400,656	$ 13,363,443
Operating Grants and Contributions	1,098,398	559,942	1,658,340	1,429,372	28,718	1,458,090
Capital Grants and Contributions	2,208,204	-	2,208,204	267,288	-	267,288
General Revenues						
Real Property Taxes	20,860,358	-	20,860,358	20,433,026	-	20,433,026
Non-Property Taxes	2,791,836	-	2,791,836	2,612,451	-	2,612,451
Unrestricted Use of Money and Property	48,667	2,311	50,978	43,420	1,963	45,383
Gain on Sale of Capital Assets	470,967	-	470,967	-	-	-
Unrestricted State Aid	3,390,946	-	3,390,946	2,496,256	-	2,496,256
Other Revenues	562,540	-	562,540	538,610	-	538,610
Total Revenues	37,595,809	7,286,282	44,882,091	33,783,210	7,431,337	41,214,547
EXPENSES						
General Government	3,966,979	-	3,966,979	3,733,393	-	3,733,393
Public Safety	18,064,524	-	18,064,524	16,093,285	-	16,093,285
Transportation	4,266,362	-	4,266,362	3,858,783	-	3,858,783
Culture and Recreation	4,149,577	-	4,149,577	4,055,710	-	4,055,710
Home and Community Services	6,376,814	-	6,376,814	6,302,729	-	6,302,729
Interest	506,947	-	506,947	589,183	-	589,183
Boat Basin	-	895,996	895,996	-	1,018,739	1,018,739
Golf Club	-	5,700,251	5,700,251	-	6,851,676	6,851,676
Total Expenses	37,331,203	6,596,247	43,927,450	34,633,083	7,870,415	42,503,498
Change in Net Position	264,606	690,035	954,641	(849,873)	(439,078)	(1,288,951)
Net Position - Beginning	50,060,862	10,031,557	60,092,419	50,910,735	10,470,635	61,381,370
Net Position - Ending	$ 50,325,468	$ 10,721,592	$ 61,047,060	$ 50,060,862	$ 10,031,557	$ 60,092,419

Expenses and Program Revenues - Governmental Activities

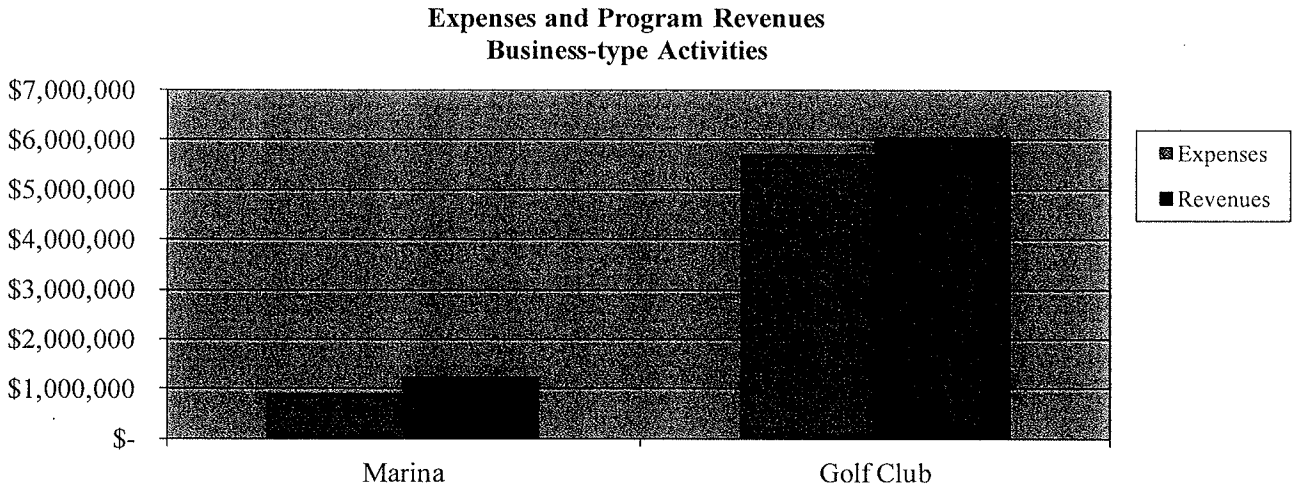


Business-type Activities

Business-type activities increased the City's net position by $690,035.

Revenues from charges for services were $663,455 from marina operations and $6,060,574 from golf club operations. Business operating grants of $559,942 represents FEMA aid for the marina dredging project accrued by the City in 2013. Combined, the business-type activities earned $2,311 in interest and investment income.

Offsetting these revenues were expenses of $895,996 for marina operations and $5,700,251 for golf club operations.



Financial Analysis of the City's Funds

As noted earlier, the City uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements.

Governmental Funds

The focus of the City's governmental funds is to provide information on near-term inflows, outflows and balances of spendable resources. Such information is useful in assessing the City's financing requirements. In particular, unassigned fund balance may serve as a useful measure of a government's net resources available for spending at the end of the fiscal year. Excluding the Capital Projects Fund, the City's governmental funds reported combined ending fund balances of $13,107,354, an increase of $1,396,917 (11.9%) from the prior year. Approximately 45% ($5,942,286) of the total ending fund balance constitutes unassigned fund balance, which is available for spending at the City's discretion. The remainder of fund balance is classified as nonspendable, restricted or assigned in accordance with the provisions of GASB Statement No. 54.

The General Fund is the chief operating fund of the City. At the end of the current fiscal year, unassigned fund balance was $5,942,286, representing 48% of the total General Fund balance of $12,363,291. Two useful measures of liquidity are the percentage of unassigned fund balance to total expenditures and total fund balance to total expenditures. At the end of the current fiscal year, the General Fund showed a 18.2% unassigned fund balance to total expenditures and other financing uses and a 37.8% total fund balance to total expenditures and other financing uses.

The General Fund's fund balance increased by $1,281,526 during the current fiscal year. Of this amount, transfers out of the General Fund exceeded transfers in by $509,595. The transfers out were as follows: $274,595 to the Debt Service Fund for long-term debt principal and interest requirements, $145,000 to the Capital Projects Fund for annual infrastructure projects and $90,000 to the Building and Vehicle Fund for vehicle and equipment purchases. There were no transfers in from other funds in 2013. Operating revenues of the General Fund exceeded operating expenditures of that fund by $1,791,121. Major changes in specific revenues and expenditures in comparison to the prior year are as follows:

- Real property tax revenues increased $486,047 (2.4%) from $20,245,812 to $20,731,859, which reflects our tax rate increase, offset by refunds to taxpayers due to tax certiorari and small claims assessment review settlements and decreases in penalties collected on delinquent tax payments.
- Non-property taxes increased $179,385 (6.9%) from $2,612,451 to $2,791,836, due to increases in sales and use tax of $146,381, utility taxes of $21,760 and hotel occupancy taxes of $11,244.
- Charges for services increased $6,367 (0.35%) from $1,809,922 to $1,816,289.
- Interest and investment income increased slightly, $4,269 from $42,475 to $46,744 due to continued low interest rates, coupled with slightly higher cash balances available for investment.
- Licenses and permit revenues increased $451,756 (19.5%) from $2,311,138 to $2,762,894 which can be attributed predominantly to increases in building permit revenue of $435,346 and filming permit revenue of $16,800.
- Fines and forfeitures decreased $98,428 (16.2%), from $609,247 to 510,819, with decreased vehicle and traffic fines of $32,147 and parking violations of $68,196.
- Miscellaneous revenues decreased $88,428 (24.4%) from $362,442 to $274,014, due to a decrease in police overtime reimbursements, as a there were numerous Con Edison projects in 2012.
- Workers compensation recoveries increased $117,216 from $106,858 to $224,074.
- Mortgage tax revenues increased $894,689 (69.5%) from $1,288,232 to $2,182,921. State revenue sharing remained flat. State aid for navigation increased $38,864.
- Federal aid and State emergency disaster aid received in 2013 was up $72,054 reflecting additional FEMA payments to reimburse expenditures related to both Hurricane Irene and Super-storm Sandy.
- Salaries and wages increased $717,329 (5.97%) from $12,024,640 to $12,741,969, reflecting the Police arbitration award for 2009 and 2010 as well as year-end salary accruals for multiple bargaining units with expired contracts.
- Equipment purchases decreased from $230,943 to $213,277, with computer and system purchases up $32,945 and miscellaneous non-office equipment (related to Super-storm Sandy) down $36,722.
- Materials and supplies increased $258,699 (13.1%) from $1,974,149 to $2,232,848. This can be attributed to increases in tax certiorari payments of $218,643 (with large settlements to Westchester Country Club, 411 Theodore Fremd Avenue 120 Old Post

Road) sand, salt and deicing costs of $106,184 (with a mild winter in 2012), licenses, permits and fees of $24,257 and printing costs (related to litigation) of $21,264 offset by decreases in tree maintenance (related to Super-storm Sandy) of $110,797.

- Contractual costs were down $244,167 (3.2%) from $7,705,461 to $7,461,294, with decreases in risk fund inter-fund service charges of $114,782, miscellaneous service contracts of $91,566 and legal services of $76,349, offset by increases in recreation event admission fees of $13,269, consulting fees of $10,721, arbitration costs of $7,943 and appraisal services of $7,500.
- Employee benefits and taxes increased $1,447,289 (17.8%) from $8,113,318 to $9,560,607. Increases in retirement costs of $800,139 (due to sharply higher contribution rates and the aforementioned arbitration award and accruals for contract settlements), hospitalization and medical costs of $412,858 and workers' compensation costs $160,466 (the first full year of experience due to a large settlement in 2011) contributed to the increase.

The Capital Projects Fund closed the fiscal year with a fund balance of $1,075,353. Capital Projects Fund revenues of $2,441,518, expenditures of $3,198,418 and other financing sources and uses of $145,000 (net) represent only the current fiscal year's portion of total project activity. An itemized project-length schedule of all projects is presented elsewhere in this report.

Proprietary Funds

The City's proprietary funds statements provide the same type of information found in the government-wide financial statements, but in greater detail.

Total net position of the Boat Basin Fund at year-end was $2,425,080, an increase of $331,591 from the prior year. The net investment in capital assets was $1,979,750 and unrestricted net position of $445,330 represented the balance of net position. The operating loss of the Boat Basin Fund was $229,075 (operating revenues of $663,455 offset by $892,530 of operating expenses). Interest income of $724 and state and federal aid of $559,942 (Aid related to Hurricane Irene and Super-storm Sandy for dredging of the marina) made up the balance of total net income.

Total net position of the Golf Club Fund at year-end was $8,444,427, an increase of $406,465 from the prior year. The net investment in capital assets was $7,345,089 with unrestricted net position of $1,099,338 representing the balance of net position. Golf Club Fund operating expenses of $5,603,256 offset operating revenues of $6,060,574 to arrive at operating income of $457,318. Interest income of $1,587 offset by $52,440 of interest expense related to long-term debt made up the balance of total net income.

General Fund Budgetary Highlights

At the end of 2013 the difference between the operating appropriations originally budgeted and the amended budget for the General Fund was $603,406 ($31,706,181 vs. $32,309,587), a change of 1.9%. This can be attributed to the appropriation of prior year encumbrances carried forward of $308,004, $295,402 in carry forward balances of recreation special event and surcharges from the end of 2012. The aforementioned increase in expenditures related to the arbitration award, year end payroll accruals, health insurance and tax certiorari settlements, along with year end encumbrances of $341,771, led to general fund expenditures and encumbrances exceeding amended budgeted expenditures by $751,774.

At year-end, the difference between the original and amended budget for the General Fund estimated operating revenues was $982,921 ($30,910,834 vs. $31,893,755). However, the actual revenues realized for the year ended December 31, 2013 exceeded the anticipated amount in the final budget by $2,107,358. The most significant were as follows: sales and use tax revenues, increased building permit revenues, recreation program fees, fees in lieu of parkland, police overtime reimbursement, workers' compensation recoveries, State revenue sharing, State Navigation Aid, Federal and State emergency disaster and mortgage tax revenues which came in at $982,921 better than budgeted.

At the meeting of April 23, 2014 the City Council adopted a resolution to accept the additional $982,921 in mortgage tax revenue, to cover the various expenditures exceeding budget.

Capital Assets and Debt Administration

Capital Assets

The City's investment in capital assets for governmental and business-type activities at December 31, 2013, net of $50,923,770 of accumulated depreciation, was $78,041,312. This investment in capital assets includes land, buildings, improvements, machinery and equipment, construction-in-progress, and infrastructure.

Major capital asset events during the current fiscal year included the following:

The Sale of 1037 Boston Post Road for a book gain of $457,108, general vehicle and equipment additions totaled $214,288, including the installation of a generator at the public works building, in the amount of $67,962, a DPW pickup truck for a purchase price of $33,091, two snowblowers for $82,090, and two salters for $31,145.

- Two sewer systems were added to the infrastructure (Cedar Place and Old Milton Road) in the amount of $349,955.

Capital Assets

	December 31, 2013			December 31, 2012		
Asset	Governmental Activities	Business-type Activities	Total	Governmental Activities	Business-type Activities	Total
Land	$ 4,561,755	$ 1,700,408	$ 6,262,163	$ 6,571,814	$ 1,700,408	$ 8,272,222
Construction in progress	3,904,471	78,703	3,983,174	1,899,998	38,670	1,938,668
Buildings	16,506,163	11,759,480	28,265,643	19,832,889	11,759,480	31,592,369
Improvements	4,672,224	7,361,215	12,033,439	4,636,167	6,747,374	11,383,541
Machinery and equipment	10,103,018	1,254,764	11,357,782	10,044,263	1,239,325	11,283,588
Infrastructure	67,062,881	-	67,062,881	66,712,926	-	66,712,926
Less - accumulated depreciation	(40,014,485)	(10,909,285)	(50,923,770)	(39,168,627)	(10,151,391)	(49,320,018)
Total (net of depreciation)	$ 66,796,027	$ 11,245,285	$ 78,041,312	$ 70,529,430	$ 11,333,866	$ 81,863,296

Additional information on the City's capital assets can be found in Note 3, C in the notes to financial statements.

Long-term Debt

At the end of the current fiscal year, the City had total bonded debt outstanding of $18,010,000. As required by New York State Law, all bonds issued by the City are general obligation bonds, backed by the full faith and credit of the City. As a policy, principal and interest on debt issued by the enterprise funds must be repaid solely from revenues of those funds. Of the $18,010,000 bonded debt outstanding at fiscal year end, $1,910,000 represented debt of the Golf Club Enterprise Fund.

Known as the "constitutional debt limit", and pursuant to New York State Local Finance Law §104, the City must limit total outstanding long-term debt to no more than 7% of the five-year average full valuation of real property. At December 31, 2013, the City had exhausted 3.46% of its constitutional debt limit, providing an available debt margin of 96.54% with the authority to issue an additional $455 million of general obligation long-term debt.

City Charter §C21-9 specifies the amount of debt that can be authorized solely on the adoption of a resolution by the City Council; the amount that can be raised by resolution of the City Council but subject to permissive referendum, and the amount requiring a mandatory referendum. At December 31, 2013, the amount of debt that could be authorized by City Council resolution alone was $291,094 and the amount that could be authorized by City Council resolution subject to a permissive referendum was $2,087,592. The authorization of all other long-term debt, unless otherwise specifically exempt by law, requires a mandatory referendum.

In 2013 (when the City refunded its 1998 Series B and 2005 PISB), Moody's Investor Service assigned its highest credit rating ("Aaa") to all City debt.

Additional information on the City's long-term debt can be found in Note 3,G in the notes to financial statements.

Economic Factors and Next Year's Budgets and Rates

According to the United States Bureau of Labor Statistics, the 2013 unemployment rate for the City was 6.3%. This compares favorably with the rate of 7.7% for New York State and the 7.4% national unemployment rate. The slight increase in the Consumer Price Index, not seasonally adjusted, for the area (New York-Northern New Jersey-Long Island, NY-NJ-CT-PA) from 2012 to 2013 was roughly the same compared to the increase recorded for the U.S. city average for the same period.

Home financing and refinancing rates continued to be low in fiscal 2013 and as a result there were dramatic increases in revenue from mortgage taxes and building activity. Taxable assessed value of real property in the City showed a marginal increase of 0.26% (from $137,736,733 in 2012 to $138,095,192 in 2013).

The median home sales price had a minimal increase from $1,440,000 in 2012 to $1,450,000 in 2013 and the average home sales price increased from $1,869,000 in 2012 to $1,920,000 in 2013 (2.7%).

City management has taken the approach that 2014 will continue to be a transitional period in City budgeting. Expenditures such as health insurance and employee pension contributions have continued to increase each year since 2010. Although revenues other than property taxes

have returned to pre-recession levels, we cannot expect these revenues to continue to keep increasing at these levels.

The City appropriated the use of $1,301,585 of fund balance from the General Fund for spending in fiscal 2014 to fund the acquisition of capital assets and equipment. This is an increase of $816,238, when compared to 2013. The use of this fund balance was within the City's policy of maintaining an unassigned General Fund fund balance of at least 5% of annual appropriations. Combined with all other revenue sources, the fiscal 2014 real property tax rate of $150.38 per $1,000 of taxable assessed value provides funding for all General Fund appropriations. The tax rate increase from 2013 to 2014 is 0.99% ($148.91 to $150.38).

Requests for Information

This financial report is designed to provide a general overview of the City's finances for all those with an interest in those finances. Questions and comments concerning any of the information provided in this report should be addressed to Joseph S. Fazzino, Deputy City Comptroller, City of Rye, 1051 Boston Post Road, Rye, New York 10580.

BASIC FINANCIAL STATEMENTS

City of Rye, New York

Statement of Net Position
December 31, 2013
(With Comparative Amounts for 2012)

	2013		
	Governmental Activities	Business-type Activities	Total
ASSETS			
Cash and equivalents	$ 20,502,173	$ 2,428,259	$ 22,930,432
Taxes receivable	1,153,195	-	1,153,195
Accounts receivable	1,062,262	11,159	1,073,421
Deposits	28,500	-	28,500
Due from other governments	2,576,418	554,586	3,131,004
Internal balances	467,526	(467,526)	-
Prepaid expenses	1,060,925	62,313	1,123,238
Inventories	-	56,976	56,976
Deferred charges	-	-	-
Capital assets			
Not being depreciated	8,466,226	1,779,111	10,245,337
Being depreciated, net	58,329,801	9,466,174	67,795,975
Total Assets	93,647,026	13,891,052	107,538,078
DEFERRED OUTFLOWS OF RESOURCES			
Deferred amounts on refunding bonds	491,110	64,251	555,361
LIABILITIES			
Accounts payable	966,310	120,702	1,087,012
Accrued liabilities	2,397,231	57,289	2,454,520
Accrued interest payable	97,698	14,231	111,929
Retainage payable	209,922	-	209,922
Deposits	660,405	-	660,405
Due to other governments	537,917	30,976	568,893
Unearned revenues	636,161	-	636,161
Non-current liabilities			
Due within one year	1,641,967	371,900	2,013,867
Due in more than one year	36,665,057	2,638,613	39,303,670
Total Liabilities	43,812,668	3,233,711	47,046,379
NET POSITION			
Net investment in capital assets	51,028,225	9,324,839	60,353,064
Restricted for			
Capital projects	1,640,486	-	1,640,486
Permanent fund			
Expendable	166	-	166
Nonexpendable	20,000	-	20,000
Special purposes			
Police purposes	8,809	-	8,809
Fire purposes	2,000	-	2,000
Parks	99,659	-	99,659
Recreation programs	330,199	-	330,199
WWI memorial	4,151	-	4,151
Debt service	52,172	-	52,172
Risk retention	541,093	-	541,093
Unrestricted	(3,401,492)	1,396,753	(2,004,739)
Total Net Position	$ 50,325,468	$ 10,721,592	$ 61,047,060

The notes to financial statements are an integral part of this statement.

2012		
Governmental Activities	Business-type Activities	Total
$ 14,932,898	$ 2,031,215	$ 16,964,113
1,169,675	-	1,169,675
896,247	2,264	898,511
28,500	-	28,500
1,856,409	323,850	2,180,259
230,437	(230,437)	-
1,084,210	56,040	1,140,250
61,575	16,733	78,308
8,471,812	1,739,078	10,210,890
62,057,618	9,594,788	71,652,406
90,789,381	13,533,531	104,322,912
293,193	54,623	347,816
1,275,498	198,561	1,474,059
1,943,164	25,438	1,968,602
115,251	28,462	143,713
189,814	-	189,814
585,726	-	585,726
615,030	34,390	649,420
564,741	-	564,741
1,564,363	341,700	1,906,063
34,168,125	2,928,046	37,096,171
41,021,712	3,556,597	44,578,309
54,209,854	9,097,824	63,307,678
2,122,425	-	2,122,425
141	-	141
20,000	-	20,000
8,709	-	8,709
2,000	-	2,000
99,659	-	99,659
295,401	-	295,401
4,151	-	4,151
54,643	-	54,643
630,676	-	630,676
(7,386,797)	933,733	(6,453,064)
$ 50,060,862	$ 10,031,557	$ 60,092,419

City of Rye, New York

Statement of Activities
Year Ended December 31, 2013
(With Comparative Amounts for 2012)

	2013						
		Program Revenues			Net (Expense) Revenue and Changes in Net Position		
Functions/Programs	Expenses	Charges for Services	Operating Grants and Contributions	Capital Grants and Contributions	Governmental Activities	Business Type Activities	Total
Governmental activities							
General government	$ 3,966,979	$ 707,740	$ -	$ -	$ (3,259,239)	$ -	$ (3,259,239)
Public safety	18,064,524	2,473,451	789,830	-	(14,801,243)	-	(14,801,243)
Transportation	4,266,362	1,323,178	-	1,627,589	(1,315,595)	-	(1,315,595)
Culture and recreation	4,149,577	1,479,838	121,533	-	(2,548,206)	-	(2,548,206)
Home and community services	6,376,814	179,686	187,035	580,391	(5,429,702)	-	(5,429,702)
Interest	506,947	-	-	224	(506,723)	-	(506,723)
Total Governmental Activities	37,331,203	6,163,893	1,098,398	2,208,204	(27,860,708)	-	(27,860,708)
Business-type activities							
Boat basin	895,996	663,455	559,942	-	-	327,401	327,401
Golf club	5,700,251	6,060,574	-	-	-	360,323	360,323
Total Business-type Activities	6,596,247	6,724,029	559,942	-	-	687,724	687,724
Total	$ 43,927,450	$ 12,887,922	$ 1,658,340	$ 2,208,204	(27,860,708)	687,724	(27,172,984)
General revenues							
Real property taxes and related items					20,860,358	-	20,860,358
Non-property taxes							
Sales and use taxes					2,222,745	-	2,222,745
Hotel occupancy taxes					166,778	-	166,778
Utilities gross receipts taxes					402,313	-	402,313
Unrestricted use of money and property					48,667	2,311	50,978
Gain on sale of capital assets					470,967	-	470,967
Governmental aid not restricted to specific programs					3,390,946	-	3,390,946
Other revenues					562,540	-	562,540
Total General Revenues					28,125,314	2,311	28,127,625
Change in Net Position					264,606	690,035	954,641
Net Position - Beginning					50,060,862	10,031,557	60,092,419
Net Position - Ending					$ 50,325,468	$ 10,721,592	$ 61,047,060

The notes to financial statements are an integral part of this statement.

	2012					
	Program Revenues			Net (Expense) Revenue and Changes in Net Position		
Expenses	Charges for Services	Operating Grants and Contributions	Capital Grants and Contributions	Governmental Activities	Business Type Activities	Total
$ 3,733,393	$ 899,551	$ 1,458	$ -	$ (2,832,384)	$ -	$ (2,832,384)
16,093,285	2,129,247	681,077	-	(13,282,961)	-	(13,282,961)
3,858,783	1,264,716	-	267,196	(2,326,871)	-	(2,326,871)
4,055,710	1,457,025	145,750	-	(2,452,935)	-	(2,452,935)
6,302,729	212,248	601,087	-	(5,489,394)	-	(5,489,394)
589,183	-	-	92	(589,091)	-	(589,091)
34,633,083	5,962,787	1,429,372	267,288	(26,973,636)	-	(26,973,636)
1,018,739	640,518	28,718	-	-	(349,503)	(349,503)
6,851,676	6,760,138	-	-	-	(91,538)	(91,538)
7,870,415	7,400,656	28,718	-	-	(441,041)	(441,041)
$ 42,503,498	$ 13,363,443	$ 1,458,090	$ 267,288	(26,973,636)	(441,041)	(27,414,677)
				20,433,026	-	20,433,026
				2,076,364	-	2,076,364
				155,534	-	155,534
				380,553	-	380,553
				43,420	1,963	45,383
				-	-	-
				2,496,256	-	2,496,256
				538,610	-	538,610
				26,123,763	1,963	26,125,726
				(849,873)	(439,078)	(1,288,951)
				50,910,735	10,470,635	61,381,370
				$ 50,060,862	$ 10,031,557	$ 60,092,419

City of Rye, New York

Balance Sheet
Governmental Funds
December 31, 2013
(With Comparative Amounts for 2012)

	2013			
	General Fund	Capital Projects Fund	Non-Major Governmental Funds	Total Governmental Funds
ASSETS				
Cash and equivalents	$ 11,416,704	$ 1,411,906	$ 675,671	$ 13,504,281
Receivables				
Taxes receivable	1,153,195	-	-	1,153,195
Accounts receivable	566,315	30,387	89,005	685,707
Due from other funds	2,106,961	154,650	-	2,261,611
Due from other governments	1,027,765	1,508,575	-	2,536,340
Prepaid expenditures	919,261	-	7,261	926,522
Total Assets	$ 17,190,201	$ 3,105,518	$ 771,937	$ 21,067,656
LIABILITIES, DEFERRED INFLOWS OF RESOURCES AND FUND BALANCES				
Liabilities				
Accounts payable	$ 404,991	$ 303,407	$ 2,867	$ 711,265
Accrued liabilities	1,711,976	-	515	1,712,491
Retainage payable	-	97,364	-	97,364
Deposits	660,405	-	-	660,405
Due to other funds	686,971	1,628,300	23,285	2,338,556
Due to other governments	535,616	1,094	1,207	537,917
Unearned revenues	636,161	-	-	636,161
Total Liabilities	4,636,120	2,030,165	27,874	6,694,159
Deferred inflows of resources				
Deferred tax revenues	190,790	-	-	190,790
Total Liabilities and Deferred Inflows of Resources	4,826,910	2,030,165	27,874	6,884,949
Fund balances				
Nonspendable	1,533,556	-	27,261	1,560,817
Restricted	1,383,442	705,847	62,353	2,151,642
Assigned	3,504,007	369,506	654,449	4,527,962
Unassigned	5,942,286	-	-	5,942,286
Total Fund Balances	12,363,291	1,075,353	744,063	14,182,707
Total Liabilities, Deferred Inflows of Resources and Fund Balances	$ 17,190,201	$ 3,105,518	$ 771,937	$ 21,067,656

The notes to financial statements are an integral part of this statement.

2012			
General Fund	Capital Projects Fund	Non-Major Governmental Funds	Total Governmental Funds
$ 8,484,821	$ 2,215,829	$ 572,990	$ 11,273,640
1,169,675	-	-	1,169,675
556,891	-	84,305	641,196
3,506,595	56,872	5,017	3,568,484
1,076,517	735,003	-	1,811,520
957,958	-	4,900	962,858
$ 15,752,457	$ 3,007,704	$ 667,212	$ 19,427,373
$ 1,047,904	$ 55,294	$ 10,544	$ 1,113,742
1,384,294	-	922	1,385,216
-	30,589	-	30,589
585,726	-	-	585,726
287,912	1,233,474	25,072	1,546,458
611,934	1,094	2,002	615,030
564,741	-	-	564,741
4,482,511	1,320,451	38,540	5,841,502
188,181	-	-	188,181
4,670,692	1,320,451	38,540	6,029,683
1,501,991	-	24,900	1,526,891
1,938,058	726,792	62,836	2,727,686
2,659,084	960,461	540,936	4,160,481
4,982,632	-	-	4,982,632
11,081,765	1,687,253	628,672	13,397,690
$ 15,752,457	$ 3,007,704	$ 667,212	$ 19,427,373

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City of Rye, New York

Reconciliation of Governmental Funds Balance Sheet to
The Government-Wide Statement of Net Position - Governmental Activities
December 31, 2013
(With Comparative Amounts for 2012)

	2013	2012
Fund Balances - Total Governmental Funds	$ 14,182,707	$ 13,397,690
Amounts reported for governmental activities in the statement of net position are different because		
Capital assets used in governmental activities are not financial resources and, therefore, are not reported in the funds.	44,549,998	42,589,581
Revenues in the statement of activities that do not provide current financial resources are not reported as revenues in the funds.		
Real property taxes	190,790	188,181
Governmental funds report the effect of issuance costs, premiums, discounts and similar items when debt is first issued, whereas these amounts are deferred and amortized in the statement of activities.		
Deferred charges	-	7,300
Deferred amounts on refunding bonds	49,997	34,236
	49,997	41,536
Internal service funds are used by management to charge the costs of building maintenance, vehicle maintenance, and risk to individual funds. The assets and liabilities of the internal service funds are included in governmental activities in the statement of net position.	17,155,836	16,511,469
Long-term liabilities that are not due and payable in the current period and, therefore, are not reported in the funds		
Accrued liabilities	(263,229)	(203,133)
Bonds payable	(5,182,532)	(5,415,398)
Claims payable	(1,105,833)	(1,145,813)
Accrued interest payable	(24,293)	(33,016)
Compensated absences	(1,393,551)	(1,326,166)
Other postemployment benefit obligations payable	(17,834,422)	(14,544,069)
	(25,803,860)	(22,667,595)
Net Position of Governmental Activities	$ 50,325,468	$ 50,060,862

The notes to financial statements are an integral part of this statement.

City of Rye, New York

Statement of Revenues, Expenditures, and Changes in Fund Balances
Governmental Funds
Year Ended December 31, 2013
(With Comparative Amounts for 2012)

	2013			
	General Fund	Capital Projects Fund	Non-Major Governmental Funds	Total Governmental Funds
REVENUES				
Real property taxes and related items	$ 20,731,859	$ -	$ 125,890	$ 20,857,749
Non-property taxes	2,791,836	-	332,571	3,124,407
Charges for services	1,816,289	-	-	1,816,289
Intergovernmental charges	85,998	-	-	85,998
Use of money and property	155,476	-	941	156,417
Licenses and permits	2,762,894	47,000	-	2,809,894
Fines and forfeitures	510,819	-	-	510,819
Sale of property and compensation for loss	238,215	-	-	238,215
Interfund revenues	445,759	-	-	445,759
State aid	3,488,355	744,040	-	4,232,395
Federal aid	699,599	1,283,549	-	1,983,148
Miscellaneous	274,014	366,929	51,524	692,467
Total Revenues	34,001,113	2,441,518	510,926	36,953,557
EXPENDITURES				
Current				
General government	3,774,826	-	-	3,774,826
Public safety	16,111,656	-	-	16,111,656
Transportation	3,226,903	-	-	3,226,903
Culture and recreation	3,773,307	-	266,950	4,040,257
Home and community services	5,323,300	-	-	5,323,300
Debt service				
Principal	-	-	254,579	254,579
Interest	-	-	148,601	148,601
Refunding bond issuance costs	-	-	6,655	6,655
Capital outlay				
Public safety	-	2,170	-	2,170
Transportation	-	1,927,269	-	1,927,269
Home and community services	-	1,268,979	-	1,268,979
Total Expenditures	32,209,992	3,198,418	676,785	36,085,195
Excess (Deficiency) of Revenues Over Expenditures	1,791,121	(756,900)	(165,859)	868,362
OTHER FINANCING SOURCES (USES)				
Refunding bonds issued	-	-	378,885	378,885
Issuance premium	-	-	13,598	13,598
Payment to refunded bond escrow agent	-	-	(385,828)	(385,828)
Transfers in	-	555,456	274,595	830,051
Transfers out	(509,595)	(410,456)	-	(920,051)
Total Other Financing Sources (Uses)	(509,595)	145,000	281,250	(83,345)
Net Change in Fund Balances	1,281,526	(611,900)	115,391	785,017
FUND BALANCES				
Beginning of Year	11,081,765	1,687,253	628,672	13,397,690
End of Year	$ 12,363,291	$ 1,075,353	$ 744,063	$ 14,182,707

The notes to financial statements are an integral part of this statement.

2012			
General Fund	Capital Projects Fund	Non-Major Governmental Funds	Total Governmental Funds
$ 20,245,812	$ -	$ 189,536	$ 20,435,348
2,612,451	-	326,183	2,938,634
1,809,922	-	-	1,809,922
81,095	-	-	81,095
338,017	-	552	338,569
2,311,138	33,425	-	2,344,563
609,247	-	-	609,247
119,983	-	-	119,983
441,634	-	-	441,634
2,630,308	284,502	-	2,914,810
549,679	45,854	-	595,533
362,442	536,080	52,023	950,545
32,111,728	899,861	568,294	33,579,883
3,622,160	-	-	3,622,160
14,340,455	-	-	14,340,455
2,972,934	-	-	2,972,934
3,616,440	-	269,172	3,885,612
5,496,520	-	-	5,496,520
-	-	246,918	246,918
-	-	156,428	156,428
-	-	-	-
-	-	-	-
-	293,946	-	293,946
-	985,249	-	985,249
30,048,509	1,279,195	672,518	32,000,222
2,063,219	(379,334)	(104,224)	1,579,661
-	-	-	-
-	-	-	-
-	-	-	-
35,000	194,943	261,896	491,839
(274,216)	(182,623)	-	(456,839)
(239,216)	12,320	261,896	35,000
1,824,003	(367,014)	157,672	1,614,661
9,257,762	2,054,267	471,000	11,783,029
$ 11,081,765	$ 1,687,253	$ 628,672	$ 13,397,690

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City of Rye, New York

Reconciliation of the Statement of Revenues, Expenditures, and
Changes in Fund Balances of Governmental Funds to the Statement of Activities
Year Ended December 31, 2013
(With Comparative Amounts for 2012)

	2013	2012
Amounts Reported for Governmental Activities in the Statement of Activities are Different Because		
Net Change in Fund Balances - Total Governmental Funds	$ 785,017	$ 1,614,661
Governmental funds report capital outlays as expenditures. However, in the statement of activities, the cost of those assets is allocated over their estimated useful lives and reported as depreciation expense. This is the amount by which capital outlays exceeded depreciation expense in the current period. This amount is less than the total capital outlay since capital outlay includes amounts that are under the capitalization threshold or were repairs and maintenance and, therefore, were not capitalized.		
Capital outlay expenditures capitalized	2,362,076	937,461
Depreciation expense	(401,659)	(396,532)
	1,960,417	540,929
Revenues in the statement of activities that do not provide current financial resources are not reported as revenues in the funds -		
Real property taxes	2,609	(2,322)
Bond proceeds provide current financial resources to governmental funds, but issuing debt increases long-term liabilities in the statement of net position. Repayment of bond principal is an expenditure in the governmental funds, but the repayment reduces long-term liabilities in the statement of net position.		
Refunding bonds issued	(378,885)	-
Premium of debt issued	(13,598)	-
Payment to refunded bond escrow agent	385,828	-
Principal paid on bonds	254,579	246,918
Amortization of issuance costs, loss on refunding bonds and issuance premium	(6,597)	116
	241,327	247,034
Some expenses reported in the statement of activities do not require the use of current financial resources and, therefore, are not reported as expenditures in governmental funds:		
Self-insured health benefits	(60,096)	89,618
Claims	39,980	(109,214)
Accrued interest	8,723	(6,691)
Compensated absences	(67,385)	(7,962)
Other postemployment benefit obligations payable	(3,290,353)	(3,258,864)
	(3,369,131)	(3,293,113)
Internal service funds are used by management to charge the costs of building maintenance, vehicle maintenance and risk to individual funds. The net revenue of certain activities of internal service funds is reported with governmental activities.	644,367	42,938
Change in Net Position of Governmental Activities	$ 264,606	$ (849,873)

The notes to financial statements are an integral part of this statement.

City of Rye, New York

General Fund
Statement of Revenues, Expenditures, and Changes in Fund Balance - Budget and Actual
Year Ended December 31, 2013
(With Comparative Amounts for 2012)

	2013			
	Original Budget	Final Budget	Actual	Variance with Final Budget Positive (Negative)
REVENUES				
Real property taxes and related items	$ 20,853,483	$ 20,853,483	$ 20,731,859	$ (121,624)
Non-property tax	2,525,000	2,525,000	2,791,836	266,836
Charges for services	1,662,360	1,662,360	1,816,289	153,929
Intergovernmental charges	91,245	91,245	85,998	(5,247)
Use of money and property	335,610	335,610	155,476	(180,134)
Licenses and permits	1,872,438	1,872,438	2,762,894	890,456
Fines and forfeitures	589,700	589,700	510,819	(78,881)
Sale of property and compensation for loss	41,000	41,000	238,215	197,215
Interfund revenues	440,434	440,434	445,759	5,325
State aid	2,328,322	3,311,243	3,488,355	177,112
Federal aid	-	-	699,599	699,599
Miscellaneous	171,242	171,242	274,014	102,772
Total Revenues	30,910,834	31,893,755	34,001,113	2,107,358
EXPENDITURES				
General government				
City Council	63,861	196,189	195,475	714
City Manager	566,587	620,094	584,582	35,512
Finance Administration	156,280	167,019	162,300	4,719
General Accounting	350,162	275,459	244,345	31,114
Accounts Payable	104,581	94,825	92,894	1,931
Payroll	142,838	199,587	196,963	2,624
Treasury	272,428	473,745	459,387	14,358
Parking Violation Bureau	55,549	48,422	46,243	2,179
Assessor	420,667	410,924	410,224	700
City Clerk	369,530	337,025	320,021	17,004
Records Management	53,867	41,104	39,144	1,960
Parking Administration	50,673	42,626	40,967	1,659
Law	272,452	259,892	258,837	1,055
Human Resources	330,245	306,353	304,302	2,051
Elections	36,518	36,518	33,772	2,746
City Hall Annex	181,251	63,047	63,047	-
Information Technology	360,066	340,473	322,323	18,150
Contingent Account	300,000	-	-	-
Total General Government	4,087,555	3,913,302	3,774,826	138,476

2012			
Original Budget	Final Budget	Actual	Variance with Final Budget Positive (Negative)
$ 20,266,310	$ 20,266,310	$ 20,245,812	$ (20,498)
2,295,365	2,295,365	2,612,451	317,086
1,633,530	1,633,530	1,809,922	176,392
88,245	88,245	81,095	(7,150)
345,542	345,542	338,017	(7,525)
1,718,705	1,718,705	2,311,138	592,433
613,200	613,200	609,247	(3,953)
44,100	44,100	119,983	75,883
446,409	446,409	441,634	(4,775)
2,318,623	2,318,623	2,630,308	311,685
-	-	549,679	549,679
167,242	168,742	362,442	193,700
29,937,271	29,938,771	32,111,728	2,172,957
75,623	252,977	252,977	-
558,921	548,921	540,125	8,796
239,741	180,939	147,186	33,753
385,438	357,173	295,705	61,468
93,367	93,367	88,383	4,984
129,586	138,896	138,895	1
227,926	262,495	248,032	14,463
39,303	35,821	35,119	702
398,860	385,360	359,063	26,297
337,554	359,389	338,207	21,182
53,705	47,705	46,385	1,320
50,538	50,538	48,254	2,284
284,381	259,546	258,399	1,147
324,180	329,486	329,486	-
36,232	36,232	34,017	2,215
179,919	179,919	179,919	-
292,177	284,077	282,008	2,069
300,000	-	-	-
4,007,451	3,802,841	3,622,160	180,681

(Continued)

City of Rye, New York

General Fund
Statement of Revenues, Expenditures, and Changes in Fund Balance - Budget and Actual (Continued)
Year Ended December 31, 2013
(With Comparative Amounts for 2012)

	2013			
	Original Budget	Final Budget	Actual	Variance with Final Budget Positive (Negative)
Public safety				
Police Administration	$ 1,259,448	$ 1,366,138	$ 1,362,647	$ 3,491
Police Patrol	6,182,281	7,353,787	7,329,317	24,470
Police Investigations	830,841	859,434	844,410	15,024
Police Marine Patrol	138,627	132,948	130,005	2,943
Parking Enforcement	282,282	249,542	247,407	2,135
School Crossing Guards	156,214	150,992	150,833	159
Traffic Control	184,232	168,419	165,884	2,535
Fire Prevention and Suppression	4,995,738	5,323,488	5,196,013	127,475
Animal Control	33,602	33,676	23,811	9,865
Building	426,044	441,823	438,828	2,995
Ambulance Service	222,501	222,501	222,501	-
Total Public Safety	14,711,810	16,302,748	16,111,656	191,092
Transportation				
Public Works Administration	1,227,230	1,145,240	1,124,474	20,766
Engineering	410,890	435,771	421,292	14,479
Street Maintenance	745,998	740,217	738,432	1,785
Snow Removal	362,775	350,994	350,105	889
Street Lighting	355,485	370,596	370,394	202
Off-Street Parking	287,846	223,565	222,206	1,359
Total Transportation	3,390,224	3,266,383	3,226,903	39,480
Culture and recreation				
Recreation Administration	394,411	345,237	340,362	4,875
Parks and Playgrounds	579,439	572,657	566,202	6,455
Recreation Center	299,972	295,849	293,501	2,348
Recreation Programs	184,911	172,447	168,523	3,924
Camps	518,506	515,067	512,537	2,530
Athletics	249,213	250,626	247,397	3,229
Tennis	111,641	111,641	100,612	11,029
Special Events	139,948	144,923	108,886	36,037
Adult Recreation	144,640	161,712	107,785	53,927
Rye Youth Council	40,000	42,099	42,099	-
Rye Town Park	50,000	11,000	11,000	-
Southeast Consortium	21,675	22,532	22,166	366
Rye Free Reading Room	1,110,000	1,110,000	1,110,000	-
Square House Museum	99,706	99,706	86,074	13,632
Rye Arts Center	5,600	5,600	5,600	-
Special Facilities Administration	19,053	19,053	19,046	7
Rye Nature Center	31,517	31,517	31,517	-
Total Culture and Recreation	4,000,232	3,911,666	3,773,307	138,359

2012			
Original Budget	Final Budget	Actual	Variance with Final Budget Positive (Negative)
$ 1,351,744	$ 1,357,509	$ 1,355,537	$ 1,972
5,773,058	6,184,469	6,156,246	28,223
793,165	793,253	793,252	1
138,924	131,435	130,187	1,248
281,739	255,891	255,017	874
136,274	119,139	118,741	398
218,913	180,258	179,063	1,195
4,593,028	4,749,810	4,726,596	23,214
23,307	33,063	23,398	9,665
363,393	379,129	378,643	486
223,775	223,775	223,775	-
13,897,320	14,407,731	14,340,455	67,276
1,224,024	1,154,058	1,151,488	2,570
294,834	360,127	328,058	32,069
780,123	711,874	706,974	4,900
353,044	233,594	161,640	71,954
334,469	350,987	350,986	1
284,078	273,878	273,788	90
3,270,572	3,084,518	2,972,934	111,584
361,902	353,220	352,490	730
575,799	480,444	479,916	528
292,790	241,811	241,811	-
168,009	150,861	150,860	1
451,650	490,588	488,671	1,917
223,389	211,600	209,631	1,969
93,952	100,542	100,542	-
119,093	132,570	130,225	2,345
132,548	117,111	117,099	12
40,000	42,100	42,099	1
75,000	53,100	53,018	82
20,558	22,128	21,343	785
1,080,000	1,087,066	1,087,066	-
107,413	90,613	90,189	424
5,526	5,526	5,526	-
14,204	14,204	14,204	-
31,750	31,750	31,750	-
3,793,583	3,625,234	3,616,440	8,794

(Continued)

City of Rye, New York

General Fund
Statement of Revenues, Expenditures, and Changes in Fund Balance - Budget and Actual (Concluded)
Year Ended December 31, 2013
(With Comparative Amounts for 2012)

	2013			
	Original Budget	Final Budget	Actual	Variance with Final Budget Positive (Negative)
Home and community services				
Planning	$ 279,151	$ 265,379	$ 259,080	$ 6,299
Sanitary Sewers	378,880	350,982	333,804	17,178
Storm Drains	187,781	173,491	158,256	15,235
Refuse and Garbage	2,259,333	2,347,404	2,342,293	5,111
Recycling	858,724	787,675	778,418	9,257
Street Cleaning	62,405	63,695	62,751	944
Community Beautification	700,024	737,825	730,127	7,698
Rodent and Insect Control	11,179	11,179	9,867	1,312
Shade Tree Maintenance	613,603	607,411	605,035	2,376
Conservation	3,689	3,689	3,588	101
Emergency Disaster	-	40,083	40,081	2
Total Home and Community Services	5,354,769	5,388,813	5,323,300	65,513
Total Expenditures	31,544,590	32,782,912	32,209,992	572,920
Excess (Deficiency) of Revenues Over Expenditures	(633,756)	(889,157)	1,791,121	2,680,278
OTHER FINANCING SOURCES (USES)				
Transfers in	-	-	-	-
Transfers out	(469,595)	(509,595)	(509,595)	-
Total Other Financing Uses	(469,595)	(509,595)	(509,595)	-
Net Change in Fund Balance	(1,103,351)	(1,398,752)	1,281,526	2,680,278
FUND BALANCE				
Beginning of Year	1,103,351	1,398,752	11,081,765	9,683,013
End of Year	$ -	$ -	$ 12,363,291	$ 12,363,291

The notes to financial statements are an integral part of this statement.

2012			
Original Budget	Final Budget	Actual	Variance with Final Budget Positive (Negative)
$ 274,623	$ 260,902	$ 257,052	$ 3,850
347,066	351,376	339,624	11,752
200,724	166,730	153,404	13,326
2,064,094	2,195,473	2,195,473	-
820,784	789,513	788,496	1,017
59,879	61,825	60,846	979
649,647	677,673	677,673	-
9,657	9,657	9,472	185
531,048	621,855	621,005	850
3,669	3,669	3,532	137
-	389,944	389,943	1
4,961,191	5,528,617	5,496,520	32,097
29,930,117	30,448,941	30,048,509	400,432
7,154	(510,170)	2,063,219	2,573,389
-	35,000	35,000	-
(261,896)	(274,216)	(274,216)	-
(261,896)	(239,216)	(239,216)	-
(254,742)	(749,386)	1,824,003	2,573,389
254,742	749,386	9,257,762	8,508,376
$ -	$ -	$ 11,081,765	$ 11,081,765

City of Rye, New York

Statement of Net Position
Proprietary Funds
December 31, 2013
(With Comparative Amounts for 2012)

	2013			
	Business-type Activities - Enterprise Funds			Governmental Activities - Internal Service Funds
	Boat Basin Fund	Golf Club Fund	Totals	
ASSETS				
Current assets				
Cash and equivalents	$ 491,806	$ 1,936,453	$ 2,428,259	$ 6,997,892
Accounts receivable	1,974	9,185	11,159	376,555
Deposits	-	-	-	28,500
Due from other funds	-	4,581	4,581	527,740
Due from other governments	554,586	-	554,586	40,078
Prepaid expenses	15,051	47,262	62,313	134,403
Inventories	-	56,976	56,976	-
Total Current Assets	1,063,417	2,054,457	3,117,874	8,105,168
Noncurrent assets				
Deferred charges	-	-	-	-
Capital assets				
Land	408	1,700,000	1,700,408	4,561,755
Buildings	341,930	11,417,550	11,759,480	16,506,163
Improvements	3,926,545	3,434,670	7,361,215	4,672,224
Machinery and equipment	73,388	1,181,376	1,254,764	10,016,944
Construction in progress	-	78,703	78,703	161,470
Less - Accumulated depreciation	(2,362,521)	(8,546,764)	(10,909,285)	(13,672,527)
Total Capital Assets (net of accumulated depreciation)	1,979,750	9,265,535	11,245,285	22,246,029
Total Noncurrent Assets	1,979,750	9,265,535	11,245,285	22,246,029
Total Assets	3,043,167	11,319,992	14,363,159	30,351,197
DEFERRED OUTFLOWS OF RESOURCES				
Deferred amounts on refunding bonds	-	64,251	64,251	441,113

2012			
Business-type Activities - Enterprise Funds			Governmental Activities - Internal Service Funds
Boat Basin Fund	Golf Club Fund	Totals	
$ 347,309	$ 1,683,906	$ 2,031,215	$ 3,659,258
950	1,314	2,264	255,051
-	-	-	28,500
-	-	-	231,286
323,850	-	323,850	44,889
11,970	44,070	56,040	121,352
-	-	-	-
684,079	1,729,290	2,413,369	4,340,336
-	16,733	16,733	54,275
408	1,700,000	1,700,408	6,571,814
341,930	11,417,550	11,759,480	19,832,889
3,312,704	3,434,670	6,747,374	4,636,167
73,388	1,165,937	1,239,325	9,958,189
-	38,670	38,670	169,118
(1,942,682)	(8,208,709)	(10,151,391)	(13,228,328)
1,785,748	9,548,118	11,333,866	27,939,849
1,785,748	9,564,851	11,350,599	27,994,124
2,469,827	11,294,141	13,763,968	32,334,460
-	54,623	54,623	258,955

(Continued)

City of Rye, New York

Statement of Net Position (Continued)
Proprietary Funds
December 31, 2013
(With Comparative Amounts for 2012)

	2013			
	Business-type Activities - Enterprise Funds			Governmental Activities - Internal Service Funds
	Boat Basin Fund	Golf Club Fund	Totals	
LIABILITIES				
Current liabilities				
Accounts payable	1,229	119,473	120,702	255,045
Accrued liabilities	7,914	49,375	57,289	421,511
Accrued interest payable	-	14,231	14,231	73,405
Retainage payable	-	-	-	112,558
Due to other funds	239,639	84,553	324,192	131,184
Due to other governments	1,633	29,343	30,976	-
Compensated absences	3,100	3,800	6,900	6,800
Bonds payable	-	365,000	365,000	1,012,709
Total Current Liabilities	253,515	665,775	919,290	2,013,212
Noncurrent liabilities				
Bonds payable, less current portion	-	1,619,697	1,619,697	10,376,807
Compensated absences, less current portion	27,499	34,459	61,958	61,597
Other postemployment benefit obligations	337,073	619,885	956,958	1,332,773
Total Noncurrent Liabilities	364,572	2,274,041	2,638,613	11,771,177
Total Liabilities	618,087	2,939,816	3,557,903	13,784,389
NET POSITION				
Net investment in capital assets	1,979,750	7,345,089	9,324,839	12,101,873
Unrestricted	445,330	1,099,338	1,544,668	4,906,048
Total Net Position	$ 2,425,080	$ 8,444,427	10,869,507	$ 17,007,921
Adjustment to reflect the consolidation of internal service fund activities related to enterprise funds			(147,915)	
Net Position of Business-type Activities			$ 10,721,592	

The notes to financial statements are an integral part of this statement.

2012			
Business-type Activities - Enterprise Funds			Governmental Activities - Internal Service Funds
Boat Basin Fund	Golf Club Fund	Totals	
1,446	197,115	198,561	161,756
4,223	21,215	25,438	354,815
-	28,462	28,462	82,235
-	-	-	159,225
22,043	108,500	130,543	2,122,769
1,223	33,167	34,390	-
3,000	8,700	11,700	7,200
-	330,000	330,000	941,728
31,935	727,159	759,094	3,829,728
-	1,977,398	1,977,398	11,151,274
27,098	79,178	106,276	65,127
317,305	527,067	844,372	1,135,711
344,403	2,583,643	2,928,046	12,352,112
376,338	3,310,802	3,687,140	16,181,840
1,785,748	7,312,076	9,097,824	16,994,135
307,741	725,886	1,033,627	(582,560)
$ 2,093,489	$ 8,037,962	10,131,451	$ 16,411,575
		(99,894)	
		$ 10,031,557	

City of Rye, New York

Statement of Revenues, Expenses and Changes in Net Position
Proprietary Funds
Year Ended December 31, 2013
(With Comparative Amounts for 2012)

	2013			
	Business-type Activities - Enterprise Funds			Governmental Activities - Internal Service Funds
	Boat Basin Fund	Golf Club Fund	Totals	
OPERATING REVENUES				
Charges for services	$ 663,455	$ 6,051,198	$ 6,714,653	$ 4,483,179
Sale of property and compensation for loss	-	2,378	2,378	-
Miscellaneous	-	6,998	6,998	238,239
Total Operating Revenues	663,455	6,060,574	6,724,029	4,721,418
OPERATING EXPENSES				
Salaries and wages	181,701	1,628,691	1,810,392	633,395
Employee benefits	130,099	652,871	782,970	642,050
Materials and supplies	50,954	1,607,239	1,658,193	963,095
Contractual costs	109,937	1,344,832	1,454,769	897,596
Depreciation and amortization	419,839	369,623	789,462	1,184,063
Total Operating Expenses	892,530	5,603,256	6,495,786	4,320,199
Income (Loss) from Operations	(229,075)	457,318	228,243	401,219
NON-OPERATING REVENUES (EXPENSES)				
State and federal aid	559,942	-	559,942	-
Gain on sale of capital assets	-	-	-	470,967
Interest income	724	1,587	2,311	1,930
Interest expense	-	(52,440)	(52,440)	(367,770)
Total Non-Operating Revenues (Expenses)	560,666	(50,853)	509,813	105,127
Income (Loss) Before Transfers	331,591	406,465	738,056	506,346
Transfers in	-	-	-	90,000
Transfers out	-	-	-	-
Change in Net Position	331,591	406,465	738,056	596,346
NET POSITION				
Beginning of Year	2,093,489	8,037,962	10,131,451	16,411,575
End of Year	$ 2,425,080	$ 8,444,427	$ 10,869,507	$ 17,007,921

Change in Net Position	$ 738,056
Adjustment to reflect the consolidation of internal service fund activities related to enterprise funds	(48,021)
Change in Net Position of Business-type Activities	$ 690,035

The notes to financial statements are an integral part of this statement.

2012			
Business-type Activities - Enterprise Funds			Governmental Activities - Internal Service Funds
Boat Basin Fund	Golf Club Fund	Totals	
$ 640,518	$ 6,756,049	$ 7,396,567	$ 4,433,349
-	2,233	2,233	-
-	1,856	1,856	454,026
640,518	6,760,138	7,400,656	4,887,375
193,867	1,134,711	1,328,578	660,102
122,915	680,031	802,946	634,464
75,433	1,783,627	1,859,060	1,011,914
108,942	2,794,272	2,903,214	836,042
519,479	386,949	906,428	1,214,507
1,020,636	6,779,590	7,800,226	4,357,029
(380,118)	(19,452)	(399,570)	530,346
28,718	-	28,718	-
-	-	-	-
475	1,488	1,963	945
-	(96,473)	(96,473)	(427,069)
29,193	(94,985)	(65,792)	(426,124)
(350,925)	(114,437)	(465,362)	104,222
-	-	-	-
-	-	-	(35,000)
(350,925)	(114,437)	(465,362)	69,222
2,444,414	8,152,399	10,596,813	16,342,353
$ 2,093,489	$ 8,037,962	$ 10,131,451	$ 16,411,575
		$ (465,362)	
		26,284	
		$ (439,078)	

City of Rye, New York

Statement of Cash Flows
Proprietary Funds
Year Ended December 31, 2013
(With Comparative Amounts for 2012)

	2013			
	Business-type Activities - Enterprise Funds			Governmental Activities - Internal Service Funds
	Boat Basin Fund	Golf Club Fund	Totals	
CASH FLOWS FROM OPERATING ACTIVITIES				
Cash received from customers	$ 662,431	$ 6,020,975	$ 6,683,406	$ 4,070,032
Cash payments to employees	(287,840)	(2,210,203)	(2,498,043)	(1,057,617)
Cash payments to vendors	53,817	(3,099,881)	(3,046,064)	(3,776,705)
Other operating revenues	-	9,376	9,376	238,239
Net Cash from Operating Activities	428,408	720,267	1,148,675	(526,051)
CASH FLOWS FROM NON-CAPITAL FINANCING ACTIVITIES				
State and federal aid	329,206	-	329,206	-
Transfers in	-	-	-	90,000
Transfers out	-	-	-	-
Net Cash from Non-Capital Financing Activities	329,206	-	329,206	90,000
CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES				
Advances from other funds	-	-	-	-
Acquisition and construction of capital assets	(613,841)	(55,471)	(669,312)	(218,260)
Principal paid on capital debt	-	(340,000)	(340,000)	(955,421)
Interest paid on capital debt	-	(73,836)	(73,836)	(381,526)
Proceeds from sale of capital assets	-	-	-	5,327,962
Net Cash from Capital and Related Financing Activities	(613,841)	(469,307)	(1,083,148)	3,772,755
CASH FLOWS FROM INVESTING ACTIVITIES				
Interest income	724	1,587	2,311	1,930
Sale of investments	-	-	-	-
Net Cash from Investing Activities	724	1,587	2,311	1,930
Net Change in Cash and Equivalents	144,497	252,547	397,044	3,338,634
Cash and Equivalents - Beginning of Year	347,309	1,683,906	2,031,215	3,659,258
Cash and Equivalents - End of Year	$ 491,806	$ 1,936,453	$ 2,428,259	$ 6,997,892

2012			
Business-type Activities - Enterprise Funds			Governmental Activities - Internal Service Funds
Boat Basin Fund	Golf Club Fund	Totals	
$ 640,518	$ 6,705,662	$ 7,346,180	$ 4,383,381
(294,258)	(1,673,024)	(1,967,282)	(1,079,495)
(398,174)	(4,590,961)	(4,989,135)	(1,139,017)
-	4,089	4,089	454,026
(51,914)	445,766	393,852	2,618,895
22,368	-	22,368	-
-	-	-	-
-	-	-	(35,000)
22,368	-	22,368	(35,000)
-	-	-	(708,702)
-	(38,670)	(38,670)	(84,845)
-	(320,000)	(320,000)	(918,082)
-	(107,895)	(107,895)	(439,471)
-	-	-	-
-	(466,565)	(466,565)	(2,151,100)
475	1,488	1,963	945
2,354	26,667	29,021	3,074,491
2,829	28,155	30,984	3,075,436
(26,717)	7,356	(19,361)	3,508,231
374,026	1,676,550	2,050,576	151,027
$ 347,309	$ 1,683,906	$ 2,031,215	$ 3,659,258

(Continued)

City of Rye, New York

Statement of Cash Flows (Continued)
Proprietary Funds
Year Ended December 31, 2013
(With Comparative Amounts for 2012)

	2013			
	Business-type Activities - Enterprise Funds			Governmental Activities - Internal Service Funds
	Boat Basin Fund	Golf Club Fund	Totals	
RECONCILIATION OF INCOME (LOSS) FROM OPERATIONS TO NET CASH FROM OPERATING ACTIVITIES				
Income (loss) from operations	$ (229,075)	$ 457,318	$ 228,243	$ 401,219
Adjustments to reconcile income (loss) from operations to net cash from operating activities				
Depreciation and amortization expense	419,839	369,623	789,462	1,184,063
Changes in assets and liabilities				
Accounts receivable	(1,024)	(7,871)	(8,895)	(413,147)
Due from other funds	-	(4,581)	(4,581)	-
Prepaid expenses	(3,081)	(3,192)	(6,273)	(13,051)
Inventories	-	(56,976)	(56,976)	-
Accounts payable	(217)	(77,642)	(77,859)	93,289
Accrued liabilities	3,691	28,160	31,851	66,696
Retainage payable	-	-	-	(46,667)
Due to other funds	217,596	(23,947)	193,649	(1,991,585)
Due to other governments	410	(3,824)	(3,414)	-
Other post employment benefit obligations	19,768	92,818	112,586	197,062
Compensated absences	501	(49,619)	(49,118)	(3,930)
Total Adjustments	657,483	262,949	920,432	(927,270)
Net Cash from Operating Activities	$ 428,408	$ 720,267	$ 1,148,675	$ (526,051)
NONCASH CAPITAL AND RELATED FINANCING ACTIVITIES				
Refunding bonds issued	-	825,000	825,000	3,971,115
Refunding bond issuance premium (discount)	-	(4,174)	(4,174)	142,524
Payment to refunded bond escrow agent	-	(805,125)	(805,125)	(4,043,881)

The notes to financial statements are an integral part of this statement.

2012			
Business-type Activities - Enterprise Funds			Governmental Activities - Internal Service Funds
Boat Basin Fund	Golf Club Fund	Totals	
$ (380,118)	$ (19,452)	$ (399,570)	$ 530,346
519,479	386,949	906,428	1,214,507
-	687	687	(49,968)
-	-	-	50,000
(5,718)	(24,176)	(29,894)	(30,907)
-	-	-	-
(203,088)	(62,145)	(265,233)	24,181
2,073	21,215	23,288	(57,407)
-	-	-	655
(5,096)	23,416	18,320	737,010
103	(1,231)	(1,128)	-
21,424	114,522	135,946	204,268
(973)	5,981	5,008	(3,790)
328,204	465,218	793,422	2,088,549
$ (51,914)	$ 445,766	$ 393,852	$ 2,618,895
-	-	-	-
-	-	-	-
-	-	-	-

City of Rye, New York

Statement of Assets and Liabilities
Fiduciary Fund
December 31, 2013
(With Comparative Amounts for 2012)

	2013	2012
ASSETS		
Cash and equivalents	$ 354,230	$ 316,383
Accounts receivable	3,542	3,253
Total Assets	$ 357,772	$ 319,636
LIABILITIES		
Accounts payable	$ 325,989	$ 293,529
Employee payroll deductions	31,783	26,107
Total Liabilities	$ 357,772	$ 319,636

The notes to financial statements are an integral part of this statement.

Note 1 - Summary of Significant Accounting Policies

The City of Rye, New York ("City") was incorporated in 1942 and operates in accordance with its Charter and the various other applicable laws of the State of New York. The City functions under a City Council/Manager form of government. The City Council is the legislative body responsible for overall operation. The City Manager serves as the chief administrative officer and the City Comptroller serves as the chief financial officer. The City provides the following services to its residents: public safety, transportation, culture and recreation, home and community services and general and administrative support.

The accounting policies of the City conform to generally accepted accounting principles as applicable to governmental units and the Uniform System of Accounts as prescribed by the State of New York. The Governmental Accounting Standards Board ("GASB") is the accepted standard setting body for establishing governmental accounting and financial reporting principles. The following is a summary of the City's more significant accounting policies:

A. Financial Reporting Entity

The financial reporting entity consists of a) the primary government which is the City, b) organizations for which the City is financially accountable and c) other organizations for which the nature and significance of their relationship with the City are such that exclusion would cause the reporting entity's financial statements to be misleading or incomplete as set forth by GASB.

In evaluating how to define the City, for financial reporting purposes, management has considered all potential component units. The decision to include a potential component unit in the City's reporting entity was made by applying the criteria set forth by GASB, including legal standing, fiscal dependency and financial accountability. Based upon the application of these criteria, there are no other entities that would be included in the financial statements.

The City participates in a joint venture for the operation of the Rye Town Park ("Park"). The Park was established in 1907 by the New York State Legislature pursuant to Chapter 711 of the Laws of 1907. The Park provides recreational activities to the public, and is governed by a Commission, which has full charge and supervision over the capital improvements, operations, and maintenance of the Park. The Commission consists of six members: the Supervisor of the Town of Rye, the Mayor of the City of Rye, the Mayor of the Village of Port Chester, the Mayor of the Village of Rye Brook, one Commissioner appointed by the City Council of the City of Rye, and one Commissioner appointed by the Town Board of the Town of Rye. The City of Rye does not have an equity interest in the Park. The City has an ongoing financial responsibility in that the annual income or loss resulting from the Park's activities is shared proportionally by the City of Rye and the Town of Rye, using a calculation based on their equalized assessed valuation. The City's share of the Park's loss reflected in the City's 2013 financial statements is $11,000. Complete separate financial statements for the Park may be obtained from the Town of Rye, 10 Pearl Street, Port Chester, New York 10573.

B. Government-Wide Financial Statements

The government-wide financial statements (i.e., the Statement of Net Position and the Statement of Activities) report information on all non-fiduciary activities of the City as a whole. For the most part, the effect of interfund activity has been removed from these statements, except for interfund services provided and used. Governmental activities, which normally are supported by taxes and intergovernmental revenues, are reported separately from business-type activities, which rely to a significant extent on fees and charges for support.

Note 1 - Summary of Significant Accounting Policies (Continued)

The Statement of Net Position presents the financial position of the City at the end of its fiscal year. The Statement of Activities demonstrates the degree to which direct expenses of a given function or segment are offset by program revenues. Direct expenses are those that are clearly identifiable with a specific function or segment. Program revenues include (1) charges to customers or applicants who purchase, use or directly benefit from goods or services, or privileges provided by a given function or segment, (2) grants and contributions that are restricted to meeting the operational or capital requirements of a particular function or segment and (3) interest earned on grants that is required to be used to support a particular program. Taxes and other items not identified as program revenues are reported as general revenues. The City does not allocate indirect expenses to functions in the Statement of Activities.

Separate financial statements are provided for governmental funds, proprietary funds, and fiduciary funds, even though the latter are excluded from the government-wide financial statements. Major individual governmental funds and individual enterprise funds are reported as separate columns in the fund financial statements.

Proprietary funds distinguish operating revenues and expenses from non-operating items. Operating revenues and expenses generally result from providing services in connection with a proprietary fund's principal ongoing operation. The principal operating revenues of the Enterprise Funds and the Internal Service Funds are charges to customers for services. Operating expenses for the Enterprise Funds and the Internal Service Funds include the cost of services, administrative expenses, depreciation costs and benefit costs. All revenues and expenses not meeting the definition are reported as non-operating revenues and expenses.

C. Fund Financial Statements

The accounts of the City are organized and operated on the basis of funds. A fund is an independent fiscal and accounting entity with a self-balancing set of accounts that comprise its assets, deferred outflows of resources, liabilities, deferred inflows of resources, fund balances/net position, revenues and expenditures/expenses. Fund accounting segregates funds according to their intended purpose and is used to aid management in demonstrating compliance with finance related legal and contractual provisions. The City maintains the minimum number of funds consistent with legal and managerial requirements. The focus of governmental fund financial statements is on major funds as that term is defined in professional pronouncements. Each major fund is to be presented in a separate column, with non-major funds, if any, aggregated and presented in a single column. The City maintains internal service and fiduciary funds, which are reported by type. Since the governmental fund statements are presented on a different measurement focus and basis of accounting than the government-wide statements' governmental activities column, a reconciliation is presented on the pages following, which briefly explains the adjustments necessary to transform the fund based financial statements into the governmental activities column of the government-wide presentation. The City's resources are reflected in the fund financial statements in three broad fund categories, in accordance with generally accepted accounting principles, as follows:

Note 1 - Summary of Significant Accounting Policies (Continued)

Fund Categories

a. Governmental Funds - Governmental Funds are those through which most general government functions are financed. The acquisition, use and balances of expendable financial resources and the related liabilities are accounted for through governmental funds. The following are the City's major governmental funds.

General Fund - The General Fund constitutes the primary operating fund of the City and is used to account for and report all financial resources not accounted for and reported in another fund.

Capital Projects Fund - The Capital Projects Fund is used to account for and report financial resources that are restricted, committed or assigned to expenditures for capital outlays, including the acquisition or construction of major capital facilities and other capital assets, other than those financed by proprietary funds.

The City also reports the following non-major governmental funds:

Cable TV Special Revenue Fund – The Cable TV Special Revenue Fund is used to account for the receipt and use of revenues received from the franchise holder for public access cable television programming.

K.T. Woods Permanent Fund - The K.T. Woods Permanent Fund is used to report resources that are legally restricted to the extent that only earnings, not principal, may be used for purposes that support the City's programs, namely the funding of certain expenditures in the Rye Nature Center.

Debt Service Fund - The Debt Service Fund is used to account for and report financial resources that are restricted, committed or assigned to expenditures for principal and interest, and for financial resources that are being accumulated for principal and interest maturing in future years.

b. Proprietary Funds - Proprietary funds include enterprise and internal service funds. Enterprise funds are used to account for operations that are financed and operated in a manner similar to private enterprises or where the governing body has decided that periodic determination of revenues earned, expenses incurred and/or net income is necessary for management accountability. Enterprise funds are used to account for those operations that provide services to the public. Internal service funds are used to account for operations that provide services to other departments or agencies of the government, or other governments, on a cost reimbursement basis. The operations of the Boat Basin and Golf Club funds are recorded as major enterprise funds. The City has established its Risk Retention and Building and Vehicle Maintenance funds as internal service funds. The City applies all applicable Financial Accounting Standards Board ("FASB") guidance issued after November 30, 1989 in accounting and reporting for its enterprise operations.

Note 1 - Summary of Significant Accounting Policies (Continued)

c. Fiduciary Funds (Not Included in Government-Wide Financial Statements) - The Fiduciary Funds are used to account for assets held by the City in an agency capacity on behalf of others. The Agency Fund is used to account for employee payroll tax withholdings and various other deposits that are payable to other jurisdictions or individuals.

D. Measurement Focus, Basis of Accounting and Financial Statement Presentation

The accounting and financial reporting treatment is determined by the applicable measurement focus and basis of accounting. Measurement focus indicates the type of resources being measured such as current financial resources (current assets less current liabilities) or economic resources (all assets and liabilities). The basis of accounting indicates the timing of transactions or events for recognition in the financial statements.

The government-wide financial statements and the proprietary funds are reported using the *economic resources measurement focus* and the *accrual basis of accounting*. The Agency Fund has no measurement focus but utilizes the accrual basis of accounting. Revenues are recorded when earned and expenses are recorded when a liability is incurred, regardless of the timing of related cash flows. Property taxes are recognized as revenues in the year for which they are levied. Grants and similar items are recognized as revenue as soon as all eligibility requirements imposed by the provider have been met.

Governmental fund financial statements are reported using the *current financial resources measurement focus* and the *modified accrual basis of accounting*. Revenues are recognized as soon as they are both measurable and available. Revenues are considered to be available when they are collectible within the current period or soon enough thereafter to pay liabilities of the current period. For this purpose, the City considers property tax revenues to be available if collected within sixty days of the fiscal year end. A ninety day availability period is used for revenue recognition for all other governmental fund revenues. Property taxes associated with the current fiscal period as well as charges for services and intergovernmental revenues are considered to be susceptible to accrual and have been recognized as revenues of the current fiscal period. Fees and other similar revenues are not susceptible to accrual because generally they are not measurable until received in cash. If expenditures are the prime factor for determining eligibility, revenues from Federal and State grants are accrued when the expenditure is made. Expenditures generally are recorded when a liability is incurred, as under accrual accounting. However, debt service expenditures, as well as expenditures related to claims, compensated absences and other post-employment benefit obligations are recorded only when payment is due. General capital asset acquisitions are reported as expenditures in governmental funds. Issuance of long-term debt and acquisitions under capital leases are reported as other financing sources.

E. Assets, Liabilities, Deferred Outflows/Inflows of Resources, and Net Position or Fund Balances

Deposits and Risk Disclosure

Cash and Equivalents - Cash and equivalents consist of funds deposited in demand deposit accounts, time deposit accounts and certificates of deposit with original maturities of less than three months.

Note 1 - Summary of Significant Accounting Policies (Continued)

State statutes govern the City's deposit and investment policies. The City has adopted its own written investment policy which provides for the deposit of funds in FDIC insured commercial banks or trust companies located within the State. The City is authorized to use demand deposit accounts, time deposit accounts and certificates of deposit. Permissible investments include obligations of the U.S. Treasury, U.S. Agencies, repurchase agreements and obligations of New York State or its political subdivisions and, accordingly, the City's policy provides for no credit risk on investments.

Collateral is required for demand deposit accounts, time deposit accounts and certificates of deposit at 100% of all deposits not covered by Federal deposit insurance. The City has entered into custodial agreements with the various banks which hold their deposits. These agreements authorize the obligations that may be pledged as collateral. Such obligations include, among other instruments, obligations of the United States and its agencies and obligations of the State and its municipal and school district subdivisions.

The City utilizes a pooled investment concept for governmental and proprietary funds to facilitate its investment program. Investment income from this pooling is allocated to the respective funds based upon the sources of funds invested.

Custodial credit risk is the risk that in the event of a bank failure, the City's deposits may not be returned to it. GASB Statement No. 40 directs that deposits be disclosed as exposed to custodial credit risk if they are not covered by depository insurance and the deposits are either uncollateralized, collateralized by securities held by the pledging financial institution or collateralized by securities held by the pledging financial institution's trust department but not in the City's name. The City's aggregate bank balances that were not covered by depository insurance were not exposed to custodial credit risk at December 31, 2013.

Taxes Receivable - Real property taxes attach as an enforceable lien on real property when levied. City property taxes are levied by the City on February 1st and collected by the City in one installment in February, and are due and payable without penalty during the month of February. County property taxes are levied by the County on April 1st and collected by the City in one installment in May, and are due and payable without penalty during the month of May. Rye Neck Union Free School District property taxes are levied by the school district on September 1st and collected by the City in two installments: the taxes for the period July 1st to June 30th are due on September 1st, with the first half payable without penalty until September 30th, and the second half payable without penalty until November 30th. The Rye City School District is responsible for the levy and collection of Rye City School District taxes until July 1st of the following year, after which collection becomes the responsibility of the City. In accordance with Westchester County Finance Law, the City guarantees the full payment of the County, Rye City School District and Rye Neck Union Free School District warrants, and assumes the responsibility for uncollected taxes. The City also has the responsibility for conducting in-rem foreclosure proceedings.

The City functions in both a fiduciary and guarantor relationship with the County of Westchester and the school districts with respect to the collection and payment of real property taxes levied by such jurisdictions. The County Charter provides for the City to collect County taxes. The City must remit to the County sixty percent (60%) of the amount levied by May 25th, and the balance of forty percent (40%) on October 15th. With respect to school district taxes, New York State law and the County Charter provide that the City satisfy the warrant of the school districts by July 1st of the fiscal year for which such taxes were levied. Thus, the City's fiduciary responsibility for school districts taxes is from the date of the levy until the respective due dates, at which time the

Note 1 - Summary of Significant Accounting Policies (Continued)

City must satisfy its obligations to the school districts, regardless of the amounts collected. Since the County tax warrant must be paid in full by October 15th, uncollected County taxes have been accounted for in a manner similar to City taxes. The collection of school districts taxes is deemed a financing transaction until the warrants are satisfied.

Other Receivables - Other receivables include amounts due from other governments and individuals for services provided by the City. Receivables are recorded and revenues recognized as earned or as specific program expenditures/expenses are incurred. Allowances are recorded when appropriate.

Due From/To Other Funds - During the course of its operations, the City has numerous transactions between funds to finance operations, provide services and construct assets. Balances of interfund amounts receivable or payable have been recorded in the fund financial statements to the extent that certain transactions between funds had not been paid or received as of December 31st. Any residual balances outstanding between the governmental activities and business-type activities are reported in the government-wide financial statements as internal balances.

Prepaid Expenses/Expenditures - Certain payments to vendors reflect costs applicable to future accounting periods, and are recorded as prepaid items using the consumption method in both the government-wide and fund financial statements. Prepaid expenses/expenditures consist of employee retirement, workers' compensation insurance and other costs which have been satisfied prior to the end of the fiscal year, but represent items which have been provided for in the subsequent year's budget and will benefit such periods. Reported amounts in governmental funds are equally offset by nonspendable fund balance, which indicates that these amounts do not constitute "available spendable resources" even though they are a component of current assets.

Inventories – Inventories in the Golf Club Fund consist of beverages and are recorded at cost on a first-in, first-out basis. These inventories consist of items held for consumption. The cost is recorded as inventory at the time individual items are purchased. The City uses the consumption method to relieve inventory. In other funds, purchases of inventoriable items at various locations are recorded as expenditures at the time of purchase and year-end balances at these locations are not material.

Capital Assets - Capital assets, which include property, plant, equipment and infrastructure assets (e.g., roads, bridges, sidewalks and similar items) are reported in the applicable governmental or business-type activities columns in the government-wide financial statements. The City defines capital assets as assets with an initial, individual cost of more than $15,000 and an estimated useful life in excess of one year. Such assets are recorded at historical cost or estimated historical cost if purchased or constructed. Donated capital assets are recorded at estimated fair market value at the date of donation.

In the case of the initial capitalization of general infrastructure assets (i.e., those reported by governmental activities), the City chose to include all such items regardless of their acquisition date or amount. The City was able to estimate the historical cost for the initial reporting of these assets through backtrending (i.e., estimating the current replacement cost of the infrastructure to be capitalized and using an appropriate price-level index to deflate the cost to the acquisition year or estimated acquisition year).

Note 1 - Summary of Significant Accounting Policies (Continued)

Major outlays for capital assets and improvements are capitalized as projects are constructed. The cost of normal maintenance and repairs that do not add to the value of the asset or materially extend assets lives is not capitalized.

Land and construction-in-progress are not depreciated. Property, plant, equipment and infrastructure are depreciated using the straight line method over the following estimated useful lives:

	Life in Years	
Asset	Prior to January 1, 1995	Subsequent to January 1, 1995
Buildings	45	50
Improvements	20	5 - 20
Machinery and equipment	5 - 15	5 - 10
Infrastructure	20	25 - 50

The costs associated with the acquisition or construction of capital assets is shown as capital outlay expenditures on the governmental fund financial statements. Capital assets are not shown on the governmental fund balance sheets.

Unearned Revenues - Unearned revenues arise when assets are recognized before revenue recognition criteria has been satisfied. In the government-wide financial statements, unearned revenues consist of revenue received in advance and/or amounts from grants received before the eligibility requirements have been met.

Unearned revenues in the fund financial statements are those where asset recognition criteria have been met, but for which revenue recognition criteria have not been met. The City has reported unearned revenues of $636,161 for parking permit fees received in advance in the General Fund. Such amounts have been deemed to be measurable but not "available" pursuant to generally accepted accounting principles.

Deferred Outflows/Inflows of Resources - In addition to assets, the statement of financial position will sometimes report a separate section for deferred outflows of resources. This separate financial statement element represents a consumption of net position that applies to a future period and so will not be recognized as an outflow of resources (expense/expenditure) until then.

In addition to liabilities, the statement of financial position will sometimes report a separate section for deferred inflows of resources. This separate financial statement element represents an acquisition of net position that applies to a future period and so will not be recognized as an inflow of resources (revenue) until that time.

The City has reported deferred outflows of resources of $491,110 and $64,251 for a deferred loss on refunding bonds in the government-wide and proprietary fund Statements of Net Position, respectively. These amounts result from the difference in the carrying value of the refunded debt and its reacquisition price. These amounts are deferred and amortized over the shorter of the life of the refunded or refunding debt.

Note 1 - Summary of Significant Accounting Policies (Continued)

The City has reported deferred inflows of resources of $190,790 for real property taxes in the General Fund. This amount is deferred and recognized as an inflow of resources in the period that the amount becomes available.

Long-term Liabilities - In the government-wide and proprietary fund financial statements, long-term debt and other long-term obligations are reported as liabilities in the Statement of Net Position. Bond premiums and discounts are deferred and amortized over the life of the bonds. Bonds payable are reported net of the applicable bond premium or discount. Bond issuance costs are expended as incurred.

In the fund financial statements, governmental funds recognize bond premiums and discounts, as well as bond issuance costs, during the current period. The face amount of debt issued is reported as other financing sources. Premiums received on debt issuances are reported as other financing sources, while discounts on debt issuances are reported as other financing uses. Issuance costs, whether or not withheld from the actual debt proceeds received, are reported as Capital Projects Fund expenditures.

Compensated Absences - The various collective bargaining agreements provide for the payment of accumulated vacation and sick leave upon separation from service. The liability for such accumulated leave is reflected in the government-wide and proprietary fund financial statements as current and long-term liabilities. A liability for these amounts is reported in the governmental funds only if the liability has matured through employee resignation or retirement. The liability for compensated absences includes salary related payments, where applicable.

Net Position - Net position represents the difference between assets, deferred outflows of resources, liabilities and deferred inflows of resources. Net position is reported as restricted when there are limitations imposed on their use either through the enabling legislation adopted by the City or through external restrictions imposed by creditors, grantors, or laws or regulations of other governments. Net position on the Statement of Net Position include, net investment in capital assets, restricted for capital projects, permanent fund, special purposes, debt service and risk retention. The balance is classified as unrestricted.

Fund Balance - Generally, fund balance represents the difference between current assets and deferred outflows of resources and current liabilities and deferred inflows of resources. In the fund financial statements, governmental funds report fund classifications that comprise a hierarchy based primarily on the extent to which the City is bound to honor constraints on the specific purposes for which amounts in those funds can be spent. Under this standard, the fund balance classifications are as follows:

Nonspendable fund balance includes amounts that cannot be spent because they are either not in spendable form (inventories, prepaid amounts, long-term receivables) or they are legally or contractually required to be maintained intact (the corpus of a permanent fund).

Restricted fund balance is reported when constraints placed on the use of the resources are imposed by grantors, contributors, laws or regulations of other governments or imposed by law through enabling legislation. Enabling legislation includes a legally enforceable requirement that these resources be used only for the specific purposes as provided in the legislation. This fund balance classification is used to report funds that

Note 1 - Summary of Significant Accounting Policies (Continued)

are restricted for debt service obligations and for other items contained in the General Municipal Law of the State of New York.

Committed fund balance is reported for amounts that can only be used for specific purposes pursuant to formal action of the entity's highest level of decision making authority. The City Council is the highest level of decision making authority for the City that can, by the adoption of a resolution prior to the end of the fiscal year, commit fund balance. Once adopted, these funds may only be used for the purpose specified unless the City removes or changes the purpose by taking the same action that was used to establish the commitment. This classification includes certain amounts established and approved by the City Council.

Assigned fund balance, in the General Fund, represents amounts constrained either by policies of the City Council for amounts assigned for balancing the subsequent year's budget or the City Comptroller for amounts assigned for purchases on order, police purposes, fire purposes, parks, recreation programs, WWI memorial and tax certiorari. Unlike commitments, assignments generally exist temporarily, in that additional action does not normally have to be taken for the removal of an assignment. An assignment cannot result in a deficit in the unassigned fund balance in the General Fund. Assigned fund balance in all other governmental funds represents any positive remaining amount after classifying nonspendable, restricted or committed fund balance amounts.

Unassigned fund balance, in the General Fund, represents amounts not classified as nonspendable, restricted, committed or assigned. The General Fund is the only fund that would report a positive amount in unassigned fund balance. For all governmental funds other than the General Fund, unassigned fund balance would necessarily be negative, since the fund's liabilities and deferred inflows of resources, together with amounts already classified as nonspendable, restricted and committed would exceed the fund's assets and deferred outflows of resources.

In order to calculate the amounts to report as restricted and unrestricted fund balance in the governmental fund financial statements, a flow assumption must be made about the order in which the resources are considered to be applied. When both restricted and unrestricted amounts of fund balance are available for use for expenditures incurred, it is the City's policy to use restricted amounts first and then unrestricted amounts as they are needed. For unrestricted amounts of fund balance, it is the City's policy to use fund balance in the following order: committed, assigned, and unassigned.

F. Encumbrances

In governmental funds, encumbrance accounting, under which purchase orders, contracts and other commitments for the expenditure of monies are recorded in order to reserve applicable appropriations, is generally employed as an extension of formal budgetary integration in the General, Special Revenue and Capital Projects funds. Encumbrances outstanding at year-end are reported as assigned fund balance since they do not constitute expenditures or liabilities.

Note 1 - Summary of Significant Accounting Policies (Continued)

G. Use of Estimates

The preparation of the financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets, deferred outflows of resources, liabilities and deferred inflows of resources and disclosures of contingent assets and liabilities at the date of the financial statements. Estimates also affect the reported amounts of revenues and expenditures/expenses during the reporting period. Actual results could differ from those estimates.

H. Subsequent Events Evaluation by Management

Management has evaluated subsequent events for disclosure and/or recognition in the financial statements through the date that the financial statements were available to be issued, which date is June 2, 2014.

Note 2 - Stewardship, Compliance and Accountability

A. Budgetary Data

The City generally follows the procedures enumerated below in establishing the budgetary data reflected in the financial statements:

a) On or before the seventh day of November, the City Manager submits to the City Council a tentative budget presenting a financial plan for conducting the affairs of the City for the ensuing fiscal year.

b) Upon receipt of the Manager's tentative budget and prior to a public hearing, the City Council may, by a vote of at least four members of the City Council, make changes in the tentative budget.

c) After considering the tentative budget, but not later than the first Wednesday in December and with a public notice published ten days in advance, the City Council holds a public hearing on the proposed budget.

d) After the public hearing, but not later than December 31st, the City Council adopts the budget presented at the public hearing as submitted or amended. Amendments made between the time of the public hearing and the date of adoption, which increase total estimated revenues or total appropriations, require an affirmative vote of at least five members of the City Council.

e) Formal budgetary integration is employed during the year as a management control device for General, Special Revenue and Debt Service funds.

f) Budgets for General, Special Revenue and Debt Service funds are legally adopted annually on a basis consistent with generally accepted accounting principles. The Capital Projects Fund is budgeted on a project basis. Annual budgets are not adopted for the Proprietary funds.

Note 2 - Stewardship, Compliance and Accountability (Continued)

g) The City Manager may, at any time during the fiscal year, transfer part or all of any unencumbered appropriation balance among programs or accounts within a department, office or agency under his/her supervision, and such transfers are reported to the Council at or prior to the next City Council meeting. The City Council may, by resolution, transfer part or all of any unencumbered appropriation balance among programs or accounts of a board or commission or within a department, office or agency, or from one board, commission, department, office or agency to another. No appropriation for debt service may be reduced or transferred, and no appropriation may be reduced below any amount required by law to be appropriated or by more than the amount of the unencumbered balance thereof.

h) Appropriations in General, Special Revenue and Debt Service funds lapse at the end of the fiscal year, except that outstanding encumbrances are reappropriated in the succeeding year pursuant to the Uniform System of Accounts promulgated by the Office of the State Comptroller.

Budgeted amounts are as originally adopted, or as amended by the City Council. Individual amendments for the current year were not material in relation to the original appropriations that were amended.

B. Property Tax Limitation

The City is not limited as to the maximum amount of real property taxes which may be raised. However, on June 24, 2011, the Governor signed Chapter 97 of the Laws of 2011 ("Tax Levy Limitation Law"). This applies to all local governments.

The Tax Levy Limitation Law restricts the amount of real property taxes that may be levied by a City in a particular year, beginning with the 2012 year. It expires on June 16, 2016.

The following is a brief summary of certain relevant provisions of the Tax Levy Limitation Law. The summary is not complete and the full text of the Tax Levy Limitation Law should be read in order to understand the details and implementations thereof.

The Tax Levy Limitation Law imposes a limitation on increases in the real property tax levy, subject to certain exceptions. The Tax Levy Limitation Law permits the City to increase its overall real property tax levy over the tax levy of the prior year by no more than the "Allowable Levy Growth Factor," which is the lesser of one and two-one hundredths or the sum of one plus the Inflation Factor; provided, however that in no case shall the levy growth factor be less than one. The "Inflation Factor" is the quotient of: (i) the average of the National Consumer Price Indexes determined by the United States Department of Labor for the twelve-month period ending six months prior to the start of the coming fiscal year minus the average of the National Consumer Price Indexes determined by the United States Department of Labor for the twelve-month period ending six months prior to the start of the prior fiscal year, divided by (ii) the average of the National Consumer Price Indexes determined by the United States Department of Labor with the result expressed as a decimal to four places. The City is required to calculate its tax levy limit for the upcoming year in accordance with the provision above and provide all relevant information to the New York State Comptroller prior to adopting its budget. The Tax Levy Limitation Law sets forth certain exclusions to the real property tax levy limitation of the City, including exclusions for certain portions of the expenditures for retirement system contributions

Note 2 - Stewardship, Compliance and Accountability (Continued)

and tort judgments payable by the City. The City Council may adopt a budget that exceeds the tax levy limit for the coming fiscal year, only if the City Council first enacts, by a vote of at least sixty percent of the total voting power of the City Council, a local law to override such limit for such coming fiscal year.

C. Application of Accounting Standards

For the year ended December 31, 2013, the City implemented GASB Statement No. 65, "*Items Previously Reported as Assets and Liabilities*". This statement establishes accounting and financial reporting standards that reclassify, as deferred outflows/inflows of resources, certain items that were previously reported as assets and liabilities. This statement also recognizes as outflows of resources (expenses or expenditures) or inflows of resources (revenues), certain items that were previously reported as assets and liabilities.

D. Capital Projects Fund Project Deficits

The following capital projects reflect deficits at December 31, 2013 because of expenditures exceeding current financing on the projects. These deficits will be eliminated with the subsequent receipt or issuance of authorized financing.

Kirby Lane Sanitary Sewer	$	405,555
Annual Sewer Drainage Improvement Program		150,838
Upper Blind Brook Study		8,740

Note 3 - Detailed Notes on All Funds

A. Taxes Receivable

Taxes receivable at December 31, 2013 consisted of the following:

City taxes - Current	$	55,144
City taxes - Delinquent		912,883
School district taxes - Current		185,168
	$	1,153,195

School district taxes are offset by liabilities to the school district, which will be paid no later than July 1, 2014. Taxes receivable are also partially offset in the fund financial statements by deferred tax revenues of $190,790, which represents an estimate of the receivable that will not be collected within the first sixty days of the subsequent year. School and County taxes receivable in the amount of $614,295 are also classified as nonspendable fund balance in the fund financial statements, which represents an estimate of taxes receivable that will not be collected within the subsequent year.

Note 3 - Detailed Notes on All Funds (Continued)

B. Due From/To Other Funds

The balances reflected as due from/to other funds at December 31, 2013 were as follows:

Receivable Fund	Payable Fund	Amount
General Fund	Cable TV Fund	$ 23,285
	Capital Projects Fund	1,628,300
	Boat Basin Fund	239,639
	Golf Club Fund	84,553
	Building and Vehicle Maintenance Fund	131,184
		2,106,961
Capital Projects Fund	General Fund	154,650
Golf Club Fund	General Fund	4,581
Building and Vehicle Maintenance Fund	General Fund	527,740
		$ 2,266,192

The outstanding balances between funds result mainly from the time lag between the dates that (1) interfund goods and services are provided or reimbursable expenditures occur, (2) transactions are recorded in the accounting system, and (3) payments between funds are made.

C. Capital Assets

Changes in the City's capital assets are as follows:

Class	Balance at January 1, 2013	Additions	Deletions	Balance at December 31, 2013
Governmental Activities:				
Capital Assets, not being depreciated:				
Land	$ 6,571,814	$ -	$ 2,010,059	$ 4,561,755
Construction-in-progress	1,899,998	2,414,210	409,737	3,904,471
Total Capital Assets, not being depreciated	$ 8,471,812	$ 2,414,210	$ 2,419,796	$ 8,466,226

Note 3 - Detailed Notes on All Funds (Continued)

Class	Balance at January 1, 2013	Additions	Deletions	Balance at December 31, 2013
Governmental Activities (Continued):				
Capital Assets, being depreciated:				
Buildings	$ 19,832,889	$ -	$ 3,326,726	$ 16,506,163
Improvements	4,636,167	67,963	31,906	4,672,224
Machinery and Equipment	10,044,263	157,946	99,191	10,103,018
Infrastructure	66,712,926	349,955	-	67,062,881
Total Capital Assets, being depreciated	101,226,245	575,864	3,457,823	98,344,286
Less Accumulated Depreciation for:				
Buildings	3,571,073	358,725	489,361	3,440,437
Improvements	2,384,757	195,658	22,334	2,558,081
Machinery and Equipment	7,341,357	517,917	99,191	7,760,083
Infrastructure	25,871,440	384,444	-	26,255,884
Total Accumulated Depreciation	39,168,627	1,456,744	610,886	40,014,485
Total Capital Assets, being depreciated, net	$ 62,057,618	$ (880,880)	$ 2,846,937	$ 58,329,801
Governmental Activities Capital Assets, net	$ 70,529,430	$ 1,533,330	$ 5,266,733	$ 66,796,027
Business-type Activities:				
Capital Assets, not being depreciated:				
Land	$ 1,700,408	$ -	$ -	$ 1,700,408
Construction-in-progress	38,670	40,033	-	78,703
Total Capital Assets, not being depreciated	$ 1,739,078	$ 40,033	$ -	$ 1,779,111
Capital Assets, being depreciated:				
Buildings	$ 11,759,480	$ -	$ -	$ 11,759,480
Improvements	6,747,374	613,841	-	7,361,215
Machinery and Equipment	1,239,325	15,439	-	1,254,764
Total Capital Assets, being depreciated	19,746,179	629,280	-	20,375,459

Note 3 - Detailed Notes on All Funds (Continued)

Class	Balance at January 1, 2013	Additions	Deletions	Balance at December 31, 2013
Business-type Activities (Continued):				
Less Accumulated Depreciation for:				
Buildings	$ 4,880,626	$ 205,811	$ -	$ 5,086,437
Improvements	4,034,233	546,202	-	4,580,435
Machinery and Equipment	1,236,532	5,881	-	1,242,413
Total Accumulated Depreciation	10,151,391	757,894	-	10,909,285
Total Capital Assets, being depreciated, net	9,594,788	(128,614)	-	9,466,174
Business-type Activities Capital Assets, net	$ 11,333,866	$ (88,581)	$ -	$ 11,245,285

Depreciation expense was charged to the City's functions and programs as follows:

Governmental Activities:	
Public Safety	$ 25,182
Transportation	124,406
Recreation	17,215
Home and Community Services	234,856
Capital assets held by the government's internal service fund are charged to the various functions based on their usage of the assets	1,055,085
Total Depreciation Expense - Governmental Activities	$ 1,456,744
Business-type Activities:	
Boat Basin	$ 419,839
Golf Club	338,055
Total Depreciation Expense - Business-Type Activities	$ 757,894

Note 3 - Detailed Notes on All Funds (Continued)

D. Accrued Liabilities

Accrued liabilities at December 31, 2013 were as follows:

	Governmental Activities	Business-Type Activities	Total
Payroll and employee benefits	$ 1,752,002	$ 57,289	$ 1,809,291
Claims	645,229	-	645,229
Total Accrued Liabilities	$ 2,397,231	$ 57,289	$ 2,454,520

E. Unpaid Claim Liabilities

The Risk Retention Fund reflects general liability claim liabilities. The government-wide statement of net position reflects health benefit claim liabilities. The liabilities are based upon estimates of the ultimate cost of claims (including future claim adjustment expenses) that have been reported, but not settled, and of claims that have been incurred but not reported. The length of time for which such costs must be estimated varies depending on the coverage involved. Because actual claim costs depend on such complex factors as inflation, changes in doctrines of legal liability and damage awards, the process used in computing claim liabilities does not necessarily result in an exact amount. Using a variety of actuarial and statistical techniques, claim liabilities are recomputed periodically to produce current estimates. These estimates reflect recent settlements, claim frequency and other economic and social factors. A provision for inflation in the calculation of estimated future claim costs is implicit in the calculation because reliance is placed both on actual historical data that reflect past inflation and other factors that are considered to be appropriate modifiers of past experience.

An analysis of the activity of unpaid claim liabilities is as follows:

	Year Ended December 31, 2013		Year Ended December 31, 2012	
	General Liability	Health Benefits	General Liability	Health Benefits
Balance - Beginning of Year	$ 340,000	$ 203,133	$ 412,000	$ 292,751
Provision for Claims and Claims Adjustment Expenses	207,755	2,851,585	7,448	2,252,209
Claims and Claims Adjustment Expenses Paid	(165,755)	(2,791,489)	(79,448)	(2,341,827)
Balance - End of Year	$ 382,000	$ 263,229	$ 340,000	$ 203,133

Note 3 - Detailed Notes on All Funds (Continued)

The liability for health claims is reflected in the government-wide financial statements within accrued liabilities. In the fund financial statements, payments in excess of deposits by the claims administrator have been reflected as accrued liabilities.

F. Pension Plans

The City participates in the New York State and Local Employees' Retirement System ("ERS") and the New York State and Local Police and Fire Retirement System ("PFRS") ("Systems"). These Systems are cost-sharing multiple-employer defined benefit pension plans. The Systems provide retirement, disability and death benefits to plan members. Contributions required of employers and employees, and benefits to employees, are governed by the New York State Retirement and Social Security Law. The Systems issue a publicly available financial report that includes financial statements and required supplementary information for the Systems. That report may be obtained by writing to the New York State and Local Retirement System, 110 State Street, Albany, New York 12224.

Funding Policy - The Systems are non-contributory except for employees in tiers 3 and 4 that have less than ten years of service, who contribute 3% of their salary, employees in tier 5 who also contribute 3% of their salary without regard to their years of service and employees in tier 6 who contribute between 3% and 6% depending on salary levels and also without regard to years of service. Contributions are certified by the State Comptroller and are expressed as a percentage of members' salary. Contribution rates are actuarially determined and based upon membership tier and plan. Contributions consist of a life insurance portion and regular pension contributions. Contribution rates for the plans' year's ended March 31, 2014 are as follows:

	Tier/Plan/Option	Rate
ERS	2 75I/41J	26.2 %
	3 A14/41J	21.0
	4 A15/41J	21.0
	5 A15/41J	16.9
	6 A15/41J1	11.4
PFRS	2 384D	28.4 %
	5 384D	22.7
	6 384D	16.0

Contributions made to the Systems for the current and two preceding years were as follows:

	ERS	PFRS
2013	$ 1,235,540	$ 1,753,871
2012	1,146,257	1,232,738
2011	982,882	1,052,604

These contributions were equal to the 100% of the actuarially required contributions for each respective fiscal year.

Note 3 - Detailed Notes on All Funds (Continued)

The current ERS contribution for the City was charged to various departments within the funds identified below. The current PFRS contribution was charged to the General Fund.

Fund	Amount
General	$ 994,310
Cable TV	20,873
Boat Basin	24,335
Golf Club	82,512
Building and Vehicle Maintenance	113,510
	$ 1,235,540

The City also provides benefits to those police officers that retired from service prior to the inception of PFRS. Benefits are calculated pursuant to Section 192 of the Retirement and Social Security Law. It is the policy of the City to fund any benefits from the General Fund Police Department budget. These pension costs for the year ended December 31, 2013 were $2,976. The pension benefit obligation of this plan is not significant to the City.

G. Long-Term Liabilities

The changes in the City's long-term liabilities for the year ended December 31, 2013 were as follows:

	Balance January 1, 2013	New Issues/ Additions	Maturities and/or Payments	Balance December 31, 2013	Due Within One Year
Governmental Activities:					
Bonds Payable	$ 17,150,000	$ 4,350,000	$ 5,400,000	$ 16,100,000	$ 1,275,000
Plus					
Unamortized premium on bonds	358,402	169,720	56,074	472,048	-
	17,508,402	4,519,720	5,456,074	16,572,048	1,275,000
Other Non-current Liabilities:					
Claims Payable	1,145,813	189,183	229,163	1,105,833	221,167
Compensated Absences	1,398,493	203,655	140,200	1,461,948	145,800
Other Post-Employment Benefit Obligations Payable	15,679,780	5,310,308	1,822,893	19,167,195	-
Total Other Non-Current Liabilities	18,224,086	5,703,146	2,192,256	21,734,976	366,967
Governmental Activities Long-Term Liabilities	$ 35,732,488	$ 10,222,866	$ 7,648,330	$ 38,307,024	$ 1,641,967

Note 3 - Detailed Notes on All Funds (Continued)

	Balance January 1, 2013	New Issues/ Additions	Maturities and/or Payments	Balance December 31, 2013	Due Within One Year
Business-type Activities:					
Bonds Payable	$ 2,210,000	$ 825,000	$ 1,125,000	$ 1,910,000	$ 365,000
Plus					
Unamortized premium on bonds	97,398	-	18,701	78,697	-
Less					
Unamortized discount on bond	-	(4,174)	(174)	(4,000)	-
	2,307,398	820,826	1,143,527	1,984,697	365,000
Other Non-current Liabilities:					
Compensated Absences	117,976	-	49,118	68,858	6,900
Other Post-Employment Benefit Obligations Payable	844,372	287,549	174,963	956,958	-
Total Other Non-Current Liabilities	962,348	287,549	224,081	1,025,816	6,900
Business-type Activities Long-Term Liabilities	$ 3,269,746	$ 1,108,375	$ 1,367,608	$ 3,010,513	$ 371,900

The liability for compensated absences and other post-employment benefit obligations is liquidated by the General, Cable TV, Boat Basin, Golf and Building and Vehicle Maintenance funds. The General Fund liquidates claims liabilities. The City's governmental fund indebtedness for bonds is satisfied by the Debt Service Fund, which is funded by the General Fund.

Bonds Payable

Bonds payable at December 31, 2013 are comprised of the following individual issues:

Purpose	Year of Issue	Original Issue Amount	Final Maturity	Interest Rates	Amount Outstanding at December 31, 2013
EFC - Land Acquisition	2004	$ 1,708,013	August, 2024	4.061 to 4.964 %	$ 1,025,000
Public Improvements	2005	6,428,000	December, 2014	3.875	290,000
Refunding Bonds	2010	8,965,000	September, 2021	3.0 to 4.000	6,400,000
Public Improvements	2010	3,534,000	September , 2030	2.0 to 4.000	3,165,000
EFC - Kirby Lane Sewer	2010	2,373,374	April, 2039	1.414 to 4.603	2,090,000
Refunding Bonds	2013	5,175,000	December, 2025	0.625 to 2.500	5,040,000
					$ 18,010,000

Interest expenditure/expense of $148,601 and $367,770 was recorded in the fund financial statements in the Debt Service Fund and the Internal Service Fund, respectively. Interest expense of $506,947 was recorded in the government-wide financial statements for

Note 3 - Detailed Notes on All Funds (Continued)

expense of $506,947 was recorded in the government-wide financial statements for governmental activities. Interest expense of $52,440 was recorded in the fund financial and government-wide financial statements for the business-type activities - Golf Club Fund.

Payments to Maturity

The annual requirements to amortize all bonded debt outstanding, including interest of $3,724,425 as of December 31, 2013 is as follows:

	Bonds					
	Governmental Activities		Business-type Activities		Total	
Year	Principal	Interest	Principal	Interest	Principal	Interest
2014	$ 1,275,000	$ 471,501	$ 365,000	$ 48,438	$ 1,640,000	$ 519,939
2015	1,320,000	435,140	375,000	40,813	1,695,000	475,953
2016	1,340,000	405,832	380,000	32,713	1,720,000	438,545
2017	1,385,000	375,345	390,000	24,113	1,775,000	399,458
2018	1,435,000	332,563	400,000	12,500	1,835,000	345,063
2019-2023	5,485,000	987,670	-	-	5,485,000	987,670
2024-2028	2,430,000	395,127	-	-	2,430,000	395,127
2029-2033	900,000	122,306	-	-	900,000	122,306
2034-2038	440,000	39,328	-	-	440,000	39,328
2039	90,000	1,036	-	-	90,000	1,036
	$ 16,100,000	$ 3,565,848	$ 1,910,000	$ 158,577	$ 18,010,000	$ 3,724,425

The above general obligation bonds are direct obligations of the City for which its full faith and credit are pledged and are payable from taxes levied on all taxable real property within the City.

Advance Refunding

During the 2013 fiscal year, the City issued $5,175,000 in serial bonds with interest rates ranging from .5% to 4.0%, depending on maturity. The proceeds were used to advance refund $785,000 of 1998 Series B public improvement serial bonds and $4,190,000 of 2005 public improvement serial bonds bearing interest at rates ranging from 3.875% to 6.3%. The net proceeds of $5,234,834 (net of $151,948 re-offering premium and after payment of $92,114 in issuance costs) were used to purchase U.S. Government securities. Those securities were deposited in an irrevocable trust with an escrow agent to provide for all future debt service payments on the 1998 Series B and 2005 public improvement serial bonds. As a result, these bonds are considered defeased and the liability for those serial bonds has been removed from the Statement of Net Position. The reacquisition price exceeded the net carrying value of the old debt by $269,353 and the premium received was $151,948. These amounts are being netted against the new debt and amortized over the remaining life of the refunded debt.

The City advance refunded the 1998 Series B and 2005 public improvement serial bonds to reduce its debt service payments over 13 years by $414,199 and to obtain a net present value economic gain of $377,168.

At December 31, 2013 $4,190,000 of bonds outstanding are considered defeased.

Note 3 - Detailed Notes on All Funds (Continued)

Claims Payable

Claims payable reflects a liability of $1,105,833 for tax certiorari refunds, which were not due and payable at year-end. This amount represents likely tax certiorari refunds based upon proceedings pending against the City to reduce assessments upon which taxes had been levied. This amount has been recorded as an expense in the government-wide financial statements.

Compensated Absences

Vacation time is generally taken in the year subsequent to the year in which it is earned, although employees may be granted permission to carry over unused vacation time beyond one year. Employees may be paid up to 36 days of unused vacation time upon separation of service. Full-time administrative employees, and full-time employees who are members of the Civil Service Employees Association ("CSEA") Clerical Unit hired prior to January 1, 1977 may accrue a maximum of 365 sick leave days, and those hired on or after January 1, 1977 may accrue a maximum of 250 sick leave days. Full-time employees who are members of the CSEA Public Works Unit hired prior to January 1, 1977 may accrue a maximum of 365 sick leave days, and those hired on or after January 1, 1977 may accrue a maximum 200 sick leave days. The City will pay accrued sick time to all full-time administrative employees at the daily rate of pay for each day of accrued sick leave in excess of 165 days, to a maximum of 35 days. The City will pay accrued sick time to all full-time CSEA Clerical Unit employees who have 20 years of service with the City at the daily rate of pay for each day of accrued sick leave in excess of 165 days, to a maximum of 35 days. The City will pay accrued sick time to police officers who retire and have accumulated sick leave in excess of 220 days, in an amount equal to two day's pay per year of service to a maximum of 40 days. There is no payment of accrued sick time upon retirement to firefighters. The value of the compensated absences has been reflected as a liability in the government-wide and proprietary fund financial statements, and as restricted fund balance in the governmental fund financial statements.

Other Post-Employment Benefit Obligations Payable

In addition to providing pension benefits, the City provides certain health care benefits for retired employees through a single employer defined benefit plan. The various collective bargaining agreements stipulate the employees covered and the percentage of contribution. Contributions by the City may vary according to the length of service. The cost of providing post employment health care benefits is shared between the City and the retired employee. Substantially all of the City's employees may become eligible for those benefits if they reach normal retirement age while working for the City. The cost of retiree health care benefits is recognized as expenditure as claims are paid in the fund financial statements. The City has recognized revenues and expenditures of $60,773 for Medicare Part D payments made directly to its health insurance carrier on behalf of its retirees.

The City's annual other postemployment benefit ("OPEB") cost (expense) is calculated based on the annual required contribution, ("ARC"), an amount actuarially determined in accordance with the parameters of GASB Statement No. 45. GASB Statement No. 45 establishes standards for the measurement, recognition and display of the expenses and liabilities for retirees' medical insurance. As a result, reporting of expenses and liabilities will no longer be done under the "pay-as-you-go" approach. Instead of expensing the current year premiums paid, a per capita claims cost will be determined, which will be used to determine a "normal cost", an "actuarial accrued liability", and

Note 3 - Detailed Notes on All Funds (Continued)

ultimately the ARC. The ARC represents a level of funding that, if paid on an ongoing basis, is projected to cover normal cost each year and amortize any unfunded actuarial liabilities over a period not to exceed thirty years.

Actuarial valuations for OPEB plans involve estimates of the value of reported amounts and assumptions about the probability of events far into the future. These amounts are subject to continual revision as results are compared to past expectations and new estimates are made about the future. Calculations are based on the OPEB benefits provided under the terms of the substantive plan in effect at the time of each valuation and on the pattern of sharing of costs between the employer and plan members to that point. In addition, the assumptions and projections utilized do not explicitly incorporate the potential effects of legal or contractual funding limitations on the pattern of cost sharing between the employer and plan members in the future. The actuarial calculations of the OPEB plan reflect a long-term perspective.

The City is required to accrue on the government-wide and proprietary fund financial statements the amounts necessary to finance the plan as actuarially determined, which is equal to the balance not paid by plan members. Funding for the Plan has been established on a pay-as-you-go basis. The assumed rates of increase in postretirement benefits are as follows:

Year Ended December 31,	Healthcare Cost Trend Rate
2014	7.00 %
2015	6.00
2016	5.00

The amortization basis is the level dollar method with an open amortization approach with 24 years remaining in the amortization period. The actuarial assumptions also include a 4% investment rate of return, a 2.5% inflation rate and a 2.5% annual payroll growth rate. The City currently has no assets set aside for the purpose of paying postemployment benefits. The actuarial cost method utilized was the projected unit credit method.

The number of participants as of December 31, 2013 was as follows:

Active Employees	145
Retired Employees	120
	265

Note 3 - Detailed Notes on All Funds (Continued)

	Governmental Funds	Enterprise Funds	Total
Amortization Component:			
Actuarial Accrued Liability as of January 1, 2013	$ 64,613,082	$ 3,943,067	$ 68,556,149
Assets at Market Value	-	-	-
Unfunded Actuarial Accrued Liability ("UAAL")	$ 64,613,082	$ 3,943,067	$ 68,556,149
Funded Ratio	0.00%	0.00%	0.00%
Covered Payroll (Active plan members)	$ 10,451,192	$ 468,674	$ 10,919,866
UAAL as a Percentage of Covered Payroll	618.24%	841.32%	627.81%
Annual Required Contribution	$ 5,436,617	$ 294,351	$ 5,730,968
Interest on Net OPEB Obligation	627,191	33,775	660,966
Adjustment to Annual Required Contribution	(753,500)	(40,577)	(794,077)
Annual OPEB Cost	5,310,308	287,549	5,597,857
Contributions Made	(1,822,893)	(174,963)	(1,997,856)
Increase in Net OPEB Obligation	3,487,415	112,586	3,600,001
Net OPEB Obligation - Beginning of Year	15,679,780	844,372	16,524,152
Net OPEB Obligation - End of Year	$ 19,167,195	$ 956,958	$ 20,124,153

The City's annual OPEB cost, the percentage of annual OPEB cost contributed to the plan and the net OPEB obligation for the current and two preceding years is as follows:

Fiscal Year Ended December 31,	Annual OPEB Cost	Percentage of Annual OPEB Cost Contributed	Net OPEB Obligation
2013	$ 5,597,857	35.69 %	$ 20,124,153
2012	5,353,525	32.77	16,524,152
2011	5,380,584	35.06	12,925,074

The schedule of funding progress for the OPEB plan immediately following the notes to financial statements presents multi-year trend information about whether the actuarial value of the plan assets is increasing or decreasing relative to the actuarial accrued liability for the benefits over time.

Note 3 - Detailed Notes on All Funds (Continued)

H. Significant Commitments - Encumbrances

As discussed in Note 2,A, Budgetary Data, encumbrance accounting is utilized to the extent necessary to assure effective budgetary control and accountability and to facilitate effective cash planning and control. At December 31, 2013, the amount of encumbrances expected to be honored upon performance by the vendor in the next year were as follows:

Fund	
General	$ 341,771
Capital Projects	369,506
Non-Major Governmental - Cable TV	15,102
Total	$ 726,379

I. Revenues and Expenditures

Interfund Transfers

Interfund transfers are defined as the flow of assets (such as cash or goods and services) between funds of the City without equivalent flows of assets in return and without a requirement for repayment. Interfund transfers for the fiscal year ended December 31, 2013 were as follows:

	Transfers In			
Transfers Out	Capital Projects	Non-Major Governmental	Internal Service	Total
General	$ 145,000	$ 274,595	$ 90,000	$ 509,595
Capital Projects	410,456	-	-	410,456
	$ 555,456	$ 274,595	$ 90,000	$ 920,051

Transfers are used to 1) move revenues from the fund with collection authorization to the Debt Service Fund as debt service principal and interest payments become due and 2) move unrestricted General Fund revenues to finance various programs that the government must account for in other funds in accordance with budgetary authorizations, including amounts provided as subsidies or matching funds for various grant programs.

J. Net Position

The components of net position are detailed below:

Net Investment in Capital Assets - the component of net position that reports the difference between capital assets less both the accumulated depreciation and the outstanding balance of debt, excluding unexpended proceeds, that is directly attributable to the acquisition, construction or improvement of those assets.

Note 3 - Detailed Notes on All Funds (Continued)

Restricted for Capital Projects - the component of net position that reports the amounts restricted for capital projects, exclusive of unexpended bond proceeds and unrestricted interest earnings.

Restricted for Permanent Fund - the component of net position that reports the difference between the assets and liabilities of the non-expendable trust with constraints placed on their use by an external party.

Restricted for Special Purposes - the component of net position that reports the difference between assets and liabilities of certain police department, fire department, parks, recreation and WWI memorial programs with constraints placed on their use by external parties.

Restricted for Debt Service - the component of net position that reports the difference between the assets and liabilities of the Debt Service Fund with constraints placed on their use by New York State Local Finance Law.

Restricted for Risk Retention - the component of net position that provides for the payment of self-insured general and liability claims pursuant to New York State Law.

Unrestricted - all other amounts that do not meet the definition of "restricted" or "net investment in capital assets".

Note 3 - Detailed Notes on All Funds (Continued)

K. Fund Balances

	2013				2012			
	General Fund	Capital Projects Fund	Non-Major Governmental Funds	Total	General Fund	Capital Projects Fund	Non-Major Governmental Funds	Total
Nonspendable:								
Prepaid expenditures	$ 919,261	$ -	$ 7,261	$ 926,522	$ 957,958	$ -	$ 4,900	$ 962,858
Long-term receivables	614,295	-	-	614,295	544,033	-	-	544,033
Permanent Fund	-	-	20,000	20,000	-	-	20,000	20,000
Total Nonspendable	1,533,556	-	27,261	1,560,817	1,501,991	-	24,900	1,526,891
Restricted:								
Compensated absences	1,379,042	-	14,509	1,393,551	1,313,658	-	12,508	1,326,166
Workers' compensation	4,400	-	300	4,700	624,400	-	300	624,700
Capital projects	-	705,847	-	705,847	-	726,792	-	726,792
Permanent Fund	-	-	166	166	-	-	141	141
Debt service	-	-	47,378	47,378	-	-	49,887	49,887
Total Restricted	1,383,442	705,847	62,353	2,151,642	1,938,058	726,792	62,836	2,727,686
Assigned:								
Purchases on order:								
General government support	102,160	-	-	102,160	104,282	-	-	104,282
Public safety	164,739	-	-	164,739	64,776	17,724	-	82,500
Transportation	27,799	218,829	-	246,628	109,246	450,831	-	560,077
Culture and recreation	12,640	-	15,102	27,742	5,100	-	16,280	21,380
Home and community services	34,433	150,677	-	185,110	24,600	491,906	-	516,506
	341,771	369,506	15,102	726,379	308,004	960,461	16,280	1,284,745
Other:								
Subsequent year's expenditures	1,611,585	-	4,794	1,616,379	795,347	-	4,756	800,103
Police purposes	8,809	-	-	8,809	8,709	-	-	8,709
Fire purposes	2,000	-	-	2,000	2,000	-	-	2,000
Parks	99,659	-	-	99,659	99,659	-	-	99,659
Recreation programs	330,199	-	-	330,199	295,401	-	-	295,401
WWI memorial	4,151	-	-	4,151	4,151	-	-	4,151
Tax certiorari	1,105,833	-	-	1,105,833	1,145,813	-	-	1,145,813
Cable TV	-	-	634,553	634,553	-	-	519,900	519,900
Total Assigned	3,504,007	369,506	654,449	4,527,962	2,659,084	960,461	540,936	4,160,481
Unassigned	5,942,286	-	-	5,942,286	4,982,632	-	-	4,982,632
Total Fund Balances	$ 12,363,291	$ 1,075,353	$ 744,063	$ 14,182,707	$ 11,081,765	$ 1,687,253	$ 628,672	$ 13,397,690

Note 3 - Detailed Notes on All Funds (Continued)

Certain elements of fund balance are described above. Those additional elements which are not reflected in the Statement of Net Position but are reported in the governmental funds balance sheet are described below.

Prepaid Expenditures represents workers' compensation insurance, retirement and other costs paid in advance. The amount is classified as nonspendable to indicate that funds are not "available" for appropriation or expenditure even though they are a component of current assets.

Long-Term Receivables have been classified as nonspendable to indicate the long-term nature of taxes receivable collected for other governments. These funds are not "available" for appropriation or expenditure even though they are a component of current assets.

Amounts restricted for Compensated Absences represents funds set aside for the payment of accumulated vacation and sick leave in accordance with various collective bargaining agreements and the General Municipal Law of the State of New York. These funds are not "available" for appropriation or expenditure.

Amounts restricted for Workers' Compensation represents funds set aside to be used for a specific purpose in accordance with Section 6-j of the General Municipal Law of the State of New York.

Purchases on order are assigned and represent the City's intention to honor the contracts in process at year-end. The subsequent year's appropriations will be amended to provide authority to complete the transactions.

Other assignments of fund balance are not legally required segregations but represent intended use for a specific purpose. At December 31, 2013, the City Council has assigned the above amounts to be appropriated for the ensuing year's budget, police purposes, fire purposes, the acquisition and/or embellishment of parks and playgrounds, recreational programs, WWI memorial and tax certiorari settlements.

Unassigned fund balance in the General Fund represent amounts not classified as nonspendable, restricted or assigned.

Note 4 - Summary Disclosure of Significant Contingencies

A. Litigation

The City, in common with other municipalities, receives numerous notices of claims for money damages occurring from false arrest, malicious prosecution, and defamation of character or personal injury. The filing of such claims commences a statutory period for initiating judicial action. Currently, the City is defendant in numerous actions that may exceed insurance limits, or are not covered by applicable insurance. Such actions are being defended and no opinion is expressed as to the merits or possible outcome of each case. Payments that may be required as a result of these actions have been included in the assessment and calculation of liabilities in the Risk Retention Fund.

Note 4 - Summary Disclosure of Significant Contingencies (Continued)

The City is a defendant in an action seeking damage of approximately $880,000 for breach of contract by failing to pay for additional work performed by a contractor and for delay damages. The City's insurance carrier has disclaimed coverage on this matter. The City intends to vigorously defend. No provision for loss has been reflected in the financial statements.

The City is also defendant in numerous pending tax certiorari proceedings, the results of which cannot be determined at this time. Any refunds resulting from adverse settlements will be funded in the year in which the payments are made.

B. Contingencies

The City participates in various Federal grant programs. These programs are subject to program compliance audits pursuant to the Single Audit Act. The amount of expenditures/expenses that may be disallowed by the granting agencies cannot be determined at this time, although the City anticipates such amounts, if any, to be immaterial.

C. Risk Management

The City purchases various conventional insurance policies to reduce its exposure to loss. The general liability, law enforcement and public officials policies maintained provide coverage up to $1 million per occurrence and $2 million in the aggregate, with a self insured retention level of $50,000 per occurrence. The City also maintains an umbrella liability policy which provides coverage up to $10 million. Settled claims resulting from these risks have not exceeded commercial insurance coverage in any of the past three fiscal years. Accrued liabilities in the Risk Retention Fund include provisions for claims reported and claims incurred but not reported (IBNR's).

The City, along with other municipal entities, participates in the Municipal Employee Benefits Consortium ("MEBCO"). MEBCO is a public entity risk pool currently operating as a common risk management and health insurance program for its members. MEBCO was formed in April 1988 pursuant to an act of the Board of Legislators of the County of Westchester. This act provided cities and villages throughout Westchester County with an opportunity to participate in a cooperative program for providing health benefits to municipal employees by entering into an intermunicipal agreement pursuant to Article 5-G of the General Municipal Law of the State of New York. The purpose of the pool is to stabilize the cost of medical benefits provided to employees (see unpaid claim liabilities). MEBCO functions primarily as a claims service whereby each participating municipality retains its own risk. MEBCO does not transfer risk since charges to each municipality are ultimately determined by their individual claims/loss experience. The City pays the pool an annual provision for its health coverage.

Workers' compensation insurance is secured with statutory coverage. Settled claims have not exceeded commercial insurance coverage in any of the past three fiscal years.

* * * * *

City of Rye, New York

Required Supplementary Information - Schedule of Funding Progress
Other Postemployment Benefits
Last Three Fiscal Years

Fiscal Year Ended December 31,	Actuarial Value of Assets	Actuarial Accrued Liability	Unfunded Actuarial Accrued Liability	Funded Ratio	Covered Payroll	Unfunded Liability as a Percentage of Covered Payroll
2011	$ -	$ 62,651,652	$ 62,651,652	- %	$ 11,589,356	540.60 %
2012	-	65,316,988	65,316,988	-	10,653,528	613.10
2013	-	68,556,149	68,556,149	-	10,919,866	627.81

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CAPITAL PROJECTS FUND
PROJECT-LENGTH SCHEDULE

City of Rye, New York

Capital Projects Fund
Project-Length Schedule
Inception of Project Through December 31, 2013

Project Number	Project Title	Year Authorized	Project Budget	Expenditures and Other Financing Uses: Prior Years	Current Year	Total
533025/032	Signal Purchase/Ridge Street Roundabout	2007/2010	$ 787,400	$ 218,550	$ 27,857	$ 246,407
533026	CBD Traffic Signals	2007	350,000	337,626	12,374	350,000
533030	2009 ARRA Projects	2009	898,792	820,332	2,170	822,502
551061	Peck Avenue Intersection	2007	400,000	219,500	-	219,500
551070	Central Avenue Bridge Reconstruction	2007	2,374,613	391,619	1,609,798	2,001,417
551082	SRS - Boston Post Road	2010	131,400	92,264	-	92,264
551083	Manursing Way Guiderail	2010	25,000	-	25,000	25,000
551084	Pay Station Shelter	2010	40,000	31,550	-	31,550
551087	Annual Street Resurfacing Program	2012	493,724	284,826	208,898	493,724
554117	Annual Sidewalk Replacement Program	2012	10,298	60	10,238	10,298
571041	Recreation Master Plan	2001	75,409	68,245	-	68,245
581201B	Kirby Lane Sewer	2003	2,466,774	2,449,014	-	2,449,014
581206	Upper Dogwood Lane Sewer	2007	150,000	135,022	-	135,022
581219	Hewlett Avenue Pump Station	2007	690,866	307,393	-	307,393
581227	Cedar Place Sewer	2009	135,000	2,900	129,322	132,222
581229	Kirby Lane Sanitary Sewer	2010	17,315	422,870	-	422,870
581427	Annual Sewer Drainage Improvement Program	2010	69,198	69,198	-	69,198
581428	Old Milton Road Drainage	2010	253,885	5,750	240,983	246,733
585403	Theo Fremd Retaining Wall	2007	1,758,660	118,192	3,000	121,192
585405	Bowman Avenue Sluice Gate	2008	2,095,822	946,643	373,076	1,319,719
585407	Dearborn Seawall Construction	2012	28,576	-	23,985	23,985
551086	Midland Palisades Improvements	2011	17,500	5,921	11,579	17,500
581429	Annual Sewer Drainage Improvement Program	2011	159,773	120,674	17,014	137,688
551088	Annual Street Resurfacing Program	2013	614,937	-	569,858	569,858
551091	Safe Routes to School	2013	40,000	-	-	-
551092	Osborn Theall Corridor	2013	150,000	-	-	-
554418	Annual Sidewalk Replacement Program	2013	300,000	-	200,838	200,838
581430	Annual Sewer Drainage Improvement Program	2013	135,957	-	134,144	134,144
585406	Upper Blind Brook Study	2013	130,000	-	8,740	8,740
			$ 14,800,899	$ 7,048,149	$ 3,608,874	$ 10,657,023

Unexpended Balance	Revenues and Other Financing Sources: Prior Years	Current Year	Total	Fund Balance (Deficit) at December 31, 2013
$ 540,993	$ 287,400	$ -	$ 287,400	$ 40,993
-	350,000	-	350,000	-
76,290	793,365	29,137	822,502	-
180,500	400,000	-	400,000	180,500
373,196	1,030,743	1,343,870	2,374,613	373,196
39,136	131,400	-	131,400	39,136
-	25,000	-	25,000	-
8,450	40,000	-	40,000	8,450
-	493,724	-	493,724	-
-	10,298	-	10,298	-
7,164	75,409	-	75,409	7,164
17,760	2,456,978	-	2,456,978	7,964
14,978	150,000	-	150,000	14,978
383,473	477,866	-	477,866	170,473
2,778	135,000	-	135,000	2,778
(405,555)	17,315	-	17,315	(405,555)
-	66,548	2,650	69,198	-
7,152	210,000	43,885	253,885	7,152
1,637,468	431,864	-	431,864	310,672
776,103	946,643	586,538	1,533,181	213,462
4,591	28,576	-	28,576	4,591
-	17,500	-	17,500	-
22,085	159,773	-	159,773	22,085
45,079	-	614,937	614,937	45,079
40,000	-	40,000	40,000	40,000
150,000	-	150,000	150,000	150,000
99,162	-	50,000	50,000	(150,838)
1,813	-	135,957	135,957	1,813
121,260	-	-	-	(8,740)
$ 4,143,876	$ 8,735,402	$ 2,996,974	$ 11,732,376	$ 1,075,353

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NON-MAJOR GOVERNMENTAL FUNDS

SPECIAL REVENUE FUNDS

The Cable TV Special Revenue Fund is used to account for the receipt and use of revenues received from the franchise holder for public access cable television programming.

PERMANENT FUND

The K.T. Woods Permanent Fund is used to report resources that are legally restricted to the extent that only earnings, not principal, may be used for purposes that support the City's programs.

DEBT SERVICE FUND

The Debt Service Fund is provided to account for and report financial resources that are restricted, committed or assigned to expenditures for principal and interest, and for financial resources that are being accumulated for principal and interest maturing in future years.

City of Rye, New York

Combining Balance Sheet
Nonmajor Governmental Funds
December 31, 2013
(With Comparative Amounts for 2012)

	2013			
	Cable TV Fund	KT Woods Permanent Fund	Debt Service Fund	Total
ASSETS				
Cash and equivalents	$ 603,333	$ 20,166	$ 52,172	$ 675,671
Accounts receivable	89,005	-	-	89,005
Due from other funds	-	-	-	-
Prepaid expenditures	7,261	-	-	7,261
Total Assets	$ 699,599	$ 20,166	$ 52,172	$ 771,937
LIABILITIES				
Accounts payable	$ 2,867	$ -	$ -	$ 2,867
Accrued liabilities	515	-	-	515
Due to other funds	23,285	-	-	23,285
Due to other governments	1,207	-	-	1,207
Total Liabilities	27,874	-	-	27,874
FUND BALANCES				
Nonspendable	7,261	20,000	-	27,261
Restricted	14,809	166	47,378	62,353
Assigned	649,655	-	4,794	654,449
Total Fund Balances	671,725	20,166	52,172	744,063
Total Liabilities and Fund Balances	$ 699,599	$ 20,166	$ 52,172	$ 771,937

2012			
Cable TV Fund	KT Woods Permanent Fund	Debt Service Fund	Total
$ 496,901	$ 20,141	$ 55,948	$ 572,990
84,305	-	-	84,305
-	-	5,017	5,017
4,900	-	-	4,900
$ 586,106	$ 20,141	$ 60,965	$ 667,212
$ 10,544	$ -	$ -	$ 10,544
922	-	-	922
18,750	-	6,322	25,072
2,002	-	-	2,002
32,218	-	6,322	38,540
4,900	20,000	-	24,900
12,808	141	49,887	62,836
536,180	-	4,756	540,936
553,888	20,141	54,643	628,672
$ 586,106	$ 20,141	$ 60,965	$ 667,212

City of Rye, New York

Combining Statement of Revenues, Expenditures, and Changes in Fund Balances
Nonmajor Governmental Funds
Year Ended December 31, 2013
(With Comparative Amounts for 2012)

	2013			
	Cable TV Fund	KT Woods Permanent Fund	Debt Service Fund	Total
REVENUES				
Real property taxes and related items	$ -	$ -	$ 125,890	$ 125,890
Non-property taxes	332,571	-	-	332,571
Use of money and property	692	25	224	941
Miscellaneous	51,524	-	-	51,524
Total Revenues	384,787	25	126,114	510,926
EXPENDITURES				
Current				
Culture and recreation				
Salaries and wages	135,257	-	-	135,257
Equipment	39,911	-	-	39,911
Materials and supplies	12,094	-	-	12,094
Contractual costs	14,514	-	-	14,514
Employee benefits	65,174	-	-	65,174
	266,950	-	-	266,950
Debt service				
Principal	-	-	254,579	254,579
Interest	-	-	148,601	148,601
Refunding bond issuance costs	-	-	6,655	6,655
Total Expenditures	266,950	-	409,835	676,785
Excess (Deficiency) of Revenues Over Expenditures	117,837	25	(283,721)	(165,859)
OTHER FINANCING SOURCES (USES)				
Refunding bonds issued	-	-	378,885	378,885
Issuance premium	-	-	13,598	13,598
Payment to refunded bond escrow agent	-	-	(385,828)	(385,828)
Transfer in	-	-	274,595	274,595
Total Other Financing Sources	-	-	281,250	281,250
Net Change in Fund Balances	117,837	25	(2,471)	115,391
FUND BALANCES				
Beginning of Year	553,888	20,141	54,643	628,672
End of Year	$ 671,725	$ 20,166	$ 52,172	$ 744,063

2012			
Cable TV Fund	KT Woods Permanent Fund	Debt Service Fund	Total
$ -	$ -	$ 189,536	$ 189,536
326,183	-	-	326,183
447	13	92	552
52,023	-	-	52,023
378,653	13	189,628	568,294
125,390	-	-	125,390
37,558	-	-	37,558
8,195	-	-	8,195
35,676	-	-	35,676
62,353	-	-	62,353
269,172	-	-	269,172
-	-	246,918	246,918
-	-	156,428	156,428
-	-	-	-
269,172	-	403,346	672,518
109,481	13	(213,718)	(104,224)
-	-	-	-
-	-	-	-
-	-	-	-
-	-	261,896	261,896
-	-	261,896	261,896
109,481	13	48,178	157,672
444,407	20,128	6,465	471,000
$ 553,888	$ 20,141	$ 54,643	$ 628,672

City of Rye, New York

Cable TV Special Revenue Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balance - Budget and Actual
Year Ended December 31, 2013
(With Comparative Amounts for 2012)

	2013			
	Original Budget	Final Budget	Actual	Variance with Final Budget Positive (Negative)
REVENUES				
Non-property taxes	$ 300,000	$ 300,000	$ 332,571	$ 32,571
Use of money and property	200	200	692	492
Miscellaneous	51,500	51,500	51,524	24
Total Revenues	351,700	351,700	384,787	33,087
EXPENDITURES				
Current				
Culture and recreation				
Salaries and wages	129,572	135,258	135,257	1
Equipment	71,280	71,895	39,911	31,984
Materials and supplies	18,820	19,511	12,094	7,417
Contractual costs	47,513	33,911	14,514	19,397
Employee benefits	69,548	76,158	65,174	10,984
Total Expenditures	336,733	336,733	266,950	69,783
Excess (Deficiency) of Revenues Over Expenditures	14,967	14,967	117,837	102,870
FUND BALANCE (DEFICIT)				
Beginning of Year	(14,967)	(14,967)	553,888	568,855
End of Year	$ -	$ -	$ 671,725	$ 671,725

2012			
Original Budget	Final Budget	Actual	Variance with Final Budget Positive (Negative)
$ 300,000	$ 300,000	$ 326,183	$ 26,183
200	200	447	247
50,640	50,640	52,023	1,383
350,840	350,840	378,653	27,813
150,572	150,572	125,390	25,182
66,735	66,735	37,558	29,177
19,819	19,819	8,195	11,624
55,777	55,777	35,676	20,101
122,490	122,490	62,353	60,137
415,393	415,393	269,172	146,221
(64,553)	(64,553)	109,481	174,034
64,553	64,553	444,407	379,854
$ -	$ -	$ 553,888	$ 553,888

City of Rye, New York

K.T. Woods Permanent Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balance - Budget and Actual
Year Ended December 31, 2013
(With Comparative Amounts for 2012)

	2013			
	Original Budget	Final Budget	Actual	Variance with Final Budget Positive (Negative)
REVENUES				
Use of money and property	$ 10	$ 10	$ 25	$ 15
EXPENDITURES				
Current - Culture and recreation	-	-	-	-
Excess of Revenues Over Expenditures	10	10	25	15
FUND BALANCE (DEFICIT)				
Beginning of Year	(10)	(10)	20,141	20,151
End of Year	$ -	$ -	$ 20,166	$ 20,166

2012			
Original Budget	Final Budget	Actual	Variance with Final Budget Positive (Negative)
$ 15	$ 15	$ 13	$ (2)
-	-	-	-
15	15	13	(2)
(15)	(15)	20,128	20,143
$ -	$ -	$ 20,141	$ 20,141

City of Rye, New York

Debt Service Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balance - Budget and Actual
Year Ended December 31, 2013
(With Comparative Amounts for 2012)

	2013			
	Original Budget	Final Budget	Actual	Variance with Final Budget Positive (Negative)
REVENUES				
Real property taxes and related items	$ 125,891	$ 125,891	$ 125,890	$ (1)
Use of money and property	-	-	224	224
Total Revenues	125,891	125,891	126,114	223
EXPENDITURES				
Debt service				
Principal	253,272	254,579	254,579	-
Interest	151,970	150,663	148,601	2,062
Refunding bond issuance costs	-	6,655	6,655	-
Total Expenditures	405,242	411,897	409,835	2,062
Deficiency of Revenues Over Expenditures	(279,351)	(286,006)	(283,721)	2,285
OTHER FINANCING SOURCES (USES)				
Refunding bonds issued	-	378,885	378,885	-
Issuance premium	-	13,598	13,598	-
Payment to refunded bond escrow agent	-	(385,828)	(385,828)	-
Transfers in	274,595	274,595	274,595	-
Total Other Financing Sources	274,595	281,250	281,250	-
Net Change in Fund Balance	(4,756)	(4,756)	(2,471)	2,285
FUND BALANCE				
Beginning of Year	4,756	4,756	54,643	49,887
End of Year	$ -	$ -	$ 52,172	$ 52,172

2012			
Original Budget	Final Budget	Actual	Variance with Final Budget Positive (Negative)
$ 141,373	$ 141,373	$ 189,536	$ 48,163
-	-	92	92
141,373	141,373	189,628	48,255
246,918	246,918	246,918	-
156,430	156,430	156,428	2
-	-	-	-
403,348	403,348	403,346	2
(261,975)	(261,975)	(213,718)	48,257
-	-	-	-
-	-	-	-
-	-	-	-
261,896	261,896	261,896	-
261,896	261,896	261,896	-
(79)	(79)	48,178	48,257
79	79	6,465	6,386
$ -	$ -	$ 54,643	$ 54,643

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INTERNAL SERVICE FUNDS

Internal Service Funds are used to account for operations that provide services to other departments or agencies of the government, or to other governments, on a cost reimbursement basis. The internal service funds of the City are as follows:

- The Risk Retention Fund is used to account for general liability insurance and risk management activities.

- The Building and Vehicle Maintenance Fund is used to account for the acquisition, operation, maintenance and disposition of the City's buildings and vehicles which are not otherwise accounted for in other funds.

City of Rye, New York

Internal Service Funds
Combining Statement of Net Position
December 31, 2013
(With Comparative Amounts for 2012)

	2013		
	Risk Retention Fund	Building and Vehicle Maintenance Fund	Total
ASSETS			
Current assets			
Cash and equivalents	$ 513,654	$ 6,484,238	$ 6,997,892
Accounts receivable, net	362,621	13,934	376,555
Deposits	28,500	-	28,500
Due from other funds	-	527,740	527,740
Due from other governments, net	-	40,078	40,078
Prepaid expenses	72,735	61,668	134,403
Total Current Assets	977,510	7,127,658	8,105,168
Noncurrent assets			
Deferred charges	-	-	-
Capital assets			
Land	-	4,561,755	4,561,755
Buildings	-	16,506,163	16,506,163
Improvements	-	4,672,224	4,672,224
Machinery and equipment	-	10,016,944	10,016,944
Construction in progress	-	161,470	161,470
Less - Accumulated depreciation	-	(13,672,527)	(13,672,527)
Total Capital Assets (net of accumulated depreciation)	-	22,246,029	22,246,029
Total Noncurrent Assets	-	22,246,029	22,246,029
Total Assets	977,510	29,373,687	30,351,197
DEFERRED OUTFLOWS OF RESOURCES			
Deferred amounts on refunding bonds	-	441,113	441,113

2012		
Risk Retention Fund	Building and Vehicle Maintenance Fund	Total
$ 644,141	$ 3,015,117	$ 3,659,258
251,138	3,913	255,051
28,500	-	28,500
-	231,286	231,286
-	44,889	44,889
71,779	49,573	121,352
995,558	3,344,778	4,340,336
-	54,275	54,275
-	6,571,814	6,571,814
-	19,832,889	19,832,889
-	4,636,167	4,636,167
-	9,958,189	9,958,189
-	169,118	169,118
-	(13,228,328)	(13,228,328)
-	27,939,849	27,939,849
-	27,994,124	27,994,124
995,558	31,338,902	32,334,460
-	258,955	258,955

(Continued)

City of Rye, New York

Internal Service Funds
Combining Statement of Net Position (Continued)
December 31, 2013
(With Comparative Amounts for 2012)

	2013		
	Risk Retention Fund	Building and Vehicle Maintenance Fund	Total
LIABILITIES			
Current liabilities			
Accounts payable	54,417	200,628	255,045
Accrued liabilities	382,000	39,511	421,511
Accrued interest payable	-	73,405	73,405
Retainage payable	-	112,558	112,558
Due to other funds	-	131,184	131,184
Compensated absences	-	6,800	6,800
Bonds payable	-	1,012,709	1,012,709
Total Current Liabilities	436,417	1,576,795	2,013,212
Noncurrent liabilities			
Bonds payable, less current portion	-	10,376,807	10,376,807
Compensated absences, less current portion	-	61,597	61,597
Other postemployment benefit obligations	-	1,332,773	1,332,773
Total Noncurrent Liabilities	-	11,771,177	11,771,177
Total Liabilities	436,417	13,347,972	13,784,389
NET POSITION			
Net investment in capital assets	-	12,101,873	12,101,873
Unrestricted	541,093	4,364,955	4,906,048
Total Net Position	$ 541,093	$ 16,466,828	$ 17,007,921

2012		
Risk Retention Fund	Building and Vehicle Maintenance Fund	Total
24,882	136,874	161,756
340,000	14,815	354,815
-	82,235	82,235
-	159,225	159,225
-	2,122,769	2,122,769
-	7,200	7,200
-	941,728	941,728
364,882	3,464,846	3,829,728
-	11,151,274	11,151,274
-	65,127	65,127
-	1,135,711	1,135,711
-	12,352,112	12,352,112
364,882	15,816,958	16,181,840
-	16,994,135	16,994,135
630,676	(1,213,236)	(582,560)
$ 630,676	$ 15,780,899	$ 16,411,575

City of Rye, New York

Internal Service Funds
Combining Statement of Revenues, Expenses and Changes in Net Position
Year Ended December 31, 2013
(With Comparative Amounts for 2012)

	2013		
	Risk Retention Fund	Building and Vehicle Maintenance Fund	Total
OPERATING REVENUES			
Charges for services	$ 547,145	$ 3,936,034	$ 4,483,179
Miscellaneous	115,996	122,243	238,239
Total Operating Revenues	663,141	4,058,277	4,721,418
OPERATING EXPENSES			
Salaries and wages	-	633,395	633,395
Employee benefits	-	642,050	642,050
Materials, supplies and equipment	-	963,095	963,095
Contractual costs	753,333	144,263	897,596
Depreciation and amortization	-	1,184,063	1,184,063
Total Operating Expenses	753,333	3,566,866	4,320,199
Income (Loss) from Operations	(90,192)	491,411	401,219
NON-OPERATING REVENUES (EXPENSES)			
Gain on sale of capital assets	-	470,967	470,967
Interest income	609	1,321	1,930
Interest expense	-	(367,770)	(367,770)
Total Non-Operating Revenues (Expenses)	609	104,518	105,127
Income (Loss) Before Transfers	(89,583)	595,929	506,346
OTHER FINANCING SOURCES (USES)			
Transfers in	-	90,000	90,000
Transfers out	-	-	-
Total Other Financing Sources (Uses)	-	90,000	90,000
Change in Net Position	(89,583)	685,929	596,346
NET POSITION			
Beginning of Year	630,676	15,780,899	16,411,575
End of Year	$ 541,093	$ 16,466,828	$ 17,007,921

2012		
Risk Retention Fund	Building and Vehicle Maintenance Fund	Total
$ 712,286	$ 3,721,063	$ 4,433,349
127,040	326,986	454,026
839,326	4,048,049	4,887,375
-	660,102	660,102
-	634,464	634,464
-	1,011,914	1,011,914
599,431	236,611	836,042
-	1,214,507	1,214,507
599,431	3,757,598	4,357,029
239,895	290,451	530,346
-	-	-
426	519	945
-	(427,069)	(427,069)
426	(426,550)	(426,124)
240,321	(136,099)	104,222
-	-	-
-	(35,000)	(35,000)
-	(35,000)	(35,000)
240,321	(171,099)	69,222
390,355	15,951,998	16,342,353
$ 630,676	$ 15,780,899	$ 16,411,575

City of Rye, New York

Combining Statement of Cash Flows
Internal Service Funds
Year Ended December 31, 2013
(With Comparative Amounts for 2012)

	2013		
	Risk Retention Fund	Building and Vehicle Maintenance Fund	Total
CASH FLOWS FROM OPERATING ACTIVITIES			
Cash received from charges to other funds	$ 435,662	$ 3,634,370	$ 4,070,032
Cash payments to employees	-	(1,057,617)	(1,057,617)
Cash payments to vendors	(682,754)	(3,093,951)	(3,776,705)
Other operating revenues	115,996	122,243	238,239
Net Cash from Operating Activities	(131,096)	(394,955)	(526,051)
CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES			
Transfers in	-	90,000	90,000
Transfers out	-	-	-
Net Cash from Noncapital Financing Activities	-	90,000	90,000
CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES			
Advances from other funds	-	-	-
Acquisition and construction of capital assets	-	(218,260)	(218,260)
Principal paid on capital debt	-	(955,421)	(955,421)
Interest paid on capital debt	-	(381,526)	(381,526)
Proceeds from sale of capital assets	-	5,327,962	5,327,962
Net Cash from Capital and Related Financing Activities	-	3,772,755	3,772,755
CASH FLOWS FROM INVESTING ACTIVITIES			
Interest income	609	1,321	1,930
Sale of investments	-	-	-
Net Cash from Investing Activities	609	1,321	1,930
Net Change in Cash and Equivalents	(130,487)	3,469,121	3,338,634
CASH AND EQUIVALENTS			
Beginning of Year	644,141	3,015,117	3,659,258
End of Year	$ 513,654	$ 6,484,238	$ 6,997,892

2012		
Risk Retention Fund	Building and Vehicle Maintenance Fund	Total
$ 591,403	$ 3,791,978	$ 4,383,381
-	(1,079,495)	(1,079,495)
(666,230)	(472,787)	(1,139,017)
127,040	326,986	454,026
52,213	2,566,682	2,618,895
-	-	-
-	(35,000)	(35,000)
-	(35,000)	(35,000)
-	(708,702)	(708,702)
-	(84,845)	(84,845)
-	(918,082)	(918,082)
-	(439,471)	(439,471)
-	-	-
-	(2,151,100)	(2,151,100)
426	519	945
591,502	2,482,989	3,074,491
591,928	2,483,508	3,075,436
644,141	2,864,090	3,508,231
-	151,027	151,027
$ 644,141	$ 3,015,117	$ 3,659,258

(Continued)

City of Rye, New York

Combining Statement of Cash Flows (continued)
Internal Service Funds
Year Ended December 31, 2013
(With Comparative Amounts for 2012)

	2013		
	Risk Retention Fund	Building and Vehicle Maintenance Fund	Total
RECONCILIATION OF INCOME (LOSS) FROM OPERATIONS TO NET CASH FROM OPERATING ACTIVITIES			
Income (loss) from operations	$ (90,192)	$ 491,411	$ 401,219
Adjustments to reconcile income (loss) from operations to net cash from operating activities			
Depreciation and amortization expense	-	1,184,063	1,184,063
Changes in assets and liabilities			
Accounts receivable	(111,483)	(301,664)	(413,147)
Due from other funds	-	-	-
Prepaid expenses	(956)	(12,095)	(13,051)
Accounts payable	29,535	63,754	93,289
Accrued liabilities	42,000	24,696	66,696
Retainage payable	-	(46,667)	(46,667)
Due to other funds	-	(1,991,585)	(1,991,585)
Other post employment benefit obligations	-	197,062	197,062
Compensated absences	-	(3,930)	(3,930)
Total Adjustments	(40,904)	(886,366)	(927,270)
Net Cash from Operating Activities	$ (131,096)	$ (394,955)	$ (526,051)
NONCASH CAPITAL AND RELATED FINANCING ACTIVITIES			
Refunding bonds issued	-	3,971,115	3,971,115
Refunding bond issuance premium	-	142,524	142,524
Payment to refunded bond escrow agent	-	(4,043,881)	(4,043,881)

2012		
Risk Retention Fund	Building and Vehicle Maintenance Fund	Total
$ 239,895	$ 290,451	$ 530,346
-	1,214,507	1,214,507
(120,883)	70,915	(49,968)
50,000	-	50,000
(3,575)	(27,332)	(30,907)
(41,224)	65,405	24,181
(72,000)	14,593	(57,407)
-	655	655
-	737,010	737,010
-	204,268	204,268
-	(3,790)	(3,790)
(187,682)	2,276,231	2,088,549
$ 52,213	$ 2,566,682	$ 2,618,895
-	-	-
-	-	-
-	-	-

City of Rye, New York

Statement of Changes in Assets and Liabilities
Fiduciary Funds - Agency Fund
Year Ended December 31, 2013

	Balance January 1, 2013	Increases	Decreases	Balance December 31, 2013
ASSETS				
Cash and equivalents	$ 316,383	$ 17,091,923	$ 17,054,076	$ 354,230
Accounts receivable	3,253	16,653,714	16,653,425	3,542
Total Assets	$ 319,636	$ 33,745,637	$ 33,707,501	$ 357,772
LIABILITIES				
Accounts payable	$ 293,529	$ 777,247	$ 744,787	$ 325,989
Employee payroll deductions	26,107	5,477,864	5,472,188	31,783
Total Liabilities	$ 319,636	$ 6,255,111	$ 6,216,975	$ 357,772

CAPITAL ASSETS USED IN THE OPERATION OF GOVERNMENTAL FUNDS

City of Rye, New York

Capital Assets Used in the Operation of Governmental Funds
Schedule by Source
December 31, 2013

	2013
Governmental Funds' Capital Assets	
Machinery and Equipment	$ 86,074
Infrastructure	67,062,881
Construction in Progress	3,743,001
Investment in Governmental Funds' Capital Assets by Source - Capital Projects Fund	70,891,956
Less - Accumulated depreciation	(26,341,958)
Net Book Value	$ 44,549,998

This schedule presents only the capital asset balances related to governmental funds. Accordingly, the capital assets reported in internal service funds are excluded from the above amounts. Generally, capital assets of internal service funds are included as governmental activities in the statement of net position.

Note - The schedule reflects the retroactive reporting of infrastructure capital assets as required under the provisions of Governmental Accounting Standards Board Statement No. 34.

City of Rye, New York

Capital Assets Used in the Operation of Governmental Funds
Schedule by Function and Activity
December 31, 2013

Function and Activity	Machinery and Equipment	Infrastructure	Construction in Progress	Total	Accumulated Depreciation	Net Book Value
PUBLIC SAFETY						
Traffic signals	$ -	$ 1,398,999	$ -	$ 1,398,999	$ (367,125)	$ 1,031,874
COMMUNITY ENVIRONMENT						
Rights of way	-	21,301,087	-	21,301,087	-	21,301,087
Streets	-	14,317,140	61,120	14,378,260	(9,524,669)	4,853,591
Streetlights	-	441,162	-	441,162	(307,001)	134,161
Sidewalks	-	976,585	-	976,585	(792,109)	184,476
Bridges	-	456,605	1,982,502	2,439,107	(380,483)	2,058,624
Sanitary sewers	-	12,143,476	-	12,143,476	(7,265,370)	4,878,106
Pump stations	-	1,392,648	67,839	1,460,487	(397,794)	1,062,693
Storm sewers	-	11,444,119	1,631,540	13,075,659	(7,221,333)	5,854,326
Total Community Environment	-	62,472,822	3,743,001	66,215,823	(25,888,759)	40,327,064
CULTURE AND RECREATION						
Cable TV equipment	86,074	-	-	86,074	(86,074)	-
Parks and playgrounds	-	3,191,060	-	3,191,060	-	3,191,060
Total Culture and Recreation	86,074	3,191,060	-	3,277,134	(86,074)	3,191,060
Total Governmental Funds Capital Assets	$ 86,074	$ 67,062,881	$ 3,743,001	$ 70,891,956	$ (26,341,958)	$ 44,549,998

This schedule presents only the capital asset balances related to governmental funds. Accordingly, the capital assets reported in internal service funds are excluded from the above amounts. Generally, capital assets of internal service funds are included as governmental activities in the statement of net position.

Note - The schedule reflects the retroactive reporting of infrastructure capital assets as required under the provisions of Governmental Accounting Standards Board Statement No. 34.

City of Rye, New York

Capital Assets Used in the Operation of Governmental Funds
Schedule of Changes by Function and Activity
Year Ended December 31, 2013

Function and Activity	Governmental Funds Capital Assets January 1	Additions	Deletions	Governmental Funds Capital Assets December 31
PUBLIC SAFETY				
Traffic signals	$ 1,398,999	$ -	$ -	$ 1,398,999
COMMUNITY ENVIRONMENT				
Rights of way	21,301,087	-	-	21,301,087
Streets	14,376,090	2,170	-	14,378,260
Streetlights	441,162	-	-	441,162
Sidewalks	976,585	-	-	976,585
Bridges	829,307	1,609,800	-	2,439,107
Sanitary sewers	12,040,254	103,222	-	12,143,476
Pump stations	1,460,487	-	-	1,460,487
Storm sewers	12,428,775	646,884	-	13,075,659
Total Community Environment	63,853,747	2,362,076	-	66,215,823
CULTURE AND RECREATION				
Cable TV equipment	86,074	-	-	86,074
Parks and playgrounds	3,191,060	-	-	3,191,060
Total Culture and Recreation	3,277,134	-	-	3,277,134
Total Governmental Funds Capital Assets	$ 68,529,880	$ 2,362,076	$ -	$ 70,891,956

This schedule presents only the capital asset balances related to governmental funds. Accordingly, the capital assets reported in internal service funds are excluded from the above amounts. Generally, capital assets of internal service funds are included as governmental activities in the statement of net position.

Note - The schedule reflects the retroactive reporting of infrastructure capital assets as required under the provisions of Governmental Accounting Standards Board Statement No. 34.

STATISTICAL SECTION
(UNAUDITED)

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Financial Trends

These schedules contain trend information to help the reader understand how the City's financial performance and well-being have changed over time. These schedules include:

Net Position by Component

Changes in Net Position

Fund Balances, Governmental Funds

Changes in Fund Balances, Governmental Funds

Tax Revenues by Source, Governmental Funds

City of Rye, New York

Net Position by Component
Last Ten Fiscal Years

	2004	2005	2006(1)	2007
Governmental Activities				
Net Investment in Capital Assets	$ 9,480,762	$ 13,348,301	$ 44,227,627	$ 45,961,185
Restricted	1,224,450	1,762,703	1,660,467	2,849,549
Unrestricted	10,418,032	9,079,270	11,059,645	11,470,537
Total Governmental Activities Net Position	21,123,244	24,190,274	56,947,739	60,281,271
Business-Type Activities				
Net Investment in Capital Assets	8,403,111	8,450,054	8,450,126	8,265,309
Unrestricted	2,499,439	3,265,525	3,723,916	4,437,719
Total Business-Type Activities Net Position	10,902,550	11,715,579	12,174,042	12,703,028
Primary Government				
Net Investment in Capital Assets	17,883,873	21,798,355	52,677,753	54,226,494
Restricted	1,224,450	1,762,703	1,660,467	2,849,549
Unrestricted	12,917,471	12,344,795	14,783,561	15,908,256
Total Primary Government Net Position	$ 32,025,794	$ 35,905,853	$ 69,121,781	$ 72,984,299

(1) Includes a cumulative effect of change in accounting principle adjustment of $30,977,163, representing the retroactive reporting of infrastructure assets.

2008	2009	2010	2011	2012	2013
$ 48,067,286	$ 52,236,195	$ 52,620,335	$ 54,629,581	$ 54,209,854	$ 51,028,225
2,697,494	2,646,922	2,761,748	2,630,318	3,237,805	2,698,735
4,933,791	(320,838)	(1,266,730)	(6,349,164)	(7,386,797)	(3,401,492)
55,698,571	54,562,279	54,115,353	50,910,735	50,060,862	50,325,468
9,073,386	9,740,758	9,620,669	9,637,370	9,097,824	9,324,839
4,335,879	2,951,808	1,630,040	833,265	933,733	1,396,753
13,409,265	12,692,566	11,250,709	10,470,635	10,031,557	10,721,592
57,140,672	61,976,953	62,241,004	64,266,951	63,307,678	60,353,064
2,697,494	2,646,922	2,761,748	2,630,318	3,237,805	2,698,735
9,269,670	2,630,970	363,310	(5,515,899)	(6,453,064)	(2,004,739)
$ 69,107,836	$ 67,254,845	$ 65,366,062	$ 61,381,370	$ 60,092,419	$ 61,047,060

City of Rye, New York

Changes in Net Position
Last Ten Fiscal Years

	2004	2005	2006	2007
Expenses				
Governmental Activities				
General Government	$ 3,218,750	$ 3,285,497	$ 3,513,365	$ 4,287,175
Public Safety	9,941,889	10,379,989	11,259,005	10,763,060
Community Environment	6,058,126	6,836,952	8,181,719	8,215,438
Transportation	-	-	-	-
Culture and Recreation	3,452,925	3,558,305	3,693,298	3,700,558
Home and Community Services	-	-	-	-
Interest	159,129	333,563	552,544	725,075
Total Governmental Activities	22,830,819	24,394,306	27,199,931	27,691,306
Business-Type Activities				
Marina	454,340	446,916	468,524	481,837
Golf	3,819,458	4,115,177	5,306,207	6,814,432
Total Business-Type Activities	4,273,798	4,562,093	5,774,731	7,296,269
Total Expenses	27,104,617	28,956,399	32,974,662	34,987,575
Program Revenues				
Governmental Activities				
Charges for Services				
General Government	457,581	471,658	489,375	505,926
Public Safety	664,726	663,007	647,446	578,807
Community Environment	1,726,572	2,020,307	2,205,360	2,446,351
Transportation	-	-	-	-
Culture and Recreation	976,130	1,009,128	999,740	1,088,198
Home and Community Services	-	-	-	-
Operating Grants and Contributions	253,947	455,106	375,595	660,091
Capital Grants and Contributions	588,967	314,854	410,390	622,728
Total Governmental Activities	4,667,923	4,934,060	5,127,906	5,902,101
Business-Type Activities				
Charges for Services				
Marina	493,151	542,397	633,083	576,693
Golf	4,371,899	4,723,373	5,378,934	6,990,360
Operating Grants and Contributions	-	-	-	-
Capital Grants and Contributions	-	-	-	3,826
Total Business-Type Activities	4,865,050	5,265,770	6,012,017	7,570,879
Total Program Revenues	9,532,973	10,199,830	11,139,923	13,472,980

2008(1)	2009	2010	2011	2012	2013
$ 4,852,832	$ 4,075,663	$ 3,847,977	$ 3,847,034	$ 3,733,393	$ 3,966,979
14,573,551	14,193,748	14,267,990	15,873,630	16,093,285	18,064,524
-	-	-	-	-	-
5,105,678	4,419,335	4,466,928	4,422,582	3,858,783	4,266,362
4,201,625	4,128,114	4,152,371	4,326,087	4,055,710	4,149,577
5,177,171	5,119,135	5,611,370	5,417,700	6,302,729	6,376,814
657,980	609,287	426,731	618,037	589,183	506,947
34,568,837	32,545,282	32,773,367	34,505,070	34,633,083	37,331,203
589,804	574,268	627,778	904,632	1,018,739	895,996
6,972,985	7,310,686	7,729,667	7,028,025	6,851,676	5,700,251
7,562,789	7,884,954	8,357,445	7,932,657	7,870,415	6,596,247
42,131,626	40,430,236	41,130,812	42,437,727	42,503,498	43,927,450
743,220	792,068	789,441	917,026	899,551	707,740
1,850,529	1,680,697	1,662,676	1,819,013	2,129,247	2,473,451
-	-	-	-	-	-
920,145	877,257	918,683	1,200,047	1,264,716	1,323,178
1,071,017	1,060,590	1,158,127	1,422,633	1,457,025	1,479,838
149,862	101,890	168,194	128,059	212,248	179,686
359,038	399,495	572,225	234,853	1,429,372	1,098,398
398,386	2,072,892	1,615,788	278,881	267,288	2,208,204
5,492,197	6,984,889	6,885,134	6,000,512	7,659,447	9,470,495
565,473	591,891	633,269	646,459	640,518	663,455
7,264,208	6,565,155	6,275,216	6,504,746	6,760,138	6,060,574
-	-	-	-	28,718	559,942
317,500	-	-	-	-	-
8,147,181	7,157,046	6,908,485	7,151,205	7,429,374	7,283,971
13,639,378	14,141,935	13,793,619	13,151,717	15,088,821	16,754,466

(continued)

City of Rye, New York

Changes in Net Position
Last Ten Fiscal Years (Continued)

	2004	2005	2006	2007
Net (Expense)/Revenue				
Governmental Activities	(18,162,896)	(19,460,246)	(22,072,025)	(21,789,205)
Business-Type Activities	591,252	703,677	237,286	274,610
Total Net Expense	(17,571,644)	(18,756,569)	(21,834,739)	(21,514,595)
General Revenues				
Governmental Activities				
Real Property Taxes	15,528,286	16,141,660	16,987,781	17,838,489
Non-Property Taxes	2,143,838	2,266,922	2,327,161	2,622,734
Unrestricted Use of Money and Property	220,459	565,843	1,137,777	1,195,960
Gain on Sale of Capital Assets	39,655	29,135	33,270	26,977
State Aid	3,003,658	3,451,841	3,324,440	3,415,249
Other Revenues	72,488	71,875	41,899	23,327
Total Governmental Activities	21,008,384	22,527,276	23,852,328	25,122,736
Business-Type Activities				
Unrestricted Use of Money and Property	32,633	109,352	221,177	254,376
Gain on Sale of Equipment	7,000	-	-	-
Total Business-Type Activities	39,633	109,352	221,177	254,376
Total General Revenues	21,048,017	22,636,628	24,073,505	25,377,112
Change in Net Position				
Governmental Activities	2,845,488	3,067,030	1,780,303	3,333,531
Business-Type Activities	630,885	813,029	458,463	528,986
Total Change in Net Position	$ 3,476,373	$ 3,880,059	$ 2,238,766	$ 3,862,517

(1) Beginning in 2008, amounts previously reported in the community environment function have been reallocated and are now being reported within the public safety, transportation and home and community services functions.

2008(1)	2009	2010	2011	2012	2013
(29,076,640)	(25,560,393)	(25,888,233)	(28,504,558)	(26,973,636)	(27,860,708)
584,392	(727,908)	(1,448,960)	(781,452)	(441,041)	687,724
(28,492,248)	(26,288,301)	(27,337,193)	(29,286,010)	(27,414,677)	(27,172,984)
18,331,970	19,323,667	19,764,674	19,726,762	20,433,026	20,860,358
2,643,066	2,364,648	2,566,311	2,620,377	2,612,451	2,791,836
490,398	140,030	95,000	51,907	43,420	48,667
30,672	31,503	15,324	23,438	-	470,967
2,941,169	2,249,619	2,493,863	2,361,583	2,496,256	3,390,946
56,665	314,634	506,135	515,873	538,610	562,540
24,493,940	24,424,101	25,441,307	25,299,940	26,123,763	28,125,314
121,845	11,209	7,103	1,378	1,963	2,311
-	-	-	-	-	-
121,845	11,209	7,103	1,378	1,963	2,311
24,615,785	24,435,310	25,448,410	25,301,318	26,125,726	28,127,625
(4,582,700)	(1,136,292)	(446,926)	(3,204,618)	(849,873)	264,606
706,237	(716,699)	(1,441,857)	(780,074)	(439,078)	690,035
$ (3,876,463)	$ (1,852,991)	$ (1,888,783)	$ (3,984,692)	$ (1,288,951)	$ 954,641

City of Rye, New York

Fund Balances, Governmental Funds
Last Ten Fiscal Years

	2004	2005	2006	2007
General Fund				
Nonspendable	$ -	$ -	$ -	$ -
Restricted	-	-	-	-
Assigned	-	-	-	-
Unassigned	-	-	-	-
Reserved	2,611,046	2,487,324	2,387,761	3,523,898
Unreserved	7,035,140	8,568,860	9,674,609	9,785,973
Total General Fund	9,646,186	11,056,184	12,062,370	13,309,871
All Other Governmental Funds				
Nonspendable	$ -	$ -	$ -	$ -
Restricted	-	-	-	-
Assigned	-	-	-	-
Reserved	330,232	544,315	1,596,362	1,921,268
Unreserved, Reported In:				
Special Revenue Fund	166,993	248,138	324,758	336,911
Permanent Fund	-	4,303	1,309	1,099
Debt Service Fund	-	-	-	9,800
Capital Projects Fund	774,522	1,023,769	(845,230)	(1,476,029)
Total All Other Governmental Funds	1,271,747	1,820,525	1,077,199	793,049
Total Governmental Funds	$ 10,917,933	$ 12,876,709	$ 13,139,569	$ 14,102,920

(1) - The City implemented the provisions of Governmental Accounting Standards Board Statement No. 54, "Fund Balance Reporting and Governmental Fund Type Definitions", for the fiscal year ended December 31, 2011.

2008	2009	2010	2011 (1)	2012	2013
$ -	$ -	$ -	$ 1,647,837	$ 1,501,991	$ 1,533,556
-	-	-	2,141,634	1,938,058	1,383,442
-	-	-	1,688,353	2,659,084	3,504,007
-	-	-	3,779,938	4,982,632	5,942,286
3,264,302	3,130,697	4,661,371	-	-	-
7,736,075	6,701,760	5,833,786	-	-	-
11,000,377	9,832,457	10,495,157	9,257,762	11,081,765	12,363,291
$ -	$ -	$ -	$ 24,227	$ 24,900	$ 27,261
-	-	-	1,723,849	789,628	768,200
-	-	-	777,191	1,501,397	1,023,955
2,036,376	464,673	315,472	-	-	-
191,558	281,777	367,862	-	-	-
25	80	118	-	-	-
139,356	99,750	21,176	-	-	-
(3,301,577)	(2,049,789)	2,450,581	-	-	-
(934,262)	(1,203,509)	3,155,209	2,525,267	2,315,925	1,819,416
$ 10,066,115	$ 8,628,948	$ 13,650,366	$ 11,783,029	$ 13,397,690	$ 14,182,707

City of Rye, New York

Changes in Fund Balances, Governmental Funds
Last Ten Fiscal Years

	2004	2005	2006	2007
Revenues				
Real Property Taxes	$ 15,551,738	$ 16,197,588	$ 16,966,643	$ 17,849,808
Non-Property Taxes	2,334,151	2,470,665	2,550,088	2,868,819
Charges for Services	1,054,741	1,180,052	1,372,495	1,282,324
Intergovernmental Charges	72,775	76,274	69,235	73,656
Use of Money and Property	211,200	482,446	912,595	1,021,617
Licenses and Permits	1,390,634	1,630,044	1,628,357	2,035,050
Fines and Forfeitures	553,320	540,247	533,102	477,209
Sale of Property and Compensation for Loss	129,600	123,939	89,282	67,234
Interfund Revenues	418,249	404,099	413,628	430,025
State Aid	3,321,831	3,772,275	3,664,263	3,816,573
Federal Aid	15,255	95,935	121,880	450,127
Miscellaneous	113,568	295,813	234,618	189,884
Total Revenues	25,167,062	27,269,377	28,556,186	30,562,326
Expenditures				
Current				
General Government	2,916,473	3,063,887	3,346,840	3,670,968
Public Safety	9,831,702	10,373,012	11,281,346	10,984,670
Community Services	6,142,100	6,692,938	7,237,352	7,257,244
Transportation	-	-	-	-
Culture and Recreation	3,499,177	3,559,300	3,720,422	3,770,058
Home and Community Services	-	-	-	-
Debt Service				
Principal	41,525	43,752	64,534	67,079
Interest	56,745	54,717	73,700	71,470
Refunding bond issuance costs	-	-	-	-
Capital Outlay				
General Government	4,808	8,000	6,789	27,980
Public Safety	12,423	68,369	199,687	16,877
Transportation	852,713	518,722	1,398,098	3,055,579
Culture and Recreation	-	-	69,983	-
Home and Community Services	-	-	-	-
Total Expenditures	23,357,666	24,382,697	27,398,751	28,921,925
Excess (Deficiency) of Revenues Over Expenditures	1,809,396	2,886,680	1,157,435	1,640,401
Other Financing Sources (Uses)				
Bonds Issued	-	560,000	-	-
Refunding Bonds Issued	-	-	-	-
Issuance Premium	-	-	-	-
Payment to Refunded Bond Escrow Agent	-	-	-	-
Transfers In	3,923,255	657,707	854,202	3,384,328
Transfers Out	(1,196,256)	(2,145,611)	(1,748,777)	(4,061,378)
Total Other Financing Sources (Uses)	2,726,999	(927,904)	(894,575)	(677,050)
Net Change in Fund Balances	$ 4,536,395	$ 1,958,776	$ 262,860	$ 963,351
Debt Service as a Percentage of Non-Capital Expenditures	0.44%	0.41%	0.52%	0.52%

(1) Beginning in 2008, amounts previously reported in the community environment function have been reallocated and are now being reported within the public safety, transportation and home and community services functions.

2008(1)	2009	2010	2011	2012	2013
$ 18,272,135	$ 19,303,263	$ 19,750,579	$ 19,740,731	$ 20,435,348	$ 20,857,749
2,900,960	2,635,463	2,860,490	2,950,001	2,938,634	3,124,407
1,329,874	1,274,460	1,449,041	1,636,476	1,809,922	1,816,289
77,799	85,866	82,008	95,651	81,095	85,998
473,566	138,398	96,288	342,169	338,569	156,417
1,730,742	1,557,660	1,457,462	1,975,596	2,344,563	2,809,894
583,026	569,770	609,918	617,282	609,247	510,819
108,962	49,377	113,486	95,641	119,983	238,215
432,050	445,759	442,984	441,634	441,634	445,759
3,328,874	2,929,553	2,854,696	2,703,865	2,914,810	4,232,395
47,002	1,100,153	1,043,013	-	595,533	1,983,148
210,007	332,834	349,608	398,189	950,545	692,467
29,494,997	30,422,556	31,109,573	30,997,235	33,579,883	36,953,557
4,333,767	3,901,647	3,478,239	4,772,526	3,622,160	3,774,826
12,890,879	12,736,433	12,883,879	13,835,912	14,340,455	16,111,656
-	-	-	-	-	-
3,177,429	3,183,718	3,234,870	3,430,714	2,972,934	3,226,903
4,101,417	4,127,040	4,048,699	4,201,941	3,885,612	4,040,257
4,478,333	4,571,286	4,604,338	4,924,690	5,496,520	5,323,300
71,144	74,242	165,261	221,721	246,918	254,579
137,993	117,539	58,871	187,171	156,428	148,601
-	-	9,782	-	-	6,655
13,496	-	-	-	-	-
30,401	92,090	779,451	230,062	-	2,170
2,337,444	874,498	733,012	552,823	293,946	1,927,269
-	-	-	-	-	-
1,064,499	1,426,196	668,909	422,012	985,249	1,268,979
32,636,802	31,104,689	30,665,311	32,779,572	32,000,222	36,085,195
(3,141,805)	(682,133)	444,262	(1,782,337)	1,579,661	868,362
-	-	4,677,374	-	-	-
-	-	791,579	-	-	378,885
-	-	56,934	-	-	13,598
-	-	(838,731)	-	-	(385,828)
2,274,360	1,204,410	1,664,155	939,801	491,839	830,051
(3,169,360)	(1,959,444)	(1,774,155)	(1,024,801)	(456,839)	(920,051)
(895,000)	(755,034)	4,577,156	(85,000)	35,000	(83,345)
$ (4,036,805)	$ (1,437,167)	$ 5,021,418	$ (1,867,337)	$ 1,614,661	$ 785,017
0.68%	0.65%	0.76%	1.27%	1.30%	1.22%

City of Rye, New York

Tax Revenues by Source, Governmental Funds
Last Ten Fiscal Years

Fiscal Year	Real Property Taxes	Sales and Use Taxes	Hotel Occupancy Taxes	Utilities Gross Receipts Taxes	Mortgage Taxes	Total
2004	$ 15,551,738	$ 1,801,366	$ -	$ 342,472	$ 2,161,052	$ 19,856,628
2005	16,197,589	1,908,845	-	358,077	2,389,053	20,853,564
2006	16,966,642	1,957,187	-	369,974	2,084,125	21,377,928
2007	17,849,808	2,079,744	200,303	342,687	2,141,475	22,614,017
2008	18,272,135	2,050,610	177,338	415,118	1,629,182	22,544,383
2009	19,303,262	1,812,360	136,922	415,366	919,732	22,587,642
2010	19,750,579	1,981,208	153,934	431,169	1,261,186	23,578,076
2011	19,740,731	2,051,254	159,001	410,122	1,153,559	23,514,667
2012	20,435,348	2,076,364	155,534	380,553	1,288,232	24,336,031
2013	20,857,749	2,222,745	166,778	402,313	2,182,922	25,832,507

Source: Comprehensive Annual Financial Report for each respective fiscal year

Revenue Capacity

These schedules contain information to help the reader assess the City's most significant local revenue source, the property tax. These schedules include:

Assessed Valuation, State Equalization Rate
and Estimated Full Value of Real Property

Property Tax Rates per $1,000 Assessed Valuation -
All Direct and Overlapping Governments

Principal Taxpayers

Property Tax Levies and Collections

Constitutional Tax Limit

City of Rye, New York

Assessed Valuation, State Equalization Rate, and Estimated Full Value of Real Property
Last Ten Fiscal Years

Year	One-Three Family Residential	Condos Co-ops & Apt. Bldgs.	Business (1)	Other (2)	Total Gross Assessed Valuation
2004	$ 105,620,685	$ 9,432,278	$ 22,828,518	$ 26,707,568	$ 164,589,049
2005	107,282,955	9,267,278	22,101,168	26,657,528	165,308,929
2006	108,596,155	9,113,041	22,080,105	26,536,484	166,325,785
2007	110,420,885	9,161,821	22,040,490	26,366,361	167,989,557
2008	111,523,385	9,147,881	21,869,050	25,815,312	168,355,628
2009	113,148,100	7,819,866	21,819,700	25,580,843	168,368,509
2010	113,647,740	7,592,163	21,354,351	25,756,508	168,350,762
2011	113,336,975	7,343,964	21,257,429	25,770,920	167,709,288
2012	113,347,445	7,083,655	20,458,446	24,513,427	165,402,973
2013	113,959,435	7,022,535	20,338,966	24,397,088	165,718,024

(1) Includes commercial, industrial, and recreational facilities.
(2) Includes agriculture, vacant land, community service, utility, wetland and parkland, most of which is tax-exempt.
(3) A single direct property tax rate applies to all classes of real property.

Note: Assessed values are established by the City Assessor on September 15th of the prior year and includes Special Franchise Assessments as established by the New York State Board of Equalization and Assessment. For instance, assessed values for fiscal 2012 were established on September 15, 2011.

Exemptions	Total Taxable Assessed Valuation	Direct Tax Rate (3)	Estimated Actual Full Value	Taxable Value as a Percentage of Full Value
$ (30,014,099)	$ 134,574,950	$ 113.41	$ 5,097,535,985	2.64%
(29,428,082)	135,880,847	116.80	5,246,364,749	2.59%
(29,376,708)	136,949,077	122.39	5,827,620,298	2.35%
(29,587,882)	138,401,675	127.24	6,407,484,954	2.16%
(29,282,510)	139,073,118	131.49	7,059,549,137	1.97%
(29,053,242)	139,315,267	137.38	7,036,124,596	1.98%
(29,058,445)	139,292,317	139.52	7,180,016,340	1.94%
(29,027,596)	138,681,692	140.87	6,420,448,704	2.16%
(27,666,240)	137,736,733	144.99	6,289,348,539	2.19%
(27,622,832)	138,095,192	148.91	6,703,650,097	2.06%

City of Rye, New York

Property Tax Rates per $1,000 Assessed Valuation - All Direct and Overlapping Governments
Last Ten Fiscal Years

Year	City of Rye (1)	Rye City School District	Rye Neck Union Free School District	Westchester County	
				Westchester County	Refuse Disposal District
2004	$ 113.41	$ 396.52	$ -	$ 133.71	$ 16.25
	113.41	-	528.05	133.71	16.25
2005	116.80	416.92	-	124.09	15.12
	116.80	-	529.10	124.09	15.12
2006	122.39	441.85	-	130.43	15.24
	122.39	-	528.51	130.43	15.24
2007	127.24	463.92	-	134.06	15.03
	127.24	-	575.62	134.06	15.03
2008	131.49	490.55	-	141.43	13.61
	131.49	-	626.45	141.43	13.61
2009	137.38	500.20	-	146.28	13.89
	137.38	-	647.88	146.28	13.89
2010	139.52	507.31	-	158.12	15.12
	139.52	-	672.72	158.12	15.12
2011	140.87	520.54	-	156.86	15.34
	140.87	-	696.64	156.86	15.34
2012	144.99	529.68	-	162.12	15.84
	144.99	-	737.53	162.12	15.84
2013	148.91	554.65	-	173.82	16.98
	148.91	-	796.18	173.82	16.98

Source: City of Rye Comptroller's Office
(1) A single direct property tax rate applies to all classes of real property

Blind Brook Sewer District	Mamaroneck Sewer District	Total County	Total with Rye City School District	Total with Rye Neck Union Free School District
$ 17.05	$ -	$ 167.01	$ 676.94	$ -
-	17.96	167.92	-	809.38
17.51	-	156.71	690.44	-
-	17.82	157.03	-	802.93
19.68	-	165.35	729.59	-
-	19.87	165.54	-	816.44
20.56	-	169.65	760.81	-
-	23.14	172.23	-	875.09
20.90	-	175.94	797.98	-
-	23.92	178.96	-	936.90
21.15	-	181.32	818.90	-
-	25.15	185.32	-	970.58
21.87	-	195.11	841.94	-
-	25.15	198.39	-	1,010.63
21.62	-	193.82	855.23	-
-	28.80	201.00	-	1,038.51
22.32	-	200.28	874.95	-
-	28.92	206.88	-	1,089.40
23.23	-	214.03	917.59	-
-	29.38	220.18	-	1,165.27

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City of Rye, New York

Principal Taxpayers
December 31, 2013 and 2004

2013

Rank	Taxpayer	Type of Business	Taxable Assessed Valuation	Percent of Total Taxable Assessed Valuation
1	Consolidated Edison	Public Utility	$ 3,096,042	2.24%
2	Miriam Osborn Memorial Home	Retirement Community	2,133,120	1.54%
3	United Water	Public Utility	915,475	0.66%
4	Avon Products	Office Building + Lot	673,310	0.49%
5	Faros Corporate Center	Office Building	668,000	0.48%
6	Rye Colony Apartments	Cooperative	620,130	0.45%
7	Parsonage Investment Co.	SFR & Vacant Land	579,550	0.42%
8	Milton Harbor House	Cooperative	567,450	0.41%
9	Blind Brook Lodge Owners, Inc.	Cooperative	537,692	0.39%
10	Shenorock Shore Club	Private Club	485,900	0.35%
	Total		$ 10,276,669	7.43%

2004

Rank	Taxpayer	Type of Business	Taxable Assessed Valuation	Percent of Total Taxable Assessed Valuation
1	Miriam Osborn Memorial Home	Retirement Community	$ 3,224,000	2.40%
2	Consolidated Edison	Public Utility	2,833,223	2.11%
3	Milton Harbor House	Cooperative	942,872	0.70%
4	The Gateside - Rye Co.	Office Building	879,300	0.65%
5	Aquarion Water Company[1]	Public Utility	834,644	0.62%
6	Parsonage Investment Co.	Residential	734,800	0.55%
7	Rye Colony Apartments	Cooperative	711,550	0.53%
8	Blind Brook Lodge	Cooperative	617,196	0.46%
9	Host Restaurants Inc.	Hotel	549,550	0.41%
10	American Yacht Club	Private Club	542,550	0.40%
	Total		$ 11,869,685	8.83%

Source: City of Rye Assessor's Office

City of Rye, New York

Property Tax Levies and Collections
Last Ten Fiscal Years

Fiscal Year	Total Tax Levy for Fiscal Year	Collected within the Fiscal Year of the Levy		Uncollected within the Fiscal Year of the Levy		Collected in Subsequent Years
		Amount	Percent	Amount	Percent	
2004	$ 15,254,280	$ 15,235,508	99.88%	$ 18,772	0.12%	$ 18,006
2005	15,900,190	15,868,141	99.80%	32,049	0.20%	31,261
2006	16,869,097	16,787,177	99.51%	81,920	0.49%	81,094
2007	17,706,559	17,654,153	99.70%	52,406	0.30%	51,531
2008	18,387,932	18,318,242	99.62%	69,690	0.38%	67,691
2009	19,241,273	19,136,162	99.45%	105,111	0.55%	104,101
2010	19,625,486	19,555,272	99.64%	70,214	0.36%	68,010
2011	19,658,891	19,584,532	99.62%	74,359	0.38%	60,103
2012	20,268,674	20,195,369	99.64%	73,305	0.36%	48,563
2013	20,790,194	20,735,050	99.73%	55,144	0.27%	12,161

Source: City of Rye Comptroller's Office

Total Collected to Date		Total Uncollected to Date		Tax	Percent of Tax Liens to
Amount	Percent	Amount	Percent	Liens	Tax Levy
$ 15,253,514	99.99%	$ 766	0.01%	$ 458,255	3.00%
15,899,402	99.99%	788	0.01%	288,286	1.81%
16,868,271	99.99%	826	0.01%	186,887	1.11%
17,705,684	99.99%	875	0.01%	258,076	1.46%
18,385,933	99.99%	1,999	0.01%	430,138	2.34%
19,240,263	99.99%	1,010	0.01%	443,621	2.31%
19,623,282	99.99%	2,204	0.01%	666,679	3.40%
19,644,635	99.93%	14,256	0.07%	577,105	2.94%
20,243,932	99.88%	24,742	0.12%	588,151	2.90%
20,747,211	99.79%	42,983	0.21%	748,700	3.60%

City of Rye, New York

Constitutional Tax Limit

Constitutional tax limit calculation, December 31, 2013		
Full valuation, last five fiscal years		
2013	$	6,703,650,097
2012		6,289,348,539
2011		6,420,448,704
2010		7,180,016,340
2009		7,036,124,596
Total full valuation, last five years		33,629,588,276
Five-year average full valuation		6,725,917,655
Constitutional tax limit: 2% of five-year average full valuation	$	134,518,353
Tax levy - general city purposes	$	20,790,194
Total exclusions [1]		2,403,490
Tax levy subject to tax limit	$	18,386,704
Percent of constitutional tax limit exhausted		13.67%
Constitutional tax margin	$	116,131,649

Constitutional Tax Limit - Last Ten Fiscal Years

Year	Constitutional Tax Limit	Tax Levy Subject to Tax Limit	Constitutional Tax Margin	Percent Exhausted
2004	$ 66,667,867	$ 13,379,755	$ 53,288,112	20.07 %
2005	86,967,321	12,534,148	74,433,173	14.41
2006	97,665,203	13,536,961	84,128,242	13.86
2007	109,217,662	11,596,583	97,621,079	10.62
2008	118,554,221	9,272,883	109,281,338	7.82
2009	126,308,575	15,489,470	110,819,105	12.26
2010	134,043,181	9,462,610	124,580,571	7.06
2011	136,414,495	17,105,703	119,308,792	12.54
2012	135,941,949	18,059,528	117,882,421	13.28
2013	134,518,353	18,386,704	116,131,649	13.67

[1] The constitutional tax limit specifically excludes debt service related to bonds and notes issued for certain specific purposes, and also excludes budgetary appropriations in the forthcoming year's budget for objects or purposes for which a period of probable usefulness is provided for in Section 11 of the New York State Local Finance Law.

Debt Capacity

These schedules present information to help the reader assess the affordability of the City's current levels of outstanding debt and the City's ability to issue additional debt in the future. These schedules include:

Ratios of Outstanding Debt by Type

Direct and Overlapping Governmental Activities Debt

Computation of Legal Debt Margin

Calculation of Charter Bonded Debt Limits

City of Rye, New York

Ratios of Outstanding Debt by Type
Last Ten Fiscal Years

	Net General Bonded Debt				
Fiscal Year	General Obligation Bonds	Less Restricted for Debt Service	Net General Bonded Debt	Percentage of Full Property Value	Debt Per Capita
2004	$ 12,073,013	$ -	$ 12,073,013	0.24%	$ 803
2005	17,941,013	(98,643)	17,842,370	0.34%	1,190
2006	17,153,013	(150,978)	17,002,035	0.29%	1,134
2007	16,345,000	(247,532)	16,097,468	0.25%	1,065
2008	15,500,000	(259,249)	15,240,751	0.22%	1,000
2009	14,625,000	(120,925)	14,504,075	0.21%	867
2010	19,407,374	(61,035)	19,346,339	0.27%	1,282
2011	18,389,117	(6,465)	18,382,652	0.29%	1,169
2012	17,215,209	(54,643)	17,160,566	0.27%	1,084
2013	16,080,938	(52,172)	16,028,766	0.24%	1,010

(1) United States Census Bureau

Source: City Comptroller's Office

Business-type Activities			
General Obligation Bonds	Total Primary Government	Percentage of Estimated Total Personal Income	Debt Per Capita
$ 4,610,000	$ 16,683,013	1.45%	$ 1,109
4,210,000	22,052,370	1.92%	1,471
3,975,000	20,977,035	1.83%	1,399
3,730,000	19,827,468	1.71%	1,312
3,470,000	18,710,751	1.60%	1,228
3,200,000	17,704,075	1.38%	1,058
2,840,000	22,186,339	1.59%	1,470
2,580,987	20,963,639	1.43%	1,334
2,252,775	19,413,341	1.30%	1,226
1,920,446	17,949,212	1.20%	1,131

City of Rye, New York

Direct and Overlapping Governmental Activities Debt

Government Unit	December 31, 2013 Net General Long-Term Debt	Percentage Applicable City of Rye(1)	Amount Applicable to City of Rye
City of Rye School District	$ 40,655,000	100.00%	$ 40,655,000
Rye Neck Union Free School District	7,865,000	31.05%	2,442,083
Westchester County	1,108,757,834	4.66%	51,664,441
Subtotal, Overlapping Debt			94,761,524
City Direct Debt (2)			16,028,766
Total Direct and Overlapping Debt			$ 110,790,290
Population			15,868
Overlapping Debt Per Capita			$ 5,972
Direct and Overlapping Debt Per Capita			$ 6,982

Summary of Direct and Overlapping Governmental Activities Debt
Last Ten Fiscal Years

Year	Overlapping Debt	City Direct Debt	Direct and Overlapping Debt	Population	Overlapping Debt Per Capita	Direct and Overlapping Debt Per Capita
2004	$ 44,281,289	$ 12,073,013	$ 56,354,302	15,043	$ 2,944	$ 3,746
2005	59,230,087	17,842,370	77,072,457	14,992	3,951	5,141
2006	58,690,542	17,002,035	75,692,577	14,992	3,915	5,049
2007	56,889,611	16,097,468	72,987,079	15,109	3,765	4,831
2008	72,272,509	15,240,751	87,513,260	15,242	4,742	5,742
2009	69,394,614	14,504,075	83,898,689	16,737	4,146	5,013
2010	61,092,502	19,346,339	80,438,841	15,091	4,048	5,330
2011	72,521,155	18,382,652	90,903,807	15,720	4,613	5,783
2012	71,965,716	17,160,566	89,126,282	15,834	4,545	5,629
2013	94,761,524	16,028,766	110,790,290	15,868	5,972	6,982

(1) The percentage of overlapping debt applicable is estimated using taxable assessed values. Applicable percentages were estimated by determining the portion of the City's taxable assessed value that is within the government's boundaries and dividing it by the City's total taxable assessed value.
(2) Represents governmental activities debt only.

Sources: Outstanding debt and applicable percentages provided by each governmental unit.

Note: Overlapping governments are those that coincide, at least in part, with the geographic boundaries of the City. This schedule estimates the portion of the outstanding debt of those overlapping governments that is borne by the property taxpayers of the City of Rye.
This process recognizes that, when considering the City's ability to issue and repay long-term debt, the entire debt burden borne by the property taxpayers should be taken into account.

City of Rye, New York

Computation of Legal Debt Margin
December 31, 2013

Fiscal Year	Net Assessed Valuation	State Equalization Rate	Full Valuation
2013	$ 138,095,192	2.06%	$ 6,703,650,097
2012	137,736,733	2.19%	$ 6,289,348,539
2011	138,681,692	2.16%	6,420,448,704
2010	139,292,317	1.94%	7,180,016,340
2009	139,315,267	1.98%	7,036,124,596

Total Five Year Full Valuation	33,629,588,276
Five Year Average Full Valuation of Taxable Real Property	6,725,917,655
Constitutional Debt Limit (7% of Average Full Valuation)	470,814,236
Outstanding Indebtedness at December 31st	17,949,212
Less: 2014 Appropriations for Debt Principal	1,640,000
Net Indebtedness Subject to Debt Limit	16,309,212
Net Debt Contracting Margin	$ 454,505,024
Percentage of Net Debt Contracting Margin Available	96.54%
Percentage of Net Debt Contracting Power Exhausted	3.46%

Last Ten Fiscal Years

Year	Constitutional Debt Limit	Outstanding Indebtedness December 31	Less Appropriations for Debt Principal	Indebtedness Subject to Debt Limit	Net Debt Contracting Margin	Percentage of Net Debt Contracting Margin Available
2004	$ 270,250,344	$ 16,683,013	$ 1,022,652	$ 15,660,361	$ 254,589,983	94.21 %
2005	304,385,625	22,052,370	1,019,974	21,032,396	283,353,229	93.09
2006	341,828,209	20,977,035	1,092,221	19,884,814	321,943,395	94.18
2007	382,261,817	19,827,468	1,173,948	18,653,520	363,608,297	95.12
2008	414,939,772	18,710,751	1,217,116	17,493,635	397,446,137	95.78
2009	442,080,012	17,704,075	1,224,767	16,479,308	425,600,704	96.27
2010	469,151,135	22,186,339	1,322,828	20,863,511	448,287,624	95.55
2011	477,450,732	20,963,639	1,406,137	19,557,502	457,893,230	95.90
2012	475,796,822	19,413,341	1,525,000	17,888,341	457,908,481	96.24
2013	470,814,236	17,949,212	1,640,000	16,309,212	454,505,024	96.54

City of Rye, New York

Calculation of Charter Bonded Debt Limits

In addition to the legal debt margin, the City Charter places limitations on the issuance of new debt (see Section C21-9 of the City of Rye Code). The City Council can authorize the issuance of debt not exceeding 5% of the average gross annual budget for the preceding three years. Debt exceeding 5% but not in excess of 10% is subject to a permissive referendum. Debt in excess of 10% requires approval of the voting public in a general or special election.

In addition to other exemptions, the City Charter provides a limited exemption of $1 million per year to an aggregate maximum outstanding of $2.5 million, for debt issued for public safety purposes and disaster rebuilding purposes.

For purposes of this calculation, "gross annual budget" is defined as the total appropriations of the General Fund, the Cable TV Special Revenue Fund, and the enterprise funds (Boat Basin Fund and Golf Club Fund). All other fund budgets - the K.T. Woods Permanent Fund, the Debt Service Fund, the Capital Projects Fund,

	Gross Annual Budgets (As Adopted)		
Fund:	2011	2012	2013
General Fund	$ 29,946,910	$ 29,937,271	$ 31,706,181
Cable TV Fund	322,711	396,784	320,453
Boat Basin Fund	662,223	1,123,751	888,716
Golf Club Fund	7,832,681	7,305,049	6,379,621
Total gross annual budgets	$ 38,764,525	$ 38,762,855	$ 39,294,971
Total of preceding three years' gross annual budgets			$ 116,822,351
Average of preceding three years' gross annual budgets			$ 38,940,784

	Subject to City Council Vote	Subject to Permissive Referendum	Public Safety Exemption	Disaster Rebuilding Exemption
Maximum charter bonding limit	$ 1,947,039	$ 3,894,078	$ 2,500,000	$ 2,500,000
Less outstanding principal at December 31, 2013:				
2000 Serial Bonds	(156,462)	(504,918)	-	-
2001 Serial Bonds	(270,741)	(99,109)	-	-
2002 Serial Bonds	-	(459,911)	-	-
2005 Serial Bonds	-	(115,638)	(345,480)	-
2010 Serial Bonds	(1,228,742)	(626,910)	(1,101,571)	-
Charter bonding limit December 31, 2013	$ 291,094	$ 2,087,592	$ 1,052,949	$ 2,500,000

Demographic and Economic Information

These schedules offer demographic and economic indicators to help the reader understand the environment within which the City's financial activities take place. These schedules include:

Demographic and Economic Statistics

Principal Employers

City of Rye, New York

Demographic and Economic Statistics
Last Ten Fiscal Years

Year	Population[1]	Median Age[2]	Per Capita Income[2]	Median Household Income[2]	Median Family Income[2]	Median Residential Home Value[3]	Unemployment Rate[4]
2004	15,043	38.1	$ 76,566	$110,894	$133,231	$1,070,000	4.5%
2005	14,992	38.1	76,566	110,894	133,231	1,225,000	4.1
2006	14,992	38.1	76,566	110,894	133,231	1,179,000	3.7
2007	15,109	38.1	76,566	110,894	133,231	1,455,000	3.7
2008	15,242	38.1	76,566	110,894	133,231	1,460,000	5.7
2009	16,737	38.1	76,566	110,894	133,231	1,350,000	6.9
2010(5)	15,091	38.1	92,737	145,990	207,773	1,255,000	6.7
2011	15,720	40.8	93,072	146,069	209,149	1,448,750	6.5
2012	15,834	40.8	94,207	149,973	216,810	1,440,000	6.2
2013	15,868	40.8	94,559	143,359	216,810	1,550,000	6.3

Sources:

[1] United States Census Bureau.
[2] United States Census Bureau 2010 Census.
[3] City of Rye Assessor's office. 2013 median based on sales through mid-November 2013.
[4] United States Bureau of Labor Statistics unemployment rates for Westchester County not seasonally adjusted.
[5] United States Census Bureau's American Community Survey (2005-2009).

City of Rye, New York

Principal Employers
Fiscal Year Ended December 31, 2004[1]

Employer	Industry	Number of Employees
Playland	Amusement Park	596
Osborne Retirement Home	Retirement Community	480
Avon Products	Retail Cosmetics	400
Rye City School District	Education	377
Lynch Interactive Corp.	Telecommunications	328
Apawamis Club	Private Club	200
Shenorock Shore Club	Private Club	200
Mobius Management Systems Inc.	Computer Technology	175
Rye Country Day School	Education	160
Lillian Vernon Corp	Catalog and Mail Order Sales	150

[1] No data available prior to or after fiscal 2004. No data on total employment available.

Source: Westchester County Planning Department

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Operating Information

These schedules contain service and infrastructure data to help the reader understand how the information in the City's financial report relates to the services the City provides and the activities it performs. These schedules include:

Full-Time Equivalent Employees by Program

Operating Indicators by Program

Capital Asset Statistics by Program

City of Rye, New York

Full-Time Equivalent Employees by Program
Last Ten Fiscal Years

Year	General Government	Public Safety	Community Environment (1)	Transportation (1)	Culture and Recreation
2004	21	62	64	n/a	23
2005	20	62	64	n/a	25
2006	18	61	65	n/a	23
2007	21	61	64	n/a	21
2008	30	64	n/a	16	22
2009	18	59	n/a	20	22
2010	18	59	n/a	20	22
2011	14	57	n/a	19	19
2012	14	55	n/a	15	19
2013	13	57	n/a	15	19

(1) Beginning in 2008, employees previously reported in the community environment function have been reallocated and are now being reported within the public safety, transportation and home and community services functions.

Source: Annual City Budget

Home and Community Svs (1)	Total
n/a	170
n/a	171
n/a	167
n/a	167
34	166
44	163
44	163
39	148
41	144
42	146

City of Rye, New York

Operating Indicators by Program
Last Ten Fiscal Years

	2004	2005	2006	2007
General Government				
Primary elections	2	1	1	1
General elections	1	1	1	1
Special elections	1	1	1	1
Public Safety				
Number of police personnel and officers	45	45	44	44
Number of police calls for service	14,758	14,446	12,923	12,182
Number of parking violations issued	8,595	9,684	8,209	9,300
Number of paid firefighters	17	17	17	17
Number of volunteer firefighters	200	200	200	200
Number of fire alarms answered	803	882	1,068	1,150
Number of fire inspections performed	503	428	356	428
Number of school crossing guards***	8	8	8	8
Home and Community Services				
Tons collected and disposed				
Solid waste	7,153	6,891	7,010	7,419
Green waste	1,060	975	1,245	3,006
Metal	213	175	328	194
Pulp	1,906	1,878	1,867	1,864
Co-mingled	588	609	608	665
Leaves	450	N/A	1,470	N/A
Number of tires collected and disposed*	4	3	2	2
Number of shade trees				
Planted	41	31	42	55
Removed	51	93	164	235
Trimmed	183	420	160	379
Emergency calls	50	160	284	55
Stumps ground	88	26	162	139
Culture and Recreation				
Registrations for adult programs	1,219	1,058	1,086	1,170
Picnics**	6,497	5,429	5,859	5,833
Registrations for senior citizen programs	3,740	3,430	3,489	6,410
Registrations for special events	5,876	5,937	6,881	6,006
Tennis permits issued	529	437	453	425
Registrations for youth programs	3,652	3,771	3,817	4,747
Registrations for youth sports	4,197	4,275	4,838	5,012

Note: "N/A" indicates data not available.
Sources: Departmental annual reports to the City Manager.

*County stopped collecting used tires. City must now pay to dispose of them.

** Changed to number of picnic events beginning in 2012

*** Hired Outside Agency for Crossing Guards in 2013

2008	2009	2010	2011	2012	2013
1	1	1	1	1	1
1	1	1	1	1	1
-	-	-	-	-	-
44	42	42	40	39	40
14,004	12,841	16,252	14,845	13,829	10,957
12,739	11,752	11,829	11,930	10,539	8,498
17	17	17	17	17	17
225	120	120	112	170	150
855	821	957	951	1,134	888
442	471	478	485	115	119
8	8	10	9	8	-
5,836	5,645	5,806	5,935	5,598	5,391
3,199	3,554	2,863	4,326	5,685	6,697
155	134	111	97	76	86
1,934	1,714	1,739	1,673	1,627	1,558
770	800	794	787	734	722
N/A	N/A	N/A	N/A	N/A	N/A
4	1	1	1	-	-
115	-	5	7	8	27
239	268	95	219	134	162
468	404	253	329	265	147
86	44	75	126	289	95
154	135	66	135	125	99
1,402	1,307	1,130	1,092	1,428	1,300
4,103	2,516	3,922	N/A	75	77
7,445	6,668	6,729	6,511	5,144	5,276
5,051	4,848	4,795	4,509	4,065	4,215
412	444	406	416	507	550
5,486	5,270	3,674	2,998	1,810	2,124
4,727	4,853	5,109	4,842	4,231	4,239

City of Rye, New York

Capital Asset Statistics by Program
Last Ten Fiscal Years

	2004	2005	2006	2007
General Government				
Number of general government buildings	1	1	1	1
Public Safety				
Number of police stations	1	1	1	1
Number of fire stations	2	2	2	2
Number of traffic lights	23	23	23	23
Transportation				
Miles of streets	71	71	71	71
Number of street lights	2,105	2,105	2,105	2,105
Culture and Recreation				
Number of recreation centers	1	1	1	1
Number of parks	3	3	3	3
Acres of parks	75	75	75	75
Number of golf courses	1	1	1	1
Number of swimming pools	1	1	1	1
Number of nature centers	1	1	1	1
Number of cable television channels	2	2	2	2
Number of boat basin slips	412	412	412	412
Home and Community Services				
Miles of sanitary sewers	53	53	53	53
Number of pump stations	6	6	6	6
Miles of storm drains	30	30	30	30

Source: Various City Departments

2008	2009	2010	2011	2012	2013
1	1	1	1	1	1
1	1	1	1	1	1
2	2	2	2	2	2
23	23	23	23	23	23
71	71	71	71	71	71
2,105	2,105	2,105	2,105	2,105	2,105
1	1	1	1	1	1
3	3	3	3	3	3
75	75	75	75	75	75
1	1	1	1	1	1
1	1	1	1	1	1
1	1	1	1	1	1
2	2	2	2	2	2
412	412	412	412	412	412
53	53	53	53	53	53
6	6	6	6	6	6
30	30	30	30	30	30

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FEDERAL AWARDS

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O'CONNOR DAVIES

PKF

Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance With *Government Auditing Standards*

Independent Auditors' Report

The Honorable Mayor and City Council
of the City of Rye, New York

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the governmental activities, the business-type activities, each major fund and the aggregate remaining fund information of the City of Rye, New York ("City") as of and for the year ended December 31, 2013, and the related notes to the financial statements, which collectively comprise the City's basic financial statements, and have issued our report thereon dated June 2, 2014.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the City's internal control over financial reporting ("internal control") to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the City's internal control. Accordingly, we do not express an opinion on the effectiveness of the City's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the City's financial statements will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

O'CONNOR DAVIES, LLP
500 Mamaroneck Avenue, Suite 301, Harrison, NY 10528 | Tel: 914.381.8900 | Fax: 914.381.8910 | www.odpkf.com
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Compliance and Other Matters

As part of obtaining reasonable assurance about whether the City's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

We noted certain matters that we reported to the management of the City in a separate letter.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the City's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the City's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

O'Connor Davies, LLP

O'Connor Davies, LLP
Harrison, New York
June 2, 2014

O'CONNOR DAVIES

PKF

Report on Compliance For Each Major Federal Program and on Internal Control Over Compliance

Independent Auditors' Report

The Honorable Mayor and City Council
of the City of Rye, New York

Report on Compliance for Each Major Federal Program

We have audited the City of Rye, New York's ("City") compliance with the types of compliance requirements described in the *OMB Circular A-133 Compliance Supplement* that could have a direct and material effect on each of the City's major federal programs for the year ended December 31, 2013. The City's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its federal programs.

Auditors' Responsibility

Our responsibility is to express an opinion on compliance for each of the City's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the City's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the City's compliance.

O'CONNOR DAVIES, LLP
500 Mamaroneck Avenue, Suite 301, Harrison, NY 10528 | Tel: 914.381.8900 | Fax: 914.381.8910 | www.odpkf.com

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Opinion on Each Major Federal Program

In our opinion, the City complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended December 31, 2013.

Report on Internal Control Over Compliance

Management of the City is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the City's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the City's internal control over compliance.

A *deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A *material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.

O'Connor Davies, LLP

O'Connor Davies, LLP
Harrison, New York
June 2, 2014

City of Rye, New York

Schedule of Expenditures of Federal Awards
Year Ended December 31, 2013

Federal Grantor Program Title	Federal CFDA Number (1)	Federal Program Expenditures
U.S. Department of Transportation		
Indirect Program - Passed through New York State Department of Transportation		
Highway Planning and Construction	20.205	$ 1,283,549
U.S. Department of Homeland Security		
Indirect Program - Passed through New York State Division of Homeland Security and Emergency Services		
Disaster Grants - Public Assistance (Presidentially Declared)	97.036	1,258,648
		$ 2,542,197

(1) Catalog of Federal Domestic Assistance number.

The accompanying notes are an integral part of this schedule

Note 1 - Basis of Presentation

The accompanying schedule of expenditures of federal awards (the "Schedule") includes the federal grant activity of the City of Rye, New York ("City") under programs of the federal government for the year ended December 31, 2013. Federal awards received directly from the Federal agencies as well as Federal awards passed through other government agencies are included in the Schedule. The information in this Schedule is presented in accordance with the requirements of the Office of Management and Budget ("OMB") Circular A-133, *Audits of States, Local Governments and Non-Profit Organizations.* Because the Schedule presents only a selected portion of the operations of the City, it is not intended to and does not present the financial position, changes in net position or cash flows of the City.

Note 2 - Summary of Significant Accounting Policies

Expenditures reported on the Schedule are reported on the modified accrual basis of accounting. Such expenditures are recognized following the cost principles contained in OMB Circular A–87, *Cost Principles for State, Local and Indian Tribal Governments,* which establishes principles and standards for determining costs for Federal awards carried out through grants, cost reimbursement contracts, and other agreements with State and local governments.

None

City of Rye, New York

Schedule of Findings and Questioned Costs
Year Ended December 31, 2013

Section I - Summary of Auditors' Results

Financial Statements

Type of auditors' report issued	Unmodified	
Internal control over financial reporting:		
• Material weakness(es) identified	___ Yes	_X_ No
• Significant deficiency(ies) identified?	___ Yes	_X_ None reported
Noncompliance material to financial statements noted?	___ Yes	_X_ No

Federal Awards

Internal control over major programs:		
• Material weakness(es) identified?	___ Yes	_X_ No
• Significant deficiency(ies) identified?	___ Yes	_X_ None reported
Type of auditors' report issued on compliance for major programs	Unmodified	
Any audit findings disclosed that are required to be reported in accordance with Section 510(a) of Circular A-133?	___ Yes	_X_ No

Identification of major programs

CFDA Number(s)	Name of Federal Program or Cluster
20.205	Highway Planning and Construction

Dollar threshold used to distinguish between Type A and Type B programs	$300,000	
Auditee qualified as low-risk auditee?	____Yes	_X_No

Section II - Financial Statement Findings

None

Section III - Federal Award Findings and Questioned Costs

None

CITY COUNCIL AGENDA

NO. 8 DEPT.: Planning DATE: June 11, 2014

CONTACT: Christian K. Miller, AICP, City Planner

AGENDA ITEM: Continuation of the Public Hearing to change the zoning designation of County-owned property located on Theodore Fremd Avenue and North street to the RA-5 District to provide for the construction of affordable senior housing. *It is anticipated that the Public Hearing will be held over while documents received are reviewed.*

FOR THE MEETING OF:
June 11, 2014

RYE CITY CODE,
CHAPTER 197
SECTION 3

RECOMMENDATION: That the City Council continue the Public Hearing to amend the zoning designation of the County-owned property on Theodore Fremd Avenue.

IMPACT: ☒ Environmental ☐ Fiscal ☒ Neighborhood ☐ Other:

BACKGROUND: The petitioner, Lazz Development/Pawling Holdings, seeks an amendment to the City Zoning Map to change the zoning district designation of an approximately 2.0-acre property located on Theodore Fremd Avenue and North Street. The request would change the zoning of the Westchester County-owned property from the B-6, *General Business*, District and the B-1, *Neighborhood Business*, District to the RA-5, *Senior Citizen's Apartment*, District. The petitioner is seeking to construct fifty-four (54) units of age-restricted housing located in two buildings. The proposal would be limited to those over age 55 and consist of 44 one-bedroom units and 10 two-bedroom units. The proposed units would also be affordable and 27 of these units would count towards Rye's contribution to the 750 units of fair and affordable housing Westchester County is obligated to provide as part of a stipulation of settlement with the U.S. Department of Housing and Urban Development (HUD). It is noted that the proposed zoning change is the same district as adopted by the City Council in the mid-1980s to accommodate the nearly 100 units of affordable senior housing at 300 Theall Road. The matter was referred to the City Planning Commission and a recommendation memo was provided to the City Council. Westchester County has provided its advisory comments on the matter.

(continued)

Additional information has been provided by the petitioner; these documents are available on the City website* and include the following:

1 – Proposed Conceptual Site Plan
2 – Letter from Westchester County Department of Planning / Department of Health
3 – Aerial photos of site: 1925 through 2013
4 – Soil testing results: Ralph G. Mastromonaco, P.E., P.C.
5 – Soil test Technical Report: York Analytical Laboratories, Inc.
6 – Full Environmental Assessment Form
7 – Traffic Analysis and Commentary: Ralph G. Mastromonaco, P.E., P.C.
8 – City of Rye Police Department Incident Reports
9 – Team Environmental Consultants, Inc.: Phase 1 Environmental Site Assessment Report
Theodore Fremd Property Taxes
Documents obtained from Westchester County through a FOIL request

** Documents are available at www.ryeny.gov under Digital Documents in folder
"Theodore Fremd Senior Housing Zoning District Change"

Christian K. Miller, AICP
City Planner
1051 Boston Post Road
Rye, New York 10580

Tel: (914) 967-7167
Fax: (914) 967-7185
E-mail: cmiller@ryeny.gov
http://www.ryeny.gov

CITY OF RYE
Department of Planning

Memorandum

To: Scott Pickup, City Manager

From: Christian K. Miller, AICP, City Planner

cc: Kristen K. Wilson, Esq., Corporation Counsel

Date: March 7, 2014

Subject: **Additional Analysis Related to the Request of Lazz Development/Pawling Holdings to Change the Zoning Designation of County-Owned Property Located on Theodore Fremd Avenue and North Street to the RA-5, *Senior Citizens Apartment*, District to Provide for the Construction of Affordable Senior Housing.**

The Rye City Council as Lead Agency is responsible for the assessment and evaluation of potentially significant adverse impacts pursuant to the requirements of the State Environmental Quality Review (SEQR). During the public hearing there were questions and concerns raised by the public and City Council. To assist the City Council in assessing potential impacts it is recommended that the petitioner provide the following additional information and analysis:

- **Full Environmental Assessment Form**. The petitioner has provided a short Environmental Assessment Form (EAF) with its zoning petition, which is the minimum required by SEQR. Given the nature of the public comment it is recommended that a full EAF be submitted for the Council's review. This will provide a more complete environmental assessment of the proposed zoning change and future senior housing development proposal.

- **Sub-Surface Conditions**. Concerns remain with the status of the sub-surface environmental conditions on the site. It is recommended that the petitioner prepare a Phase II environmental study that includes current testing for potential sub-surface contaminants on the site. Recent clean-up activities in the area and adjacent to the site should also be addressed and their potential impact on the site. The status of the sub-surface environmental conditions is a threshold

question for the City Council as it considers a change in zoning to allow for senior housing on a property that is currently restricted to light-industrial, commercial and other non-residential uses. The City has allowed the redevelopment of properties with prior sub-surface contamination for housing including many former gas station properties. It is anticipated that such redevelopment could be allowed in this case, provided that petitioner gives the Council current and complete information and clearance from the appropriate State and County agencies as to the specific redevelopment proposed by the petitioner.

- **Fiscal Impact Analysis**. Currently, since the County-owned property generates no property tax revenue, but also requires few municipal services. The petitioner should provide a fiscal impact analysis quantifying the anticipated total tax revenue (based on the total rent revenue of the project) and the anticipated municipal service demands. Using the existing senior housing development at 300 Theall Road will provide good comparables for potential service demands. The analysis should also try to quantify anticipated cost/revenue if the site were developed based on the uses permitted by existing zoning.

- **Traffic**. The petitioner should prepare a traffic study quantifying the anticipated trip generation of the full development of the site under the proposed RA-5 District standards and the impact on level of service at area intersections. This analysis should be compared to the anticipated traffic impact associated with development permitted by existing zoning on the property.

Upon receipt of this information the City Council will be in a better position to assess potential impacts and determine the appropriateness of the petitioner's request and whether additional mitigation measures may be necessary.

Nick Everett, Chairman
Martha Monserrate, Vice Chair
Laura Brett
Barbara Cummings
Hugh Greechan
Peter Olsen
Alfred Vitiello

Planning Department
1051 Boston Post Road
Rye, New York 10580
Tel: (914) 967-7167
Fax: (914) 967-7185
www ryeny.gov

CITY OF RYE
Planning Commission

Memorandum

To: Rye City Council

From: Rye City Planning Commission
Christian K. Miller, City Planner

cc: Scott Pickup, City Manager
Kristen K. Wilson, Esq., Corporation Counsel

Date: February 5, 2014

Subject: **Recommendation to the Rye City Council Regarding the Petition of Lazz Development/Pawling Holdings to Change the Zoning Designation of County-Owned Property Located on Theodore Fremd Avenue and North Street to the RA-5, *Senior Citizens Apartment*, District to Provide for the Construction of Affordable Senior Housing.**

As requested, this memorandum provides the Planning Commission's recommendation to the Rye City Council regarding the petition of Lazz Development/Pawling Holdings to change the zoning designation of Westchester County-owned property located on Theodore Fremd Avenue and North Street to the RA-5, *Senior Citizens Apartment*, District to provide for the construction of affordable senior housing. This memorandum was prepared by the City Planner and reviewed and unanimously approved by the Planning Commission at its February 4, 2014 meeting.

Background

On or about December 10, 2013, the City Council received a petition from Lazz Development/Pawling Holdings to change the zoning of a property located at 150 North Street. The approximately 2.080-acre property has frontage on North Street, but is commonly referred to by its accessible frontage on Theodore Fremd Avenue rather than its legal address of 150 North Street. The request would change the zoning of the Westchester County-owned property from the B-6, *General Business*, District and the B-1, *Neighborhood Business*, District to the RA-5, *Senior Citizen's Apartment*, District (see Exhibit 1).

The existing zoning districts applicable to the property do not permit multi-family housing. The proposed zoning change to the RA-5 District would permit (and limit) future construction on the property to affordable senior housing. The petitioner has represented that if the zoning change is granted, he would seek subsequent approvals from the Rye City Planning Commission to construct approximately fifty-four (54) units of affordable age-restricted housing located in two buildings. The proposal would be limited to those over age 55 and consist of approximately 44 one-bedroom units and 10 two-bedroom units.

The proposed RA-5 District for the property is the same district adopted by the City Council in the mid-1980s to accommodate the nearly 100 units of affordable senior housing on an approximately 2-acre site at 300 Theall Road, also known as Rye Manor. The proposed units would be affordable and a minimum of 27 of the units would count towards the 750 units of fair and affordable housing that Westchester County is obligated to provide within 31 eligible municipalities as part of a stipulation of settlement with the U.S. Department of Housing and Urban Development (HUD). Rye has been identified in the housing settlement as one of the 31 eligible Westchester County communities.

The subject property has long been considered for affordable housing by the City of Rye. In the early 1990s a local not-for-profit in partnership with the City of Rye sought to change the zoning of the property to construct 12 two-family units (i.e. 24 total units). That proposal and the required zoning change were never advanced due to the identification of sub-surface contamination on the property in 1993. Since that time the property has been subject to an environmental clean-up, but the City continued to periodically advocate for its use as an affordable housing site (see Exhibit 2).

Unlike the affordable housing proposal twenty years ago the City of Rye is not a partner in the construction, property ownership or administration of the affordable housing units. Westchester County is the property owner and the petitioner is the County's preferred developer for the property. The City of Rye's role is typical of any other land use application, which is to review and consider the land use policy implications of the request.

Westchester County's interest is to advance its obligation under the housing settlement. The property in Rye is unique because there are few (if any) undeveloped County-owned properties within one of the 31 eligible housing settlement communities. It's also unique because the City has a 20-year history of advocating for the development of affordable housing. Rye's historic advocacy for affordable housing does not constitute a commitment or obligation to approve the petitioner's request, but is relevant in terms of the planning context and the City's affordable housing policy.

The petitioner's interest is to develop affordable housing. The petitioner has constructed a number of affordable housing communities in the Sound Shore area,

including 27 units in two separate projects on Cottage Street in Rye. Both of those projects required the City's Council's legislative authority to either amend the City Zoning Code or de-map an unused road right-of-way. In an August 9, 2013 letter the Petitioner received authorization from Westchester County "to seek all necessary approvals from the City of Rye…" (see Exhibit 3). This letter was provided to the City and forwarded to the City Council on August 16, 2013. This letter was expected based on a meeting City Council members and staff attended at Westchester County in June 2013. A summary of that meeting was provided to the City Council (see Exhibit 4).

The City's interest is to potentially advance identified affordable housing needs in the area consistent with its land use planning and other policies. The County has only a limited allocation of housing that it can designate as age-restricted towards the 750-unit obligation under the settlement. If that age-restricted allocation is lost to another community, there will continue to be pressure to develop the County-owned property in Rye for affordable housing without the age restriction. Age-restricted housing eliminates the potential for the generation of school-age children and the potential for a land use outcome in which potential municipal and school district service costs from the proposed development exceed anticipated property tax revenue.

Zoning Petition Review Process

Any change to the City Zoning Code or Map is a discretionary action of the City Council. As is typical in most communities, legislative actions involving land use matters are referred to the City Planning Commission for its review and comment. The specific action under consideration is a local law to amend the City Zoning Map to change the zoning district designation of the subject property to the RA-5 District. The minimum legal requirements to implement the local law are as follows:

1. *Local Law and Petition Referral*. The draft local law and petition must be referred to the Westchester County Planning Board pursuant to Section 239-m of the GML and Section 451 of the Westchester County Administrative Code. This information was forwarded to the County on December 24, 2013. The City Council cannot take an action on the petition until it receives a response from the County or until 30 calendar days has passed from the date of such referral. That response was provided on January 30, 2014 (see Exhibit 5).

2. *Public Hearing.* As with any law change a public hearing is required and notification of such hearing must be published in the City's official newspaper. Unlike New York State Town or Village Law, Section 83 of the General City Law does not require any additional notification (e.g. signage on the property, mailing of hearing notice, etc.) to property owners affected by or within the vicinity of the proposed zoning change.

3. *SEQR.* Before making a decision on the local law, the City Council must comply with the requirements of State Environmental Quality Review (SEQR) and conduct an environmental assessment of the proposed action. The City Council has already taken the first step in this process by declaring at its December 18, 2013 meeting its intent to be Lead Agency for the environmental review. On December 24, 2013, staff circulated the Council's intent to be Lead Agency to other involved agencies. There has been no objection to the City Council being Lead Agency within the minimum required 30-day objection period. The City Council is therefore the Lead Agency at this time. As Lead Agency, the City Council must review the environmental assessment form (EAF) submitted by the applicant and conduct its own assessment of potentially adverse environmental impacts. If the Council finds that the proposed action does not have any significant adverse environmental impacts and issues a "Negative Declaration" a decision on the local law can be made. If the Council finds that there are potentially significant adverse impacts associated with the proposed action a "Positive Declaration" must be issued requiring a more involved environmental review. This review involves a number of procedural requirements and typically takes a least a year to complete.

4. *Decision.* After conducting and closing the public hearing and completing the SEQR process the City Council can make a decision. A simple majority vote is required for the adoption of the local law. A super majority vote of the Council (i.e. a minimum of three-fours of the members) is required if twenty percent or more of property owners subject to the zoning change or within 100 feet therefrom submit a written protest to the request. Based on a preliminary review it appears that a written objection by just three property owners within 100 feet of the site would trigger a super majority vote (or 6 of the 7 City Council members) to approve the zoning request.

Westchester County HUD Settlement and Its Implications for Rye

In 2009 Westchester County entered into an agreement with the U.S. Department of Housing and Urban Development (HUD) to settle a lawsuit. The civil lawsuit was initiated by the Anti-Discrimination Center of Metro New York, Inc. The lawsuit alleged that the County failed to affirmatively further fair housing (AFFH) in its administration of federal funds including the Community Development Block Grant (CDBG) program and other federal programs. Specially, the lawsuit alleged that the County did not conduct a meaningful Analysis of Impediments (AI) to fair housing choice and did not take appropriate actions to overcome the effects of any impediments identified through that analysis. The County's failure to comply with that obligation as a recipient of federal funds was alleged to be a violation of the False Claims Act.

There are many requirements of the stipulation of settlement. One requirement is that the County fund 750 affordable housing units within five years within eligible U.S Census Tracts of 31 municipalities in Westchester County. Eligible census tracts were identified as those having low percentages of minority populations. To date, the County has funded the construction of 27 affordable housing units in the City of Rye that count towards the 750-unit requirement. The City is not bound by the terms of the Settlement and is not required to approve any fair and affordable housing units, but has advanced affordable housing proposals when they were consistent with the land use, planning and housing objectives of the City.

A second significant requirement of the settlement is that the County is responsible for promoting and advancing a model affordable housing ordinance in each of the 31 eligible municipalities. The model ordinance, which was approved by the Monitor in October 2010, includes provisions to promote affordable housing including inclusionary zoning requirements, recommendations to increase multi-family housing zoning and other provisions. Westchester County is aggressively promoting the model ordinance, but no community is required to adopt it. In fact, most communities have not adopted it in full and many communities (including Rye) continue to review the model ordinance for its appropriateness given the existing land use planning and legal context.

A final significant requirement of the settlement relevant to Rye is that the City cannot receive CDBG and other federal funds administered by the County unless it advances fair and affordable housing. The City currently receives no such funding and therefore has no obligation.

The County and the monitor retained by HUD to oversee the implementation of the settlement have identified the County-owned property at 150 North Street as an opportunity to provide additional affordable housing in Rye. There have been conversations with the County and the City over the years both before and after the Settlement to discuss the potential for affordable housing at this location, but there has been no commitment by either party as to a specific development program. It has always been understood that any final action would require City Council approval because the property is not currently zoned for multi-family use.

In March 2013, Rye along with the other the 31 eligible communities identified in the Settlement were surprised to receive a “report card” directly from the Federal Monitor. Westchester County was not aware that report cards were being sent to communities, none of which are not party to the Settlement. The report card included an assessment of each community’s existing zoning code.

In many, if not all, cases the report cards were critical of the lack of multi-family zoning in each community and repeatedly stated that more land use changes would be needed to accommodate affordable housing needs. The need was not for the implementation of the 750 units under the Stipulation, but rather the need identified in the 2005 Affordable

Housing Allocation Plan prepared by the Westchester County Housing Opportunities Commission. This allocation plan was not adopted by the Westchester County Board of Legislators and is considered an advisory document. That document recommends the need for over 10,000 affordable housing units in Westchester County, which is significantly higher than the 750 units under the stipulation.

In the case of Rye the unadopted report allocates 167 affordable housing units in the City. The monitor's report card uses that number as the basis for his analysis of affordable housing deficiency. He notes that of the 167 units the City has already provided 27 under the Settlement leaving 140 affordable units of "required" allocation for the City. Accommodating this number of units in the City, particularly under the preferred 90/10 inclusionary development scenario recommended by the monitor will require very aggressive land use changes by the City Council.

As the City Council considers the petitioner's request it should be mindful of these non-binding affordable housing allocations. Development of additional affordable housing at this location could significantly advance the City's contribution to meeting affordable housing needs both under the settlement and the advisory housing allocation plan. At this time Westchester County has stated that a minimum of 27 of the proposed affordable housing units at the petitioner's site could be "counted" towards the housing settlement. Providing affordable housing units may help address some of the criticism of the City's land use and affordable housing policies.

Planning Analysis

The City Planning Commission supports the zoning petition and finds that the proposed use is consistent with the City's historic and future planning policies and housing objectives. In reaching this finding the Planning Commission considered the full development potential of the property under existing, planned and proposed zoning, the precedent established by the application of the RA-5 District and the compatibility of the requested change with surrounding land uses.

The petitioner has proposed a specific use and site plan for the property. As with all zone changes, however, the proper planning analysis requires an assessment not of the petitioner's specific proposal, but rather of the full development potential of the site after the zoning request is granted. Plans can and likely will change.

The petitioner's site plan accompanying his request proposes two four-story buildings, where the lowest story is unenclosed parking. The plan submitted shows approximately 75,600 square feet of total development, 90 parking spaces for an estimated 54 units and compliant with all other bulk and dimensional restrictions of the RA-5 District. This plan represents about 83% of the maximum development potential permitted under the proposed zoning. The proposed FAR of 1.0 is slightly higher than the 0.75 FAR permitted in the B-6 District located on the rear portion of the site and the 0.50 FAR

permitted in the B-1 District located on the front of the site. The RA-5 District allows four stories within a maximum building height of 40 feet. The B-6 District allows just two stories, but the same building height of 40 feet. The B-1 District limits maximum building height to 2½ stories and 35 feet. The front yard setback for the proposed RA-5 District is 25 feet, which is greater than the 10-foot requirement for the B-1 and B-6 District. Side yard setback dimensions are also greater for the RA-5 District than the existing districts applicable to the site and the rear yard requirement is generally the same.

The RA-5 District is limited to just one use, which reads as follows:

> *Apartments for Senior Citizens and Handicapped. A detached residence for three or more families or housekeeping units or a group of buildings housing three or more families on one lot, undertaken by private nonprofit sponsors with public financial assistance, subject to the requirements of § 197-7.*

In the event the conditions were to change after the zoning were established for the property the future use would continue to be limited to senior multi-family housing including an element of "public financial assistance" (i.e. affordable housing). On the other hand, the existing B-6 District allows a boarder range of uses including automotive uses, storage establishments, public transportation and utilities, service/contractor businesses, bus storage and repair, kennels and veterinary hospitals and limited manufacturing. The B-1 District allows offices, retail and personal service businesses, garages, apartments over stores, lodging houses, service/contractor businesses and social clubs and lodges.

The City Development Plan (1986) does not cite a specific written recommendation for the property or area, but generally encourages creating additional affordable housing opportunities in the City (see Plan, Chapter 1, *Residential Development*). The future land use plan designates this area for office (see Plan, p. 8-9). Since that time only the property at 350 Theodore Fremd Avenue has been developed as an office building under the B-1 District designation. Since the early 1990s the plan for the subject property has been for the development of the site for affordable housing. The Planning Commission believes that office as recommended in the Development Plan is not an economically viable use as evidenced by the long-standing high vacancy rate of office in the City and County and that a change in use is required. In the last few years the City has seen the conversion of a large office building to medical office and a request to amend the B-4 Office Building District to allow a hotel at 120 Old Post Road.

Residential at this location would be more compatible with the residential properties located opposite the site on Theodore Fremd Avenue than many of the uses permitted under the existing B-6 and B-1 District. The site is in close proximity to other non-residential uses including gas stations, a contractor's yard for a landscape business, the ConEdison property and the Metro-North Railroad and Interstate 95. The Commission

notes other multi-family communities (both affordable and market-rate) and other residential neighborhoods located adjacent to transportation corridors that bisect the City.

The use of an existing zoning district classification in the City also is in keeping with the City's land use planning objectives. The RA-5 District specifically provides for the affordable housing needs for seniors. Expanding that district to other appropriate locations in the City is considered a desirable planning objective.

SEQRA Considerations

The Planning Commission has reviewed the environmental assessment form submitted with the zoning petition. As Lead Agency the City Council should consider the following potential impacts and mitigation measures associated with the project prior to making a determination of environmental significance.

- *Sub-surface Conditions*. As the City Council considers the petitioner's request it is recommended that it secure written confirmation from Westchester County Health Department regarding the status of the sub-surface contamination on the site and the status of the environmental clean-up. The Planning Commission understands based on the petitioner's representations that the County Health Department will require that future development at the site require elevating the first habitable story above grade. The Health Department should conduct a review of the proposed plan including all proposed surface and sub-surface improvements such as utilities, stormwater drainage measures and sewer connections.

- *Sanitary Sewer Service*. There is an existing sanitary sewer line that extends from Nursery Lane under I-95 and MNRR tracks through the site to an existing connection in Theodore Fremd Avenue. The existing line is compromised and is difficult to service and maintain due to the high volume, high speed vehicular and rail traffic on a major regional transportation corridor. The City does not want to continue to maintain this existing sewer line through the site and accommodate the additional sewage flow from the petitioner's development. The Commission recommends that the existing public sewer line be abandoned and that the future development on the property be required to provide a new sewer connection from Nursery Lane to an existing sewer connection in North Street. This project has been identified in the City's Capital Improvement Program (CIP) for some time at a preliminary project cost of $150,000. This is a substantial off-site improvement and may challenge the fiscal feasibility of the project depending on the availability of funding to the petitioner. The sewer modification and extension may also require securing easements from Nursery Lane property owners and Westchester County approval of the sewer design.

- *Drainage/Wetland Impacts*. On its site inspection of the property, the Planning Commission noted a drainage pipe that extends from Theodore Fremd Avenue and discharges stormwater runoff from this roadway onto the site. It appears that this runoff has created what may be considered a wetland under the City's Wetlands and Watercourses Law[1]. The proposed development appears that it will result in the wetland loss of a relatively low-functioning wetland and require a drainage plan to replace the stormwater quantity and quality functions of this on-site wetland. If the area is considered a wetland a wetland permit from the Planning Commission will be required as part of a future site plan review process.

- *Municipal Services*. The existing property is County-owned and therefore generates no property tax revenue. The proposed zoning change to allow senior development will generate tax revenue based on the income approach (as opposed to the value of construction approach used for single-family residences). The income approach would be based on the total value of the below market rents after project completion. Since the project is age-restricted there will be no school-age children costs. There would be City expenditures for some municipal services including for sanitation, emergency medical, police, fire and recreation services.

- *Community Character and Aesthetics*. The proposed RA-5 District with a floor area ratio (FAR) of 1.0 would result in development at a greater intensity than the existing B-6 (FAR 0.75) District and B-1 (FAR 0.5) District currently on the property. Existing zoning permits buildings at or close to the same overall 40-foot building height as the proposed RA-5 District. Existing zoning is limited to commercial/general business, which is consistent with existing commercial and transportation uses abutting the site, but potentially inconsistent with the single-family residential character across the street. Overall, the bulk and scale of development under the proposed RA-5 District would likely be greater than development under existing zoning for the site, but not necessarily inconsistent with the character of the surrounding area. Reducing the scale of the building is complicated by the restriction that there can be no units located on the ground level due to the sub-surface contamination on the site. The lowest floor will be used for parking, which counts as a story under the City's Zoning Code but not towards the maximum permitted floor area since the parking is not enclosed.

- *Traffic*. The proposed RA-5 District would generate additional traffic associated with a future senior housing project. The relatively low anticipated trip generation would not adversely impact the relatively high intersection levels of service (LOS). The ITE Trip Generation Manual (ninth edition) provides trip generation

[1] Question 13 of the petitioner's EAF indicates that there are no wetlands on the property. This petitioner should provide additional information supporting this conclusion.

rates for two different types of senior housing units. The following was calculated by Brian Dempsey (Traffic and Pedestrian Safety Chair and NYS licensed traffic engineer) assuming a 60-unit senior housing development:

Senior Adult Housing Detached: Land Use 251

- Peak AM Hour of Adjacent Street: ranges from 5 in and 8 out to 14 in and 26 out
- Peak PM Hour of Adjacent Street: ranges from 10 in and 6 out to 19 in and 12 out
- Peak AM Hour of Generator: ranges from 7 in and 10 out to 15 in and 20 out
- Peak PM Hour of Generator: ranges from 11 in and 9 out to 31 in and 24 out
- Saturday Peak Hour of Generator: 7 in and 7 out (limited studies)

Senior Adult Housing Attached: Land Use 252

- Peak AM Hour of Adjacent Street: ranges from 4 in and 8 out to 4 in and 8 out
- Peak PM Hour of Adjacent Street: ranges from 8 in and 7 out to 9 in and 7 out
- Peak AM Hour of Generator: ranges from 11 in and 12 out to 11 in and 13 out
- Peak PM Hour of Generator: ranges from 10 in and 9 out to 12 in and 9 out
- Saturday Peak Hour of Generator: 11 in and 8 out (limited studies)

A recent traffic study conducted in connection with the sustainable Playland proposal shows that the Theodore Fremd Avenue/North Street intersection operates at the highest levels of service (i.e. “A” or “B”). This level of service is maintained in a 2016 future “build” scenario in the event the sustainable Playland project moves forward. It is also noted that the property is located along an existing bus route, which could potentially reduce trip generation. Given the relatively low trip generation rates associated with senior housing and existing intersection level of service adverse traffic impacts are not anticipated with the proposed change to the RA-5 District.

- *Reduction in Impacts*. As with any project potential impacts can be reduced or minimized by either the implementation of mitigation measures or the reduction in project scope. In considering impacts, the City Council should be mindful of the fact that the proposed RA-5 District requires that future development be affordable senior housing so project and off-site improvement costs and density are a significant consideration to make such projects economically viable, particularly given the incomes proposed to be served. The RA-5 District provides for a reasonable future development intensity that can create the opportunity to advance the City’s affordable housing objectives.

City of Rye, New York

Zoning Districts - Theodore Fremd Area

At a regular meeting of the City Council held March 30, 2005, Councilwoman Larr made a motion, seconded by Mayor Otis and Councilman Chu, to adopt the following resolution:

WHEREAS, The Rye Commission on Human Rights commissioned a survey in 2003 to ascertain existing and projected affordable housing needs for residents and those who serve the community; and

WHEREAS, the survey was conducted during the summer of 2004 and the results presented to the Council at it's regular meeting held March 9, 2005; and

WHEREAS, the Chairman of the Rye Commission on Human Rights made a request to the Council that it take action on several specific recommendations; now, therefore, be it

RESOLVED, that the Council strongly and unequivocally restates its interest in using the Theodore Fremd and North Street site, currently owned by the County, but in a state of contamination, to create 24 affordable housing units; and be it further

RESOLVED, that the Council urges the County to develop a complete and quick remediation plan for the Theodore Fremd and North Street site, and be it further

RESOLVED, that the City Manager urge the State Department of Environmental Conservation (DEC) to move meaningfully and expediently to develop a plan to decontaminate the Theodore Fremd and North Street site, and be it further

RESOLVED, that the Planning Commission specifically address the need for affordable housing among City employees, volunteer fire fighters and public and private school employees, as made clear from the response to the survey.

ROLL CALL:
AYES: Mayor Otis, Councilmen Chu, Cypher, Fahey, Larr and Seitz
NAYS: None
ABSENT: Councilman Hennes

STATE OF NEW YORK)
COUNTY OF WESTCHESTER)
CITY OF RYE)

THIS IS TO CERTIFY that I have compared the foregoing resolution with the original thereof, duly passed and adopted at a regular meeting of the Council of the City of Rye held on the 30th of March, 2005 by the affirmative vote of at least a majority of all members of said Council then in office, present and voting thereon, the vote upon passage thereof having been taken by recording the ayes and nays and duly entered in the minutes of said meeting of said Council and on file in this office, and **I DO HEREBY CERTIFY** said resolution to be a correct transcript thereof and of the whole of such original.

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and affixed the official seal of the City of Rye this 16th day of January 2016.

Dawn F. Nodarse

SEAL

DAWN F. NODARSE
CITY CLERK

Westchester gov.com

Robert P. Astorino
County Executive

August 9, 2013

Mr. Louis Larizza
211 South Ridge Street
Rye Brook, New York 10573

Subject: **Proposed Theodore Fremd Senior Housing Development**
150 North Street, Rye New York

Dear Mr. Larizza:

Please allow this correspondence to serve as formal authorization from the County of Westchester (the "County") for you to seek all necessary approvals from the City of Rye, New York (the "City") for the development of approximately twenty-five (25) to fifty (50) affordable affirmatively furthering fair housing units (the "AFFH Units") on the County-owned property located at 150 North Street in Rye, New York (the "Proposed Development").

At this time, it is anticipated that the AFFH Units will be available for seniors earning at or below 50% and 60% of the Westchester County Area Median Income for fifty (50) years. These AFFH Units are expected to further the County's efforts to create new fair and affordable housing units under the 2009 lawsuit titled *United States of America ex rel. Anti-Discrimination Center of Metro New York, Inc. v. Westchester County, New York.*

Please note, that upon receipt of all approvals from the City, the Development and any related County funding shall be subject to all necessary County approvals, including but not limited to, approvals from the County Board of Legislators, and from the County's Board of Acquisition and Contract. Further be advised that the County makes no commitment for funding at this time, and all costs incurred in connection with the Proposed Development and any required local approvals shall be your sole responsibility, whether or not said approvals are ultimately granted by the City, whether or not the County grants or denies any necessary or related approvals, or if the County, in its sole discretion, determines not to proceed with or fund the Proposed Development. This letter shall not bind the County in any respect.

Please also be aware that, although formal plans have not yet been reviewed by the County that the Proposed Development must be consistent with Westchester County affordable housing policies and guiding principles:

REDUCE REUSE RECYCLE

Michaelian Office Building
148 Martine Avenue
White Plains, New York 10601 Telephone: (914) 995-2900 Website: www.westchestergov.com

- It will be compliant with the Westchester County Consolidated Plan submitted to HUD for the Westchester Urban County Consortium, of which the City of Rye is a member.

- It will be consistent with and reinforce *Westchester 2025 – Policies to Guide County Planning*, the County Planning Board's adopted long-range land use and development policies, by contributing to the development of "a range of housing types" affordable to all income levels and by channeling development to centers where infrastructure can support growth and where public transportation can be provided.

- As noted above, it will consistent with the housing settlement reached in the case of *United States of America ex rel. Anti-Discrimination Center of Metro New York, Inc. v. Westchester County, New York* and will contribute toward the County's requirement to ensure the development of seven hundred fifty (750) new affordable affirmatively furthering fair housing units in communities that meet certain demographic criteria.

We are available to discuss any questions you may have regarding this authorization and look forward to reviewing your proposal.

Sincerely,

Kevin J. Plunkett
Deputy County Executive

cc: Mary Mahon, Esq., Special Assistant to the County Executive
Robert F. Meehan, Esq., County Attorney
Edward Buroughs, AICP, Commissioner of Planning

Christian K. Miller, AICP
City Planner
1051 Boston Post Road
Rye, New York 10580

Tel: (914) 967-7167
Fax: (914) 967-7185
E-mail: cmiller@ryeny.gov
http://www ryeny.gov

CITY OF RYE
Department of Planning

CONFIDENTIAL – Memorandum

To: Scott Pickup, City Manager

From: Christian K. Miller, AICP, City Planner

cc: Kristen K. Wilson, Esq., Corporation Counsel

Date: June 14, 2013

Subject: **Summary of Meeting with Westchester County to discuss the Potential Development of Affordable Housing at County-owned Property located on Theodore Fremd Avenue near the Intersection of North Street.**

As requested, this memorandum provides a summary of our meeting today with Westchester County officials regarding the potential development of affordable housing at the approximately 2.07-acre County-owned property located on Theodore Fremd Avenue near the intersection of North Street. The meeting was requested by Westchester County and was held at the County Executive's Office. For approximately 20 years the City has advocated for the development of affordable housing at this location and has periodically had meetings with the County to discuss development possibilities.

Today's meeting was attended by the Mayor, Laura Brett, you and I as representatives from the City. From the County were representatives from the County Executive's office (Kevin Plunkett and Mary Mahon), Planning Department (Commissioner Ed Burroughs and Norma Drummond) and a representative from the County Attorneys office. Also in attendance was Lou Larriza who may be the County's preferred developer for the potential development of the site.

Summary

- *Sub-surface Environmental Conditions*. NYSDEC continues to monitor the site for the status of the environmental contaminants on the site. The last test was conducted in 2011 showed elevated levels from previous tests, but that additional tests are at the discretion of NYSDEC. The City requested that additional tests

be conducted and that it preferred that the site be clean before development occurs. Ms. Drummond stated that the County Health Department is not concerned with potential future housing development on the property provided that there is no enclosed habitable space below grade or on the first floor. The City was advised that there is currently no on-going remediation on the property.

- *Development and Land Use Review Process.* The County stated that it would select a preferred developer for the development of housing on the property. The County stated that the City would not need to be in the chain of title for the property and would not need to select a developer or eligible not-for-profit to develop the property. The City would act as it does for all land use applications, including former affordable housing applications on Cottage Street, by requiring approvals from all relevant City land use boards. As with the applications on Cottage Street, the City noted that the property is not currently zoned for the proposed development and that changes in the zoning code or variances would be required. The County understands that the City has local land use authority.

- *Development Scenario.* Mr. Larriza discussed his development concept for the site. He stated that he is seeking 48 units of senior (i.e. age 55 and over) housing on the property. The number of units is dictated by the desire to use tax credit financing for the property, which limits household income to 50% and 60% of Area Median Income (AMI). He stated that the unit mix would be one- and two-bedroom units. The project would total approximately 50,000 square feet within two 4- or 5-story buildings on the rear half of the 2.07-acre property. Parking would be located at grade level under the building to comply with the Health Department requirement that there be no habitable space below grade or on the first floor.

  The County stated that County infrastructure bond money would also be used to assist with the project funding. The County confirmed that the proposed senior tax credit units would count towards the 750-unit obligation under the Housing Settlement. The County stated that only 187 out of the 750 units can be senior and that Rye would be using the last of that limited allocation.

- *Next Steps.* The County will complete its process to select a preferred developer and the City can expect an application for affordable housing development potentially in the fall. At that point, or sooner if it desires, the City will need to under take a zoning analysis and determine what, if any, land use modifications it would like to implement to accommodate affordable development on this or potentially other properties in the City.

Westchestergov.com

Robert P. Astorino
County Executive

County Planning Board

Referral Review
Pursuant to Section 239 L, M and N of the General Municipal Law and Section 277.61 of the County Administrative Code

January 30, 2014

Christian K. Miller, City Planner
Rye City Planning Department
1051 Boston Post Road
Rye, NY 10580

Subject: **Referral File No. RYC 14 - 001 – The Courtyard at Theodore Fremd**
Zoning Map Amendment and Site Plan
Lead Agency

Dear Mr. Miller:

The Westchester County Planning Board has received a notice of intent to serve as Lead Agency pursuant to the NYS Environmental Quality Review Act (SEQR), as well as a one-sheet preliminary site plan (dated December 9, 2013) and related materials for the above referenced application. The applicants are petitioning the City to rezone a 2.08-acre site, currently owned by Westchester County with frontage on Theodore Fremd Avenue (County Road 54) and North Street (County Road 73), from B-6 General Business and B-1 Neighborhood Business to RA-5 Senior Citizens Apartment District. The zone change would permit the development of up to 58 units of housing on the site. If successfully rezoned, the applicant intends to apply for a site plan approval to construct a 54-unit apartment building with 95 parking spaces. All of the proposed units would be age-restricted to seniors over the age of 55 and would be affordable affirmatively furthering fair housing (AFFH) units. The unit mix would consist of 44 one-bedroom units and 10 two-bedroom units.

We have no objection to the Rye City Council assuming Lead Agency status for this review. Since this proposal involves the disposition of County-owned land as well as potential funding administered by Westchester County, we recommend that the County Board of Legislators be included as an Involved Agency with respect to SEQR.

Because we have not received full site plans, we will reserve full comment on this matter under the provisions of Section 239 L, M and N of the General Municipal Law and Section 277.61 of the County Administrative Code for a later date. At this time we offer the following preliminary comment:

Fair and affordable housing – development of affordable AFFH units. We support the concept of this proposal as it will add to the supply of affordable AFFH units in Westchester County. We look forward to working with both the City and the applicant as this application moves forward. We recommend that the applicant consider adding an apartment within the proposed building for a building superintendant, which is typical for a development of this size.

432 Michaelian Office Building
148 Martine Avenue
White Plains, New York 10601 Telephone: (914) 995-4400 Fax: (914) 995-9098 Website: westchestergov.com

Thank you for calling this matter to our attention.

Respectfully,
WESTCHESTER COUNTY PLANNING BOARD

FOR: By:

Edward Buroughs, AICP
Commissioner

EEB/LH

622 STILES AVENUE MAMARONECK, NEW YORK 10543 TEL 914-698-8207 FAX 914-698-8208
chnarch@yahoo.com

Clark Neuringer Architect

CONNECTICUT
DELAWARE
FLORIDA
MARYLAND
NEW YORK

December 10, 2013

The Honorable Mayor Douglas French
Members of the City Council
Rye City Hall
1051 Boston Post Road
Rye, New York 10580

Re: Proposed Zoning Amendment;
The Courtyard at Theodore Fremd

Dear Mayor French and Members of the City Council,

On behalf of our client, Lazz Development / Pawling Holdings, we are pleased to submit this request for an amendment to the Zoning Code of the City of Rye with respect to a proposed fifty four (54) unit rental development located at the corner of Theodore Fremd Avenue and North Street, adjacent to the existing Con Edison operations and equipment facility. The proposed development will advance a long-term planning objective of the City to provide affordable housing on this Westchester County-owned property. The proposed development would consist of 44 one-bedroom units and 10 two-bedroom units all of which will be restricted to those over age 55.

The approximately 2.1-acre property is currently within both the B-6 General Business District and B-1 Neighborhood Business District. Our proposal is to re-zone the entire property to the RA-5 Senior Citizen's Apartment District, which is the same district adopted by the City Council in the 1980s to provide for the construction of the approximately 100 units of senior affordable housing at 300 Theall Road. Current uses permitted within the B-6 District are limited to light manufacturing; garages, parking lots, and filling stations; small boat facilities; and kennels and veterinary hospitals. The portion of the property located in the B-1 District allows for business, professional office, retail, single-family dwellings and two-family residences.

Multi-family is not currently permitted in either district. We respectfully request that the City Council amend the Zoning Map to change the zoning district classification of this property to the RA-5 Senior Citizens Apartment District.

Our proposed senior citizen residential development meets or exceeds the requirements of the RA-5 District and would be a beneficial addition to the City in general and to the particular neighborhood. Our proposal represents a lower intensity of use of the property compared to what could be developed under the current limited zoning. Even under the RA-5 District requirements, our proposed residential development has several distinct positive attributes as follows:

Area of the lot is more than double the size of minimum required.
Total amount of buildable floor area proposed to be constructed is 17% less than permitted.
Total amount of parking proposed is almost seven times more than minimum required.
Total amount of open space proposed is more than double amount required.

As a result, the requested amendment would allow a residential development that would act as a transition between existing residential uses to the south and commercial uses otherwise surrounding the property. The design of our proposed site development results in a separation between the closest existing neighborhood residential building and one of our apartment buildings of a distance in excess of approximately 250 feet. As such, there would be no adverse impact on any of the existing residential areas to the south of the site. Compared to other uses that would be permitted on the site, we believe the proposed residential community would be a more attractive and beneficial use to the neighborhood.

We look forward to further reviews and discussions with you regarding the requested zoning amendment.

Sincerely,

Clark Neuringer, R.A.; NCARB

Cc: Louis Larizza, Lazz Development / Pawling Holdings

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D R A F T

LOCAL LAW
CITY OF RYE NO. _____ -2014

A Local Law to Amend the "Zoning Map of the City for Rye, New York" to Change the Zoning Designation of a Property Known on the City of Rye Tax Map as Section 146.10, Block 1, Lot 66 from B-6, *General Business*, District and B-1, *Neighborhood Business*, District to RA-5, *Senior Citizens Apartment*, District.

Be it enacted by the City Council of the City of Rye as follows:

Section 1. **The Zoning Map of the City of Rye, New York is hereby amended to change the zoning district designation of a property known on the City of Rye Tax Map as Section 146.10, Block 1, Lot 66 from B-6, *General Business*, District and B-1, *Neighborhood Business*, District to RA-5, *Senior Citizens Apartment*, District.**

Section 2. **Severability**

The invalidity of any word, section, clause, paragraph, sentence, part or provision of this Local Law shall not affect the validity of any other part of this Local Law that can be given effect without such invalid part or parts.

Section 3. **Effective Date**

This Local Law shall take effect immediately upon its adoption and filing with the Secretary of State.

DRAFT

617.20
Appendix B
Short Environmental Assessment Form

Instructions for Completing

Part 1 - Project Information. The applicant or project sponsor is responsible for the completion of Part 1. Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification. Complete Part 1 based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information.

Complete all items in Part 1. You may also provide any additional information which you believe will be needed by or useful to the lead agency; attach additional pages as necessary to supplement any item.

Part 1 - Project and Sponsor Information
City of Rye Affordable Senior Housing

Name of Action or Project:
The Courtyard at Theodore Fremd

Project Location (describe, and attach a location map):
Theodore Fremd Avenue and North Street, Rye, NY

Brief Description of Proposed Action:

1. Construct 54 units of senior affordable housing units with parking areas for 95 cars on 2.08 acres in the City of Rye, NY.
2. Approval of a City of Rye zoning text amendment to permit certain residential types in the B6 (Business) zone.

Name of Applicant or Sponsor:	Telephone:
Pawling Holdings, LLC	E-Mail:

Address:
211 South Ridge Street, Suite 3R

City/PO:	State:	Zip Code:
Rye Brook	NY	10573

	NO	YES
1. Does the proposed action only involve the legislative adoption of a plan, local law, ordinance, administrative rule, or regulation? If Yes, attach a narrative description of the intent of the proposed action and the environmental resources that may be affected in the municipality and proceed to Part 2. If no, continue to question 2.	☑	☐
2. Does the proposed action require a permit, approval or funding from any other governmental Agency? If Yes, list agency(s) name and permit or approval: City of Rye Planning Commission, City of Rye Coucil, the Home Fund, HIF, AHC agencies, County of Westchester DPW	☐	☑

3.a. Total acreage of the site of the proposed action? 2.08 acres
b. Total acreage to be physically disturbed? 2.08 acres
c. Total acreage (project site and any contiguous properties) owned or controlled by the applicant or project sponsor? 2.08 acres

4. Check all land uses that occur on, adjoining and near the proposed action.
☑ Urban ☐ Rural (non-agriculture) ☐ Industrial ☑ Commercial ☑ Residential (suburban)
☐ Forest ☐ Agriculture ☐ Aquatic ☐ Other (specify): Railroad, Interstate Highway 95
☐ Parkland

	NO	YES	N/A
5. Is the proposed action,			
a. A permitted use under the zoning regulations?	✓		
b. Consistent with the adopted comprehensive plan?			✓

	NO	YES
6. Is the proposed action consistent with the predominant character of the existing built or natural landscape?		✓
7. Is the site of the proposed action located in, or does it adjoin, a state listed Critical Environmental Area? If Yes, identify: _____	✓	
8. a. Will the proposed action result in a substantial increase in traffic above present levels?	✓	
b. Are public transportation service(s) available at or near the site of the proposed action?		✓
c. Are any pedestrian accommodations or bicycle routes available on or near site of the proposed action?		✓
9. Does the proposed action meet or exceed the state energy code requirements? If the proposed action will exceed requirements, describe design features and technologies: _____		✓
10. Will the proposed action connect to an existing public/private water supply? If No, describe method for providing potable water: _____		✓
11. Will the proposed action connect to existing wastewater utilities? If No, describe method for providing wastewater treatment: _____		✓
12. a. Does the site contain a structure that is listed on either the State or National Register of Historic Places?	✓	
b. Is the proposed action located in an archeological sensitive area?	✓	
13. a. Does any portion of the site of the proposed action, or lands adjoining the proposed action, contain wetlands or other waterbodies regulated by a federal, state or local agency?	✓	
b. Would the proposed action physically alter, or encroach into, any existing wetland or waterbody? If Yes, identify the wetland or waterbody and extent of alterations in square feet or acres: _____	✓	

14. Identify the typical habitat types that occur on, or are likely to be found on the project site. Check all that apply:
☐ Shoreline ☐ Forest ☐ Agricultural/grasslands ☐ Early mid-successional
☐ Wetland ☑ Urban ☑ Suburban

	NO	YES
15. Does the site of the proposed action contain any species of animal, or associated habitats, listed by the State or Federal government as threatened or endangered?	✓	
16. Is the project site located in the 100 year flood plain?	✓	
17. Will the proposed action create storm water discharge, either from point or non-point sources? If Yes, a. Will storm water discharges flow to adjacent properties? ☐ NO ☑ YES	✓	
b. Will storm water discharges be directed to established conveyance systems (runoff and storm drains)? If Yes, briefly describe: ☐ NO ☑ YES Existing Culvert beneath Metro North Rail Lines		

	NO	YES
18. Does the proposed action include construction or other activities that result in the impoundment of water or other liquids (e.g. retention pond, waste lagoon, dam)? If Yes, explain purpose and size: Three suburface detention vaults to be constructed beneath the parking areas. Size is generally 1000 square feet per system. The purpose is to limit flows to current levels.	☐	☑
19. Has the site of the proposed action or an adjoining property been the location of an active or closed solid waste management facility? If Yes, describe:	☑	☐
20. Has the site of the proposed action or an adjoining property been the subject of remediation (ongoing or completed) for hazardous waste? If Yes, describe: NYS DEC database has no record of remediation 1978 to present.	☐	☑

I AFFIRM THAT THE INFORMATION PROVIDED ABOVE IS TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE

Applicant/sponsor name: Lou Larizza Date: 11/6/2013

Signature:

Part 2 - Impact Assessment. The Lead Agency is responsible for the completion of Part 2. Answer all of the following questions in Part 2 using the information contained in Part 1 and other materials submitted by the project sponsor or otherwise available to the reviewer. When answering the questions the reviewer should be guided by the concept "Have my responses been reasonable considering the scale and context of the proposed action?"

	No, or small impact may occur	Moderate to large impact may occur
1. Will the proposed action create a material conflict with an adopted land use plan or zoning regulations?	☑	☐
2. Will the proposed action result in a change in the use or intensity of use of land?	☐	☑
3. Will the proposed action impair the character or quality of the existing community?	☑	☐
4. Will the proposed action have an impact on the environmental characteristics that caused the establishment of a Critical Environmental Area (CEA)?	☑	☐
5. Will the proposed action result in an adverse change in the existing level of traffic or affect existing infrastructure for mass transit, biking or walkway?	☑	☐
6. Will the proposed action cause an increase in the use of energy and it fails to incorporate reasonably available energy conservation or renewable energy opportunities?	☑	☐
7. Will the proposed action impact existing: a. public / private water supplies?	☐	☑
b. public / private wastewater treatment utilities?	☐	☑
8. Will the proposed action impair the character or quality of important historic, archaeological, architectural or aesthetic resources?	☑	☐
9. Will the proposed action result in an adverse change to natural resources (e.g., wetlands, waterbodies, groundwater, air quality, flora and fauna)?	☑	☐

	No, or small impact may occur	Moderate to large impact may occur
10. Will the proposed action result in an increase in the potential for erosion, flooding or drainage problems?	☑	☐
11. Will the proposed action create a hazard to environmental resources or human health?	☑	☐

Part 3 - Determination of significance. The Lead Agency is responsible for the completion of Part 3. For every question in Part 2 that was answered "moderate to large impact may occur", or if there is a need to explain why a particular element of the proposed action may or will not result in a significant adverse environmental impact, please complete Part 3. Part 3 should, in sufficient detail, identify the impact, including any measures or design elements that have been included by the project sponsor to avoid or reduce impacts. Part 3 should also explain how the lead agency determined that the impact may or will not be significant. Each potential impact should be assessed considering its setting, probability of occurring, duration, irreversibility, geographic scope and magnitude. Also consider the potential for short-term, long-term and cumulative impacts.

2. The project will increase the intensity of use since the development of 54 apartments for seniors will occur on vacant land. Mitigation is proposed by providing sufficient stormwater controls to prevent floding, and water quality treament to reduce impacts to water courses. Traffic mitigation is considered to be mitigated by limiting occupancy to an over-55 years age group. Excess on-site parking is provided to eliminate off-street parking impacts. The site is on the County Bus route which affords opportunity to mitigate traffic. New local street sidewalks to be constructed will also help to mitigate traffic. The proposed landscaping, consisting of landscaled buffers, new trees, shrubs and decorative fencing throughout, will mitigate visual impacts. An erosion control plan in conformance with the NYS Stormwater Design Manual will be used to offset temporary impacts of erosion.

7a. Water supply: The water demand for the project of 54 units with average of a two-person occupancy is about 10,800 gallons per day. United Water Westchester provides 7.6 million gallons per day and the increase is nominal due to this project and should not impact the facilities. Mitigation of water use is provided in limiting the occupancy to an over-55 age group.

7b. Wastewater Treament: The project is in the County's Blind Brook Sewer District and sewage flow will be treated at the Blind Brook Treatment Plant which has a capacity of 5 mgd and is currently operating at 3.3 mgd. City-owned sewers at the site are adequately sized to handle the increased flow from this project. Therefore, given the reduced water use and in turn sewage generation, the project should not adversely impact these facilities.

Long term impacts are the same as short term impacts described above.

Cumulative impacts of the development are limited due to the lack of other new projects of this type in the area.

☐ Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action may result in one or more potentially large or significant adverse impacts and an environmental impact statement is required.

☐ Check this box if you have determined, based on the information and analysis above, and any supporting documentation, that the proposed action will not result in any significant adverse environmental impacts.

Name of Lead Agency	Date
Print or Type Name of Responsible Officer in Lead Agency	Title of Responsible Officer
Signature of Responsible Officer in Lead Agency	Signature of Preparer (if different from Responsible Officer)

PRINT

CITY COUNCIL AGENDA

NO. 9 DEPT.: City Manager's Office DATE: June 11, 2014

CONTACT: Frank J. Culross, City Manager

AGENDA ITEM: Public Hearing to amend local law Chapter 191, Vehicles and Traffic, of the Rye City Code by amending Section §191-19, "No parking any time" to prohibit parking on the north side of Mead Place; and Section §191-19-1, "Parking prohibited certain hours" to remove the restriction of no parking on Mead Place Monday through Saturday from 7:00 a.m. to 6:00 p.m.

FOR THE MEETING OF:

June 11, 2014

RYE CITY CODE,

CHAPTER 191

SECTION 19, 19-1

RECOMMENDATION: That the City Council hold a Public Hearing to approve the changes on Mead Place as outlined by the Traffic and Pedestrian Safety Committee.

IMPACT: ☐ Environmental ☐ Fiscal ☒ Neighborhood ☐ Other:

BACKGROUND: Residents of Mead Place met with the Traffic and Pedestrian Safety Committee and requested eliminating parking on the north side of Mead Place. Currently there is no parking on this portion of the road, Monday through Saturday from 7:00 a.m. to 6:00 p.m. The residents wish to extend that restriction to "no parking anytime." There is unanimous support from the residents of Mead Place for this request; the YMCA was contacted and has no objection to the proposal. The change would be enacted by amending Section §191-19, "No parking any time" to prohibit parking on the north side of Mead Place; and Section §191-19-1, "Parking prohibited certain hours" to remove the partial restriction currently in place.

See attached documentation and Draft Local Law.

CITY OF RYE
Engineering Department

Interoffice Memorandum

To: Frank Culross, City Manager

From: Ryan Coyne, PE, City Engineer

Date: May 9, 2014

Subject: Proposal to eliminate parking on the north side of Mead Place

Residents of Mead Place have approached the Traffic and Pedestrian Safety Committee with a request to eliminate parking on the northern side of Mead Place. Currently, there is no parking on this portion of the road between 7am and 6pm. They wish to extend that restriction to "no parking anytime".

This issue has been discussed for some time with the Committee. At their meeting last night, a resident of Mead Place, Mr. John Rock, returned and expressed that there is unanimous support from his neighbors to eliminate parking in this location. I have attached emails that he has sent me from his neighbors supporting the topic.

I have contacted a representative of the YMCA and it was conveyed to me that they do not have any objection to this proposal.

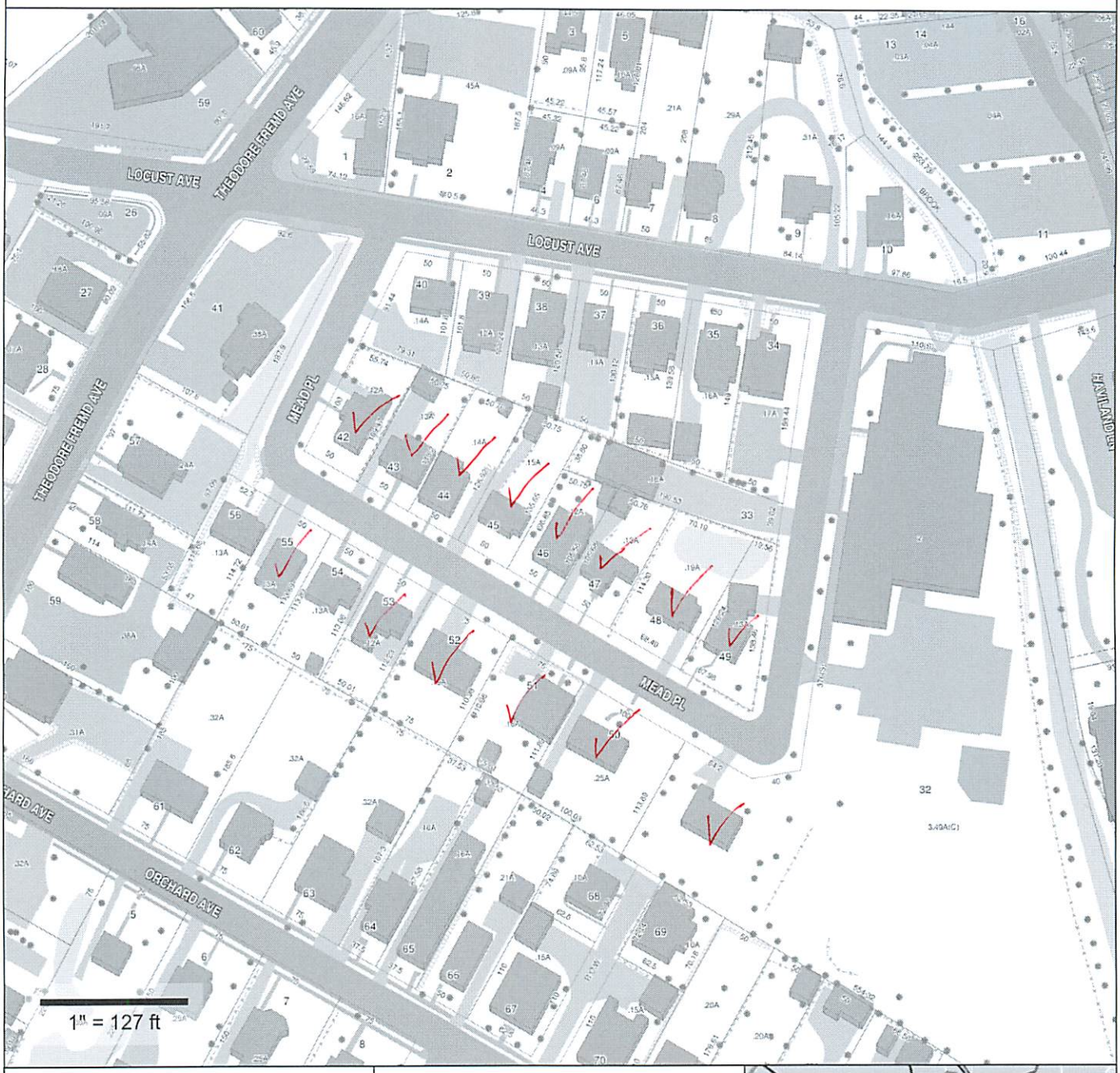
The TPS Committee has supported the request in the past; however, no recommendation was made because there was not a consensus from the neighborhood. It appears that a consensus has been met.

I can state confidently that Mr. Rock is anxious to see this restriction in place. I am therefore referring the attached for your review and action.

Please let me know if you need additional information.

City of Rye

May 9, 2014

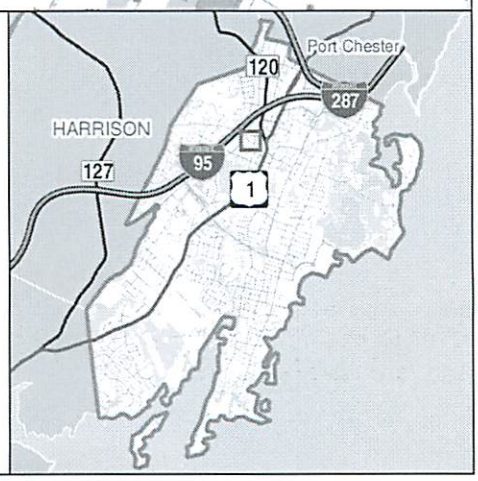


CITY OF RYE NY 1942

MAP FOR REFERENCE ONLY
NOT A LEGAL DOCUMENT

The City makes no claims and no warranties, expressed or implied, concerning the validity or accuracy of the GIS data presented on this map.

Parcels updated December 31, 2012



From: John Rock [mailto:john.rockx29@gmail.com]
Sent: Monday, May 12, 2014 3:33 PM
To: Coyne, Ryan X.
Subject: Fwd: Mead Place Parking

#39 Tsui

Sent from my iPhone

Begin forwarded message:

From: "John Tsui" <jftsui@verizon.net>
Date: May 12, 2014 at 3:18:36 PM EDT
To: "'John Rock'" <john.rockx29@gmail.com>
Subject: RE: Mead Place Parking

John.....I vote with the majority as to what's best for the block.....regards, JOhn
From: John Rock [mailto:john.rockx29@gmail.com]
Sent: Monday, May 12, 2014 3:05 PM
To: john F. Tsui
Subject: Re: Mead Place Parking
Hi John... Just checking to see if you got this?
Rgds,
John

Sent from my iPhone

On May 9, 2014, at 9:25 AM, John Rock <john.rockx29@gmail.com> wrote:

Hi John,
Hope you are well. For the record can you respond back if you are still ok. as before, with 'No Parking Anytime' on the north side. Everybody is on board now finally!! which is great, and the TPC have agreed to take it to the council to get it done.
If you can respond back that would be great,
Thx
John

Sent from my iPhone

Begin forwarded message:

From: John Rock <john.rockx29@gmail.com>
Date: May 8, 2014 at 4:44:59 PM EDT
To: "andrea.tighe@yahoo.com" <andrea.tighe@yahoo.com>, "bbh1107@yahoo.com" <bbh1107@yahoo.com>, Ellen Saya <ellensaya@gmail.com>, gregghowells <gregghowells@ryeymca.org>, Harry <hw.eh@verizon.net>, "john F. Tsui" <jftsui@verizon.net>, "kcbellotti@aol.com" <kcbellotti@aol.com>, "ktsmead@verizon.net" <ktsmead@verizon.net>, MARY ROMANELLO <maryelmr@yahoo.com>, John Rock <rockx43@aol.com>, "alacombe@optonline.net" <alacombe@optonline.net>, "glacombe@syntax.net" <glacombe@syntax.net>, "kirawales@gmail.com" <kirawales@gmail.com>, "peter@peterarcher.com" <peter@peterarcher.com>, Katie Thomas <katiekemplethomas@gmail.com>, "speach@optonline.net" <speach@optonline.net>, "davidw@bibglaw.com" <davidw@bibglaw.com>, "rockx43@aol.com" <rockx43@aol.com>
Subject: Re: Mead Place Parking

Hi All,
Many thanks for all your responses and support, including support this time from the Lacombe's and the Wales' - glad we finally got you guys on board!!
Together with prior signed petitions from everyone etc. I think we can safely say that we now have our 100% consensus, which I can take to the TPC tonight to propose i.e. 'No Parking Anytime' on the north side. Will request also, assuming we get approval, new larger signs and removal of the current stripes on the north side.
Hopefully the TPC will accept our proposal and we can get this tabled at the next meeting of the council for sign-off.
I think this will go a long way to restoring a sense of pride on our street, and yes - more than happy to step down after 2nd term as unelected mayor ☺.
Thx. again. Will let you know how it goes.
John

Sent from my iPhone

On May 5, 2014, at 12:32 PM, John Rock <john.rockx29@gmail.com> wrote:
Hi All.
As promised, and in response to continued frustration and congestion on the North side of the street from cars parked after 6pm weekdays and most Sat.& Sundays before 6pm, especially for those of us mostly directly impacted who live closer to the 'Y- end of the street.
The TPC (Traffic & Parking Committee) have asked for feedback on initiatives from the last round; I think pretty successful i.e. new / larger no parking sign on the north east corner and street marking - south side, which has definitely helped, but have asked that if we still want to press ahead with 'No Parking Anytime' on the North side we present another show of support etc. from the street.

Last time, we had mostly everyone on board and not to call anyone out or anything, but Gary & Amy (Lacombe) - you guys were not in favor of this on the last go round.
Do you think we can get you on board this time?
Also, David & Kira (Wales); you guys were opposed to the 2 hour parking on the Southside which I'm not proposing we try and push through again time, but would be great to get your full support for 'No Parking Anytime' on the North side?
Welcome also to Peter & Lynn Archer & family, our newest neighbors, who moved into Nick & Anne Jackson's house.
If everyone can let me know either way or at least if for any reason you would be opposed to moving forward with this request to the TPC.
From previous experience, if we can get full consensus, the TPC tend to move much quicker with the council to make it happen.
There is a meeting of the TPC scheduled for this Thursday 8th @ 7.30pm. If you can get back to me before then that would be great.
rgds,
John

Coyne, Ryan X.

From: John Rock [john.rockx29@gmail.com]
Sent: Friday, May 09, 2014 12:42 PM
To: Coyne, Ryan X.
Subject: Fwd: Mead Place Parking

#27 Howells

Sent from my iPhone

Begin forwarded message:

> **From:** John Rock <john.rockx29@gmail.com>
> **Date:** May 9, 2014 at 9:39:58 AM EDT
> **To:** Gregg Howells <GreggHowells@ryeymca.org>
> **Subject: Re: Mead Place Parking**
>
> Thx.
>
> Sent from my iPhone
>
> On May 9, 2014, at 9:33 AM, Gregg Howells <GreggHowells@ryeymca.org> wrote:
>
> > John – we are still fine w/ making North side – no parking anytime.
> > Thanks!
> >
> > Gregg Howells
> > Executive Director
> > Rye YMCA
> > 21 Locust Avenue
> > Rye, NY 10580
> > 914-967-6363 Ext 200
> > 914-967-0644 Fax
> > gregghowells@ryeymca.org
> >
> > The Y: We're for Youth Development, Healthy Living and Social Responsibility
>
> ---
>
> **From:** John Rock [mailto:john.rockx29@gmail.com]
> **Sent:** Friday, May 09, 2014 9:28 AM
> **To:** Gregg Howells; Lisa Howells
> **Subject:** Fwd: Mead Place Parking
>
> Hi Gregg / Lisa,
> Hope you guys are well. For the record can you respond back if you are still ok. as before, with 'No Parking Anytime' on the north side. Everybody is on board now finally!! which is great, and the TPC have agreed to take it to the council to get it done.
> If you can respond back that would be great.
> Thx.
> John

Sent from my iPhone

Begin forwarded message:

From: John Rock <john.rockx29@gmail.com>
Date: May 8, 2014 at 4:44:59 PM EDT
To: "andrea.tighe@yahoo.com" <andrea.tighe@yahoo.com>, "bbh1107@yahoo.com" <bbh1107@yahoo.com>, Ellen Saya <ellensaya@gmail.com>, gregghowells <gregghowells@ryeymca.org>, Harry <hw.eh@verizon.net>, "john F. Tsui" <jftsui@verizon.net>, "kcbellotti@aol.com" <kcbellotti@aol.com>, "ktsmead@verizon.net" <ktsmead@verizon.net>, MARY ROMANELLO <maryelmr@yahoo.com>, John Rock <rockx43@aol.com>, "alacombe@optonline.net" <alacombe@optonline.net>, "glacombe@syntax.net" <glacombe@syntax.net>, "kirawales@gmail.com" <kirawales@gmail.com>, "peter@peterarcher.com" <peter@peterarcher.com>, Katie Thomas <katiekemplethomas@gmail.com>, "speach@optonline.net" <speach@optonline.net>, "davidw@bibglaw.com" <davidw@bibglaw.com>, "rockx43@aol.com" <rockx43@aol.com>
Subject: Re: Mead Place Parking

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Thx. again. Will let you know how it goes.
John

Sent from my iPhone

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rgds,

John

Coyne, Ryan X.

From: John Rock [john.rockx29@gmail.com]
Sent: Friday, May 09, 2014 12:41 PM
To: Coyne, Ryan X.
Subject: Fwd: Mead Place Parking

#31 Erhlich

Sent from my iPhone

Begin forwarded message:

From: "Harry" <hw.eh@verizon.net>
Date: May 5, 2014 at 8:43:02 PM EDT
To: "'John Rock'" <john.rockx29@gmail.com>, <andrea.tighe@yahoo.com>, <bbh1107@yahoo.com>, "'Ellen Saya'" <ellensaya@gmail.com>, "'gregghowells'" <gregghowells@ryeymca.org>, "'john F. Tsui'" <jftsui@verizon.net>, <kcbellotti@aol.com>, <ktsmead@verizon.net>, "'MARY ROMANELLO'" <marvelmr@yahoo.com>, "'John Rock'" <rockx43@aol.com>, <alacombe@optonline.net>, <glacombe@syntax.net>, <kirawales@gmail.com>, <peter@peterarcher.com>, "'Katie Thomas'" <katiekemplethomas@gmail.com>, <speach@optonline.net>
Subject: RE: Mead Place Parking

Hi John
Once again thank you very much for spearheading this effort.
I support a full ban on the North Side of the street.
Thanks much.
Harry

From: John Rock [mailto:john.rockx29@gmail.com]
Sent: Monday, May 05, 2014 12:33 PM
To: andrea.tighe@yahoo.com; bbh1107@yahoo.com; Ellen Saya; gregghowells; Harry; john F. Tsui; kcbellotti@aol.com; ktsmead@verizon.net; MARY ROMANELLO; John Rock; alacombe@optonline.net; glacombe@syntax.net; kirawales@gmail.com; peter@peterarcher.com; Katie Thomas; speach@optonline.net
Subject: Mead Place Parking

Hi All.
As promised, and in response to continued frustration and congestion on the North side of the street from cars parked after 6pm weekdays and most Sat.& Sundays before 6pm, especially for those of us mostly directly impacted who live closer to the 'Y- end of the street.
The TPC (Traffic & Parking Committee) have asked for feedback on initiatives from the last round; I think pretty successful i.e. new / larger no parking sign on the north east corner and street marking - south side, which has definitely helped, but have asked that if we still want to press ahead with 'No Parking Anytime' on the North side we present another show of support etc. from the street.
Last time, we had mostly everyone on board and not to call anyone out or anything, but Gary & Amy (Lacombe) - you guys were not in favor of this on the last go round.
Do you think we can get you on board this time?
Also, David & Kira (Wales); you guys were opposed to the 2 hour parking on the Southside

which I'm not proposing we try and push through again time, but would be great to get your full support for 'No Parking Anytime' on the North side?
Welcome also to Peter & Lynn Archer & family, our newest neighbors, who moved into Nick & Anne Jackson's house.
If everyone can let me know either way or at least if for any reason you would be opposed to moving forward with this request to the TPC.
From previous experience, if we can get full consensus, the TPC tend to move much quicker with the council to make it happen.
There is a meeting of the TPC scheduled for this Thursday 8th @ 7.30pm. If you can get back to me before then that would be great.
rgds,
John

Coyne, Ryan X.

From: John Rock [john.rockx29@gmail.com]
Sent: Friday, May 09, 2014 12:40 PM
To: Coyne, Ryan X.
Subject: Fwd: Mead Place Parking

#33 Wales

Sent from my iPhone

Begin forwarded message:

From: David Wales <davidw@blbglaw.com>
Date: May 8, 2014 at 9:22:23 AM EDT
To: "glacombe@syntax.com" <glacombe@syntax.com>, "john.rockx29@gmail.com" <john.rockx29@gmail.com>, "alacombe@optonline.net" <alacombe@optonline.net>, "andrea.tighe@yahoo.com" <andrea.tighe@yahoo.com>, "bbh1107@yahoo.com" <bbh1107@yahoo.com>, "ellensaya@gmail.com" <ellensaya@gmail.com>, "gregghowells@ryeymca.org" <gregghowells@ryeymca.org>, "hw.eh@verizon.net" <hw.eh@verizon.net>, "jftsui@verizon.net" <jftsui@verizon.net>, "katiekemplethomas@gmail.com" <katiekemplethomas@gmail.com>, "kcbellotti@aol.com" <kcbellotti@aol.com>, "ktsmead@verizon.net" <ktsmead@verizon.net>, "maryelmr@yahoo.com" <maryelmr@yahoo.com>, "peter@peterarcher.com" <peter@peterarcher.com>, "speach@optonline.net" <speach@optonline.net>
Cc: Kira Wales <kirawales@gmail.com>
Subject: Mead Place Parking

We feel very much like Amy and Gary on the parking issue. While we personally do not agree that is a good idea to change the parking on the North side of the street any further, we will support that change if that is what everyone wants. We are doing this because we also want to support our block and our neighbors, and as such, it is also important to us that this finally resolves all of the parking and traffic pattern issues on the block.

Best, David and Kira

Hi All,

After much deliberation Amy and I have decided to support our neighbors at the top of Mead place with no parking on the north side of the street.

Just so you understand our hesitation... Amy and I lived at 22 Mead for many years before we moved to 41 Mead place. The parking at the top of the block was always an issue which was one of the reasons we moved down to 41. We've been through one round of parking changes which clearly was the right decision for safety, and the overall feel of the block, but degraded the parking situation in front of our house. Now we're faced with another change and we fear this change will now have cars parked in front of our house after six; which currently is clear of cars by midafternoon. With that said, it's important to us to support the block and our neighbors... with the Caveat that we put the traffic pattern /

parking on Mead place to bed.

(Johnny, I prefer single malt☺)

We are looking forward to seeing you all out on the block now that the warm weather is here ...finally!

Amy & Gary

David L. Wales
Bernstein Litowitz Berger & Grossmann LLP
1285 Avenue of the Americas, 38th Floor
New York, NY 10019
(212) 554-1409
dwales@blbglaw.com

Coyne, Ryan X.

From: John Rock [john.rockx29@gmail.com]
Sent: Friday, May 09, 2014 12:37 PM
To: Coyne, Ryan X.
Subject: Fwd: Mead Place Parking

#37 Thomas

Sent from my iPhone

Begin forwarded message:

> **From:** Katie Thomas <katiekemplethomas@gmail.com>
> **Date:** May 5, 2014 at 9:40:44 PM EDT
> **To:** Harry <hw.eh@verizon.net>
> **Cc:** John Rock <john.rockx29@gmail.com>, "<andrea.tighe@yahoo.com>" <andrea.tighe@yahoo.com>, "<bbh1107@yahoo.com>" <bbh1107@yahoo.com>, Ellen Saya <ellensaya@gmail.com>, gregghowells <gregghowells@ryeymca.org>, "john F. Tsui" <jftsui@verizon.net>, "<kcbellotti@aol.com>" <kcbellotti@aol.com>, "<ktsmead@verizon.net>" <ktsmead@verizon.net>, MARY ROMANELLO <maryelmr@yahoo.com>, John Rock <rockx43@aol.com>, "<alacombe@optonline.net>" <alacombe@optonline.net>, "<glacombe@syntax.net>" <glacombe@syntax.net>, "<kirawales@gmail.com>" <kirawales@gmail.com>, "<peter@peterarcher.com>" <peter@peterarcher.com>, "<speach@optonline.net>" <speach@optonline.net>
> **Subject: Re: Mead Place Parking**
>
> We reiterate Harry's sentiments-- thank you so much for spearheading!!
>
> Katie & Steve Thomas
>
> Sent from my iPhone
>
> On May 5, 2014, at 8:43 PM, "Harry" <hw.eh@verizon.net> wrote:
>
>> Hi John
>> Once again thank you very much for spearheading this effort.
>> I support a full ban on the North Side of the street.
>> Thanks much.
>> Harry
>>
>> **From:** John Rock [mailto:john.rockx29@gmail.com]
>> **Sent:** Monday, May 05, 2014 12:33 PM
>> **To:** andrea.tighe@yahoo.com; bbh1107@yahoo.com; Ellen Saya; gregghowells; Harry; john F. Tsui; kcbellotti@aol.com; ktsmead@verizon.net; MARY ROMANELLO; John Rock; alacombe@optonline.net; glacombe@syntax.net; kirawales@gmail.com; peter@peterarcher.com; Katie Thomas; speach@optonline.net
>> **Subject:** Mead Place Parking
>>
>> Hi All.
>> As promised, and in response to continued frustration and congestion on the

North side of the street from cars parked after 6pm weekdays and most Sat.& Sundays before 6pm, especially for those of us mostly directly impacted who live closer to the 'Y- end of the street.
The TPC (Traffic & Parking Committee) have asked for feedback on initiatives from the last round; I think pretty successful i.e. new / larger no parking sign on the north east corner and street marking - south side, which has definitely helped, but have asked that if we still want to press ahead with 'No Parking Anytime' on the North side we present another show of support etc. from the street.
Last time, we had mostly everyone on board and not to call anyone out or anything, but Gary & Amy (Lacombe) - you guys were not in favor of this on the last go round.
Do you think we can get you on board this time?
Also, David & Kira (Wales); you guys were opposed to the 2 hour parking on the Southside which I'm not proposing we try and push through again time, but would be great to get your full support for 'No Parking Anytime' on the North side?
Welcome also to Peter & Lynn Archer & family, our newest neighbors, who moved into Nick & Anne Jackson's house.
If everyone can let me know either way or at least if for any reason you would be opposed to moving forward with this request to the TPC.
From previous experience, if we can get full consensus, the TPC tend to move much quicker with the council to make it happen.
There is a meeting of the TPC scheduled for this Thursday 8th @ 7.30pm. If you can get back to me before then that would be great.
rgds,
John

Coyne, Ryan X.

From: John Rock [john.rockx29@gmail.com]
Sent: Friday, May 09, 2014 12:36 PM
To: Coyne, Ryan X.
Subject: Fwd: Mead Place Parking

#41 Lacombe

Sent from my iPhone

Begin forwarded message:

From: glacombe@syntax.com
Date: May 8, 2014 at 8:27:52 AM EDT
To: John Rock <john.rockx29@gmail.com>
Cc: "Home Home" <alacombe@optonline.net>, andrea.tighe@yahoo.com, bbh1107@yahoo.com, Ellen Saya <ellensaya@gmail.com>, glacombe@syntax.net, gregghowells <gregghowells@ryeymca.org>, "Harry Erlick" <hw.eh@verizon.net>, "john F. Tsui" <jftsui@verizon.net>, Katie Thomas <katiekemplethomas@gmail.com>, kcbellotti@aol.com, kirawales@gmail.com, ktsmead@verizon.net, MARY ROMANELLO <marvelmr@yahoo.com>, peter@peterarcher.com, John Rock <rockx43@aol.com>, speach@optonline.net
Subject: Re: Mead Place Parking

Hi All,

After much deliberation Amy and I have decided to support our neighbors at the top of Mead place with no parking on the north side of the street.

Just so you understand our hesitation... Amy and I lived at 22 Mead for many years before we moved to 41 Mead place. The parking at the top of the block was always an issue which was one of the reasons we moved down to 41. We've been through one round of parking changes which clearly was the right decision for safety, and the overall feel of the block, but degraded the parking situation in front of our house. Now we're faced with another change and we fear this change will now have cars parked in front of our house after six; which currently is clear of cars by midafternoon. With that said, it's important to us to support the block and our neighbors... with the Caveat that we put the traffic pattern / parking on Mead place to bed.

(Johnny, I prefer single malt☺)

We are looking forward to seeing you all out on the block now that the warm weather is here ...finally!

Amy & Gary

-----John Rock <john.rockx29@gmail.com> wrote: -----
| To: andrea.tighe@yahoo.com, bbh1107@yahoo.com, Ellen Saya

<ellensaya@gmail.com>, gregghowells <gregghowells@ryeymca.org>, Harry <hw.eh@verizon.net>, "john F. Tsui" <jftsui@verizon.net>, kcbellotti@aol.com, ktsmead@verizon.net, MARY ROMANELLO <maryelmr@yahoo.com>, John Rock <rockx43@aol.com>, alacombe@optonline.net, glacombe@syntax.net, kirawales@gmail.com, peter@peterarcher.com, Katie Thomas <katiekemplethomas@gmail.com>, speach@optonline.net
From: John Rock <john.rockx29@gmail.com>
Date: 05/05/2014 12:33PM
Subject: Mead Place Parking

Hi All.
As promised, and in response to continued frustration and congestion on the North side of the street from cars parked after 6pm weekdays and most Sat.& Sundays before 6pm, especially for those of us mostly directly impacted who live closer to the 'Y- end of the street.
The TPC (Traffic & Parking Committee) have asked for feedback on initiatives from the last round; I think pretty successful i.e. new / larger no parking sign on the north east corner and street marking - south side, which has definitely helped, but have asked that if we still want to press ahead with 'No Parking Anytime' on the North side we present another show of support etc. from the street.
Last time, we had mostly everyone on board and not to call anyone out or anything, but Gary & Amy (Lacombe) - you guys were not in favor of this on the last go round. Do you think we can get you on board this time?
Also, David & Kira (Wales); you guys were opposed to the 2 hour parking on the Southside which I'm not proposing we try and push through again time, but would be great to get your full support for 'No Parking Anytime' on the North side?
Welcome also to Peter & Lynn Archer & family, our newest neighbors, who moved into Nick & Anne Jackson's house.
If everyone can let me know either way or at least if for any reason you would be opposed to moving forward with this request to the TPC.
From previous experience, if we can get full consensus, the TPC tend to move much quicker with the council to make it happen.
There is a meeting of the TPC scheduled for this Thursday 8th @ 7.30pm. If you can get back to me before then that would be great.
rgds,
John

Coyne, Ryan X.

From: John Rock [john.rockx29@gmail.com]
Sent: Friday, May 09, 2014 12:28 PM
To: Coyne, Ryan X.
Subject: Fwd: Mead Place Parking

#22 Archer

Sent from my iPhone

Begin forwarded message:

> **From:** Peter <peter@peterarcher.com>
> **Date:** May 6, 2014 at 9:24:33 PM EDT
> **To:** John Rock <john.rockx29@gmail.com>
> **Subject: Re: Mead Place Parking**
>
> John,
>
> Thank you for all your hard work.
>
> Louise and I feel like the parking situation on the block is really unacceptable.
>
> We would agree with you and would like to eliminate parking on the north side of the street. Additionally, if there are any other measures you can think of to restrict parking, we will vote for them too!
>
> Thanks again, and if you need any help with ANYTHING, just ask.
>
> Pete & Louise
> 22 Mead Place
>
> -------- Original message --------
> From: John Rock
> Date:05/06/2014 8:35 AM (GMT-05:00)
> To: Ellen
> Cc: andrea.tighe@yahoo.com,bbh1107@yahoo.com,gregghowells ,Harry ,"john F. Tsui" ,kcbellotti@aol.com,ktsmead@verizon.net,MARY ROMANELLO ,John Rock ,alacombe@optonline.net,glacombe@syntax.net,kirawales@gmail.com,peter@peterarcher.c Thomas ,speach@optonline.net
> Subject: Re: Mead Place Parking
>
> Hi Ellen / Bill,
> Many thx. for your response.
> Yes, the issue of speeding has come up several times but the city will not install speed humps / traffic calming measures due to the slope on the street, for safety reasons.
> I never understood it either??
> Thx
> John

Sent from my iPhone

On May 6, 2014, at 8:19 AM, Ellen <ellensaya@gmail.com> wrote:

> Reply to John:
> John
> We don't have much of an opinion either way regarding no parking anytime on the north side and would be in favor of whatever the majority of the block thought was best. Aside from the parking issue, we are concerned with the speeding that occurs on the block. Has that been a topic brought up with the committee or has this strictly been about the parking?
> The Saya's
>
> Sent from my iPhone
>
> On May 5, 2014, at 12:32 PM, John Rock <john.rockx29@gmail.com> wrote:
>
>> Hi All.
>> As promised, and in response to continued frustration and congestion on the North side of the street from cars parked after 6pm weekdays and most Sat.& Sundays before 6pm, especially for those of us mostly directly impacted who live closer to the 'Y-end of the street.
>> The TPC (Traffic & Parking Committee) have asked for feedback on initiatives from the last round; I think pretty successful i.e. new / larger no parking sign on the north east corner and street marking - south side, which has definitely helped, but have asked that if we still want to press ahead with 'No Parking Anytime' on the North side we present another show of support etc. from the street.
>> Last time, we had mostly everyone on board and not to call anyone out or anything, but Gary & Amy (Lacombe) - you guys were not in favor of this on the last go round.
>> Do you think we can get you on board this time?
>> Also, David & Kira (Wales); you guys were opposed to the 2 hour parking on the Southside which I'm not proposing we try and push through again time, but would be great to get your full support for 'No Parking Anytime' on the North side?
>> Welcome also to Peter & Lynn Archer & family, our newest neighbors, who moved into Nick & Anne Jackson's house.
>> If everyone can let me know either way or at least if for any reason you would be opposed to moving forward with this request to the TPC.
>> From previous experience, if we can get full consensus, the TPC tend to move much quicker with the council to make it happen.
>> There is a meeting of the TPC scheduled for this Thursday 8th @ 7.30pm. If you can get back to me before then that would be great.
>> rgds,

Coyne, Ryan X.

From: John Rock [john.rockx29@gmail.com]
Sent: Friday, May 09, 2014 12:30 PM
To: Coyne, Ryan X.
Subject: Fwd: Mead Place Parking

#26 Sweeney

Sent from my iPhone

Begin forwarded message:

From: ktsmead@verizon.net
Date: May 6, 2014 at 9:17:43 PM EDT
To: john.rockx29@gmail.com
Subject: Re: Mead Place Parking

John, Thanks for your continued involvement in the Mead Place parking issues. I'm in favor of "no parking anytime" on the North side of the street.
Krys Sweeney

On 05/05/14, John Rock<john.rockx29@gmail.com> wrote:

Hi All.
As promised, and in response to continued frustration and congestion on the North side of the street from cars parked after 6pm weekdays and most Sat.& Sundays before 6pm, especially for those of us mostly directly impacted who live closer to the 'Y- end of the street.
The TPC (Traffic & Parking Committee) have asked for feedback on initiatives from the last round; I think pretty successful i.e. new / larger no parking sign on the north east corner and street marking - south side, which has definitely helped, but have asked that if we still want to press ahead with 'No Parking Anytime' on the North side we present another show of support etc. from the street.
Last time, we had mostly everyone on board and not to call anyone out or anything, but Gary & Amy (Lacombe) - you guys were not in favor of this on the last go round.
Do you think we can get you on board this time?
Also, David & Kira (Wales); you guys were opposed to the 2 hour parking on the Southside which I'm not proposing we try and push through again time, but would be great to get your full support for 'No Parking Anytime' on the North side?
Welcome also to Peter & Lynn Archer & family, our newest neighbors, who moved into Nick & Anne Jackson's house.
If everyone can let me know either way or at least if for any reason you would be opposed to moving forward with this request to the TPC.
From previous experience, if we can get full consensus, the TPC tend to move much quicker with the council to make it happen.
There is a meeting of the TPC scheduled for this Thursday 8th @ 7.30pm. If you can get back to me before then that would be great.
rgds,
John

Coyne, Ryan X.

From: John Rock [john.rockx29@gmail.com]
Sent: Friday, May 09, 2014 12:32 PM
To: Coyne, Ryan X.
Subject: Fwd: Mead Place Parking

#32 Elmore

Sent from my iPhone

Begin forwarded message:

> **From:** Mary <maryelmr@yahoo.com>
> **Date:** May 6, 2014 at 6:31:26 PM EDT
> **To:** John Rock <john.rockx29@gmail.com>
> **Cc:** "andrea.tighe@yahoo.com" <andrea.tighe@yahoo.com>, "bbh1107@yahoo.com" <bbh1107@yahoo.com>, Ellen Saya <ellensaya@gmail.com>, gregghowells <gregghowells@ryeymca.org>, Harry <hw.eh@verizon.net>, "john F. Tsui" <jftsui@verizon.net>, "kcbellotti@aol.com" <kcbellotti@aol.com>, "ktsmead@verizon.net" <ktsmead@verizon.net>, John Rock <rockx43@aol.com>, "alacombe@optonline.net" <alacombe@optonline.net>, "glacombe@syntax.net" <glacombe@syntax.net>, "kirawales@gmail.com" <kirawales@gmail.com>, "peter@peterarcher.com" <peter@peterarcher.com>, Katie Thomas <katiekemplethomas@gmail.com>, "speach@optonline.net" <speach@optonline.net>
> **Subject: Re: Mead Place Parking**
>
> Dear john.....thank you for doing this for the neighborhood. I certainly support no parking anytime on my side of the street (north side). I believe it will certainly make the whole street safer for everyone.
>
> Sent from my iPhone
>
> > On May 5, 2014, at 12:32 PM, John Rock <john.rockx29@gmail.com> wrote:
> >
> > Hi All.
> >
> > As promised, and in response to continued frustration and congestion on the North side of the street from cars parked after 6pm weekdays and most Sat.& Sundays before 6pm, especially for those of us mostly directly impacted who live closer to the 'Y- end of the street.
> >
> > The TPC (Traffic & Parking Committee) have asked for feedback on initiatives from the last round; I think pretty successful i.e. new / larger no parking sign on the north east corner and street marking - south side, which has definitely helped, but have asked that if we still want to press ahead with 'No Parking Anytime' on the North side we present another show of support etc. from the street.
> >
> > Last time, we had mostly everyone on board and not to call anyone out or

anything, but Gary & Amy (Lacombe) - you guys were not in favor of this on the last go round.

Do you think we can get you on board this time?

Also, David & Kira (Wales); you guys were opposed to the 2 hour parking on the Southside which I'm not proposing we try and push through again time, but would be great to get your full support for 'No Parking Anytime' on the North side?

Welcome also to Peter & Lynn Archer & family, our newest neighbors, who moved into Nick & Anne Jackson's house.

If everyone can let me know either way or at least if for any reason you would be opposed to moving forward with this request to the TPC.

From previous experience, if we can get full consensus, the TPC tend to move much quicker with the council to make it happen.

There is a meeting of the TPC scheduled for this Thursday 8th @ 7.30pm. If you can get back to me before then that would be great.

rgds,

John

Coyne, Ryan X.

From: John Rock [john.rockx29@gmail.com]
Sent: Friday, May 09, 2014 12:32 PM
To: Coyne, Ryan X.
Subject: Fwd: Laura and Darryl's Response to Mead Place Parking

#34 Speach

Sent from my iPhone

Begin forwarded message:

From: Darryl Speach <speach@optonline.net>
Date: May 6, 2014 at 8:23:05 PM EDT
To: John Rock <john.rockx29@gmail.com>
Cc: andrea.tighe@yahoo.com, bbh1107@yahoo.com, Ellen Saya <ellensaya@gmail.com>, gregghowells <gregghowells@ryeymca.org>, Harry <hw.eh@verizon.net>, "john F. Tsui" <jftsui@verizon.net>, kcbellotti@aol.com, ktsmead@verizon.net, MARY ROMANELLO <maryelmr@yahoo.com>, John Rock <rockx43@aol.com>, alacombe@optonline.net, glacombe@syntax.net, kirawales@gmail.com, peter@peterarcher.com, Katie Thomas <katiekemplethomas@gmail.com>
Subject: Laura and Darryl's Response to Mead Place Parking

John,

We vote for "No Parking Anytime on the North side of Mead Place". I think it would make the street much safer (kids and pets are easier for a driver to see when they enter the street not near a parked car) and it would eliminate the issue of two cars coming from opposite directions and not able to pass to each other.

Hope that helps!

Thanks for all your effort on this.

Darryl & Laura

On Mon, May 05, 2014 at 12:32 PM, John Rock wrote:

> Hi All.
> As promised, and in response to continued frustration and congestion on the North side of the street from cars parked after 6pm weekdays and most Sat.& Sundays before 6pm, especially for those of us mostly directly impacted who live closer to the 'Y- end of the street.
> The TPC (Traffic & Parking Committee) have asked for feedback on initiatives from the last round; I think pretty successful i.e. new / larger no parking sign on the north east corner and street marking -

south side, which has definitely helped, but have asked that if we still want to press ahead with 'No Parking Anytime' on the North side we present another show of support etc. from the street.
Last time, we had mostly everyone on board and not to call anyone out or anything, but Gary & Amy (Lacombe) - you guys were not in favor of this on the last go round.
Do you think we can get you on board this time?
Also, David & Kira (Wales); you guys were opposed to the 2 hour parking on the Southside which I'm not proposing we try and push through again time, but would be great to get your full support for 'No Parking Anytime' on the North side?
Welcome also to Peter & Lynn Archer & family, our newest neighbors, who moved into Nick & Anne Jackson's house.
If everyone can let me know either way or at least if for any reason you would be opposed to moving forward with this request to the TPC.
From previous experience, if we can get full consensus, the TPC tend to move much quicker with the council to make it happen.
There is a meeting of the TPC scheduled for this Thursday 8th @ 7.30pm. If you can get back to me before then that would be great.
rgds,
John

Coyne, Ryan X.

From: John Rock [john.rockx29@gmail.com]
Sent: Friday, May 09, 2014 12:33 PM
To: Coyne, Ryan X.
Subject: Fwd: Mead Place Parking

#38 Saya

Sent from my iPhone

Begin forwarded message:

> **From:** Ellen <ellensaya@gmail.com>
> **Date:** May 6, 2014 at 8:19:52 AM EDT
> **To:** John Rock <john.rockx29@gmail.com>
> **Cc:** "andrea.tighe@yahoo.com" <andrea.tighe@yahoo.com>, "bbh1107@yahoo.com" <bbh1107@yahoo.com>, gregghowells <gregghowells@ryeymca.org>, Harry <hw.eh@verizon.net>, "john F. Tsui" <jftsui@verizon.net>, "kcbellotti@aol.com" <kcbellotti@aol.com>, "ktsmead@verizon.net" <ktsmead@verizon.net>, MARY ROMANELLO <maryelmr@yahoo.com>, John Rock <rockx43@aol.com>, "alacombe@optonline.net" <alacombe@optonline.net>, "glacombe@syntax.net" <glacombe@syntax.net>, "kirawales@gmail.com" <kirawales@gmail.com>, "peter@peterarcher.com" <peter@peterarcher.com>, Katie Thomas <katiekemplethomas@gmail.com>, "speach@optonline.net" <speach@optonline.net>
> **Subject: Re: Mead Place Parking**
>
> Reply to John:
> John
> We don't have much of an opinion either way regarding no parking anytime on the north side and would be in favor of whatever the majority of the block thought was best. Aside from the parking issue, we are concerned with the speeding that occurs on the block. Has that been a topic brought up with the committee or has this strictly been about the parking?
> The Saya's
>
> Sent from my iPhone
>
> On May 5, 2014, at 12:32 PM, John Rock <john.rockx29@gmail.com> wrote:
>
> > Hi All.
> > As promised, and in response to continued frustration and congestion on the North side of the street from cars parked after 6pm weekdays and most Sat.& Sundays before 6pm, especially for those of us mostly directly impacted who live closer to the 'Y- end of the street.
> > The TPC (Traffic & Parking Committee) have asked for feedback on initiatives from the last round; I think pretty successful i.e. new / larger no parking sign on the north east corner and street marking - south side, which has definitely helped, but have asked that if we still want to press ahead with 'No Parking Anytime' on the North side we present another show of support etc.

from the street.
Last time, we had mostly everyone on board and not to call anyone out or anything, but Gary & Amy (Lacombe) - you guys were not in favor of this on the last go round.
Do you think we can get you on board this time?
Also, David & Kira (Wales); you guys were opposed to the 2 hour parking on the Southside which I'm not proposing we try and push through again time, but would be great to get your full support for 'No Parking Anytime' on the North side?
Welcome also to Peter & Lynn Archer & family, our newest neighbors, who moved into Nick & Anne Jackson's house.
If everyone can let me know either way or at least if for any reason you would be opposed to moving forward with this request to the TPC.
From previous experience, if we can get full consensus, the TPC tend to move much quicker with the council to make it happen.
There is a meeting of the TPC scheduled for this Thursday 8th @ 7.30pm. If you can get back to me before then that would be great.
rgds,
John

Coyne, Ryan X.

From: John Rock [john.rockx29@gmail.com]
Sent: Friday, May 09, 2014 12:34 PM
To: Coyne, Ryan X.
Subject: Fwd: Mead Place Parking

#40 Bellotti

Sent from my iPhone

Begin forwarded message:

> **From:** John Rock <john.rockx29@gmail.com>
> **Date:** May 9, 2014 at 9:40:51 AM EDT
> **To:** Kathy Bellotti <kcbellotti@aol.com>
> **Subject: Re: Mead Place Parking**
>
> Thx. again.
>
> Sent from my iPhone
>
> On May 9, 2014, at 9:38 AM, Kathy Bellotti <kcbellotti@aol.com> wrote:
>
>> Hi John
>> Thanks for all you have been doing.
>> Yes we are on board with the no parking on the north side as before.
>> We have had a few showings and are hoping it sells soon.
>> Hope you are all well
>> Kathy
>> Sent from my iPhone
>>
>> On May 9, 2014, at 9:22 AM, John Rock <john.rockx29@gmail.com> wrote:
>>
>>> Hi Chris / Kathy... Hope you guys are well. For the record can you respond back if you are still ok. as before, with 'No Parking Anytime' on the north side. Everybody is on board now finally!! which is great, and the TPC have agreed to take it to the council to get it done.
>>> Saw the house on the market, hope that goes well.
>>> Rgds,
>>> Johnny
>>>
>>> Sent from my iPhone
>>>
>>> Begin forwarded message:
>>>
>>>> **From:** John Rock <john.rockx29@gmail.com>
>>>> **Date:** May 8, 2014 at 4:44:59 PM EDT
>>>> **To:** "andrea.tighe@yahoo.com" <andrea.tighe@yahoo.com>, "bbh1107@yahoo.com"

<bbh1107@yahoo.com>, Ellen Saya
<ellensaya@gmail.com>, gregghowells
<gregghowells@ryeymca.org>, Harry
<hw.eh@verizon.net>, "john F. Tsui"
<jftsui@verizon.net>, "kcbellotti@aol.com"
<kcbellotti@aol.com>, "ktsmead@verizon.net"
<ktsmead@verizon.net>, MARY ROMANELLO
<maryelmr@yahoo.com>, John Rock
<rockx43@aol.com>, "alacombe@optonline.net"
<alacombe@optonline.net>, "glacombe@syntax.net"
<glacombe@syntax.net>, "kirawales@gmail.com"
<kirawales@gmail.com>, "peter@peterarcher.com"
<peter@peterarcher.com>, Katie Thomas
<katiekemplethomas@gmail.com>,
"speach@optonline.net" <speach@optonline.net>,
"davidw@bibglaw.com" <davidw@bibglaw.com>,
"rockx43@aol.com" <rockx43@aol.com>
Subject: Re: Mead Place Parking

Hi All,
Many thanks for all your responses and support, including support this time from the Lacombe's and the Wales' - glad we finally got you guys on board!! Together with prior signed petitions from everyone etc. I think we can safely say that we now have our 100% consensus, which I can take to the TPC tonight to propose i.e. 'No Parking Anytime' on the north side. Will request also, assuming we get approval, new larger signs and removal of the current stripes on the north side.
Hopefully the TPC will accept our proposal and we can get this tabled at the next meeting of the council for sign-off.
I think this will go a long way to restoring a sense of pride on our street, and yes - more than happy to step down after 2nd term as unelected mayor c .
Thx. again. Will let you know how it goes.
John

Sent from my iPhone

> On May 5, 2014, at 12:32 PM, John Rock <john.rockx29@gmail.com> wrote:
>
> Hi All.
>
> As promised, and in response to continued frustration and congestion on the North side of the street from cars parked after 6pm weekdays and most

Sat.& Sundays before 6pm, especially for those of us mostly directly impacted who live closer to the 'Y- end of the street.

The TPC (Traffic & Parking Committee) have asked for feedback on initiatives from the last round; I think pretty successful i.e. new / larger no parking sign on the north east corner and street marking - south side, which has definitely helped, but have asked that if we still want to press ahead with 'No Parking Anytime' on the North side we present another show of support etc. from the street.

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Welcome also to Peter & Lynn Archer & family, our newest neighbors, who moved into Nick & Anne Jackson's house.

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There is a meeting of the TPC scheduled for this Thursday 8th @ 7.30pm. If you can get back to me before then that would be great.

Coyne, Ryan X.

From: John Rock [john.rockx29@gmail.com]
Sent: Friday, May 09, 2014 12:35 PM
To: Coyne, Ryan X.
Subject: Fwd: Mead Place Parking

#44 Hudson

Sent from my iPhone

Begin forwarded message:

> **From:** bbh1107@yahoo.com
> **Date:** May 9, 2014 at 9:49:09 AM EDT
> **To:** John Rock <john.rockx29@gmail.com>
> **Subject: Re: Mead Place Parking**
>
> Hi John
> A little preoccupied with sorting out all the stuff that had accumulated in 23 years of living in this house. We agree with no parking on the north side. Thanks for all the work in this!
>
> Betsy
>
> Sent from my iPhone
>
> On May 9, 2014, at 9:45 AM, John Rock <john.rockx29@gmail.com> wrote:
>
>> Hi Betsy / Derek,
>> Hope you guys are well. For the record can you respond back if you are still ok. as before, with 'No Parking Anytime' on the north side. Everybody is on board now finally!! which is great, and the TPC have agreed to take it to the council to get it done.
>> If you can respond back that would be great.
>> Heard you had good offers on your house, well done! Congrats.
>> Thx,
>> John
>>
>> Sent from my iPhone
>>
>> Begin forwarded message:
>>
>>> **From:** John Rock <john.rockx29@gmail.com>
>>> **Date:** May 8, 2014 at 4:44:59 PM EDT
>>> **To:** "andrea.tighe@yahoo.com" <andrea.tighe@yahoo.com>, "bbh1107@yahoo.com" <bbh1107@yahoo.com>, Ellen Saya <ellensaya@gmail.com>, gregghowells <gregghowells@ryeymca.org>, Harry <hw.eh@verizon.net>, "john F. Tsui" <jftsui@verizon.net>, "kcbellotti@aol.com" <kcbellotti@aol.com>, "ktsmead@verizon.net" <ktsmead@verizon.net>, MARY ROMANELLO

<maryelmr@yahoo.com>, John Rock <rockx43@aol.com>, "alacombe@optonline.net" <alacombe@optonline.net>, "glacombe@syntax.net" <glacombe@syntax.net>, "kirawales@gmail.com" <kirawales@gmail.com>, "peter@peterarcher.com" <peter@peterarcher.com>, Katie Thomas <katiekemplethomas@gmail.com>, "speach@optonline.net" <speach@optonline.net>, "davidw@bibglaw.com" <davidw@bibglaw.com>, "rockx43@aol.com" <rockx43@aol.com>
Subject: Re: Mead Place Parking

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Together with prior signed petitions from everyone etc. I think we can safely say that we now have our 100% consensus, which I can take to the TPC tonight to propose i.e. 'No Parking Anytime' on the north side. Will request also, assuming we get approval, new larger signs and removal of the current stripes on the north side.
Hopefully the TPC will accept our proposal and we can get this tabled at the next meeting of the council for sign-off.
I think this will go a long way to restoring a sense of pride on our street, and yes - more than happy to step down after 2nd term as unelected mayor g .
Thx. again. Will let you know how it goes.
John

Sent from my iPhone

> On May 5, 2014, at 12:32 PM, John Rock <john.rockx29@gmail.com> wrote:
>
> Hi All.
>
> As promised, and in response to continued frustration and congestion on the North side of the street from cars parked after 6pm weekdays and most Sat.& Sundays before 6pm, especially for those of us mostly directly impacted who live closer to the 'Y- end of the street.
>
> The TPC (Traffic & Parking Committee) have asked for feedback on initiatives from the last round; I think pretty successful i.e. new / larger no parking sign on the north east corner and street marking - south side, which has definitely helped, but have asked that if we still want to press ahead with 'No Parking Anytime' on the North side we present another show of support etc. from the street.

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There is a meeting of the TPC scheduled for this Thursday 8th @ 7.30pm. If you can get back to me before then that would be great.

rgds,

John

Coyne, Ryan X.

From: John Rock [john.rockx29@gmail.com]
Sent: Friday, May 09, 2014 12:35 PM
To: Coyne, Ryan X.
Subject: Fwd: Mead Place Parking

#42 Tighe

Sent from my iPhone

Begin forwarded message:

> **From:** Andrea Tighe <andrea.tighe@yahoo.com>
> **Date:** May 7, 2014 at 9:16:05 PM EDT
> **To:** John Rock <john.rockx29@gmail.com>
> **Cc:** "bbh1107@yahoo.com" <bbh1107@yahoo.com>, Ellen Saya <ellensaya@gmail.com>, gregghowells <gregghowells@ryeymca.org>, Harry <hw.eh@verizon.net>, "john F. Tsui" <jftsui@verizon.net>, "kcbellotti@aol.com" <kcbellotti@aol.com>, "ktsmead@verizon.net" <ktsmead@verizon.net>, MARY ROMANELLO <maryelmr@yahoo.com>, John Rock <rockx43@aol.com>, "alacombe@optonline.net" <alacombe@optonline.net>, "glacombe@syntax.net" <glacombe@syntax.net>, "kirawales@gmail.com" <kirawales@gmail.com>, "peter@peterarcher.com" <peter@peterarcher.com>, Katie Thomas <katiekemplethomas@gmail.com>, "speach@optonline.net" <speach@optonline.net>
> **Subject: Re: Mead Place Parking**
>
> John,
>
> In regards to "no parking on the North side", we are in favor of whatever the majority of the block feels is best.
>
> Jason and Andrea Tighe
>
> Sent from my iPhone
>
>> On May 5, 2014, at 12:32 PM, John Rock <john.rockx29@gmail.com> wrote:
>>
>> Hi All.
>>
>> As promised, and in response to continued frustration and congestion on the North side of the street from cars parked after 6pm weekdays and most Sat.& Sundays before 6pm, especially for those of us mostly directly impacted who live closer to the 'Y- end of the street.
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There is a meeting of the TPC scheduled for this Thursday 8th @ 7.30pm. If you can get back to me before then that would be great.

rgds,

John

Chapter 191. VEHICLES AND TRAFFIC

Part 1. General Regulations

Article III. Parking Regulations

§ 191-19. No parking any time.

[Added 5-15-1963 by Ord. No. 4-1963]
The parking of vehicles is hereby prohibited in all of the following locations:

Name of Street	Side	Location
Promulgated by City Manager with approval of City Council.		
Apawamis Avenue **[Added 1-7-1976 by Ord. No. 3-1976]**	North	From Milton Road to Midland Avenue
Apawamis Avenue **[Added 2-16-1983]**	South	Extending 40 feet east and west of Cowles Avenue
Blind Brook Lane **[Added 1-7-1976 by Ord. No. 3-1976]**	South	
Boston Post Road **[Added 12-2-1981]**	East	From northeast corner of Parsons Street to Mamaro-neck line
Boston Post Road	East	From Rectory Street to Port Chester boundary line
*Boston Post Road **[Added 12-2-1982]**	West	From Port Chester line to Mamoroneck line
Boston Post Road	West	From Rectory Street to Port Chester boundary line
*Cedar Street **[Added 12-2-1981]**	North	From Purchase Street to Post Road
Central Avenue **[Added-7-18-1979]**	Both	From Clinton Avenue to Theodore Fremd Avenue
Central Avenue **[Added 7-18-1979]**	North	From Maple Avenue to Clinton Avenue
Central Avenue **[Added 12-2-1981; repealed 10-20-1982]**		

Name of Street	Side	Location
*Chestnut Street **[Added 12-2-1981]**	West	From Orchard Avenue to Central Avenue
*Clinton Avenue	West	From High Street to Central Avenue
*Cottage Street **[Added 9-21-1983]**	Both	From Midland Avenue to the Port Chester line
Davis Avenue **[Added 2-4-1970 by Ord. No. 1-1970]**	East	From Manursing Avenue to Sylvan Place
Elizabeth Street **[Added 2-4-1970 by Ord. No. 1-1970; amended 4-15-1970 by Ord. No. 4-1970]**	South	
Evergreen Avenue **[Added 12-4-1996]**	All	On all three sides of the triangle abutting Grandview Avenue and Evergreen Avenue
*First Street	Both, except within designated parking area	
*First Street **[Added 12-2-1981]**	Both	From Purdy Avenue to Station Plaza
*First Street **[Added 12-2-1981]**	West	From loading zone from Purdy Avenue to Smith Street
*Forest Avenue **[Added 12-2-1981]**	East	From Cornell Place to Playland Parkway
Gramercy Avenue **[Added 10-4-1989]**	Both	
Grandview Avenue	East	From High Street to Cedar Street
Grandview Avenue **[Amended 5-16-2001]**	West	From the northern property boundary of Rye Country Day School property on the west side of Grandview Avenue to Cedar Street
Grapal Street **[Added 12-18-2002]**	Both	From Grace Church Street to a point 30 feet southwest of its intersection with Grace Church Street
Hammond Road **[Added 6-17-1969 by Ord. No. 3-1969]**	Both	

Name of Street	Side	Location
Harbor Terrace Drive **[Added 5-27-1976 by Ord. No. 6-1976]**	East	To Westbank Road
Harbor Terrace Drive **[Added 5-27-1976 by Ord. No. 6-1976]**	South	From Westbank Road to Hix Avenue
*Haviland Lane **[Added 12-2-1981]**		Parking lot side of main firehouse — "Firemen Only"
Hewlett Avenue	East	From the crosswalk opposite the southerly entrance of the driveway which runs along the easterly side of Milton School for a distance of 50 feet northerly
Hewlett Avenue **[Repealed 6-17-1992]**		
*Highland Road **[Added 12-2-1981]**	South	From Mendota Avenue to Purchase Street
*Highland Road **[Added 12-2-1981]**	West	Harrison line to Club Road
*High Street	North	From Summit Avenue to Clinton Avenue
Hillside Road **[Added 6-21-1989]**	Both	From Purchase Street to Boston Post Road
*LaSalle Avenue **[Added 6-21-2000]**	East	At the terminus for a distance of 50 feet
*LaSalle Avenue **[Added 6-21-2000]**	West	At the terminus for a distance of 35 feet
*Locust Avenue **[Amended 12-2-1981]**	Both	From Purchase Street to the easterly corner of Mead Place
Locust Avenue	Both	From Theodore Fremd Avenue to Harrison boundary line
Locust Avenue **[Added 2-16-1994]**	North	From the easterly end of Mead Place to Theodore Fremd Avenue
*Manursing Avenue **[Added 12-2-1981]**	North	From Davis Avenue to Midland Avenue
*Manursing Avenue **[Added 10-20-1999]**	South	From Davis Avenue east to Forest Avenue
*Maple Avenue	East	From North Street to Locust Avenue

Name of Street	Side	Location
[Added 12-2-1981]		
Maple Avenue	West	From North Street to Locust Avenue
*Mead Place **[Added 12-2-1981]**	West	Across from side of YMCA Locust Avenue to curve in road
Mead Place	North	Entire length of street
*Midland Avenue **[Added 12-2-1981; repealed 8-16-1995]**		
Midland Avenue **[Added 8-16-1995; amended 1-20-2010 by L.L. No. 1-2010]**	East	Ellis Court to Grace Church Street
*Midland Avenue **[Added 3-19-1997]**	East	From a point 20 feet north of northerly entrance to Midland School circle from 8:15 a.m. to 8:45 and from 2:30 p.m. to 3:30 p.m. Monday through Friday
Midland Avenue **[Added 12-2-1981]**	East	From entrance ramp of New England Thruway to Cottage Street
*Midland Avenue **[Added 12-2-1981]**	West	From Cottage Street to Peck Avenue
*Milton Road **[Added 12-2-1981]**	East	Palisade Road to Halstead Lane then from Hewlett Avenue to Stuyvesant Avenue
*Milton Road **[Added 12-2-1981]**	West	Parsons Street to Brookdale Place
Natoma Street **[Added 1-7-1976 by Ord. No. 3-1976]**	South	
*North Street **[Added 12-2-1981]**	Both	From Old Post Road to Harrison line
*Oakland Beach Avenue **[Added 12-2-1981]**	Both	From Post Road to Milton Road
*Orchard Avenue **[Added 2-19-1964]**	South	Entire length
*Palisade Road **[Added 2-19-1964]**	Both	From a point 153 feet east of the intersection with Richard Place to a point 158 feet west of the intersection with

Name of Street	Side	Location
		Midland Avenue
*Palisade Road **[Added 12-2-1981]**	North	From a point 153 feet east of the intersection with Richard Place to a point 158 feet west of the intersection with Midland Avenue
*Palisade Road **[Added 12-2-1981]**	South	From a point 153 feet east of the intersection with Richard Place to Midland Avenue
Parsons Street **[Added 12-2-1981]**	North	Milton Road to Post Road, except Sundays
Pondview Road **[Added 9-22-1977 by Ord. No. 5-1977]**	Both	From northerly driveway to Theodore Fremd Avenue
Purdy Avenue	Both	From Purchase Street to First Street
Purdy Avenue **[Added 2-4-1970 by Ord. No. 1-1970]**	North	From Boston Post Road to east side of post office property
Purdy Avenue **[Added 2-4-1970 by Ord. No. 1-1970]**	North	From Third Street to a point 50 feet west thereof
Purdy Avenue **[Added 2-4-1970 by Ord. No. 1-1970]**	South	From School Street to Boston Post Road
*Rectory Street **[Added 12-2-1981; amended 5-18-1994; 7-20-1994]**	North	Entire length, except Sundays
*Rye Beach Avenue **[Added 12-16-1998; repealed 2-3-1999]**		
Rye Beach Avenue **[Added 4-5-2006]**	South	From Forest Avenue to Old Rye Beach Avenue
School Street **[Amended 11-17-1976 by Ord. No. 13-1976]**	East	
Second Street	Both	
Smith Street	Both	
*Summit Avenue	East	From High Street to Locust Avenue

Name of Street	Side	Location
Theodore Fremd Avenue	Both	From Purchase Street to entrance of Car Park No. 2
*Walnut Street **[Added 12-2-1981]**	West	From Orchard Avenue to Central Avenue
West Purdy Avenue		

Chapter 191. VEHICLES AND TRAFFIC

Part 1. General Regulations

Article III. Parking Regulations

§ 191-19.1. Parking prohibited certain hours.

[Added 8-13-1997]
No person shall park a vehicle between the hours listed upon any of the following described streets or parts of streets:

Name of Street	Side	Hours	Location
Fairway Avenue **[Added 2-28-2001]**	West	When school is in session, from 8:00 a.m. to 9:00 a.m. and 2:30 p.m. to 3:30 p.m.	From Hewlett Avenue to Valleyview Avenue
Hewlett Avenue **[Added 2-28-2001]**	West	When school is in session, from 8:00 a.m. to 9:00 a.m. and 2:30 p.m. to 3:30 p.m.	Between the crosswalks extending from Robert Crisfield Place to the fire lane driveway entrance to the driveway exit
~~Mead Place **[Added 7-15-2009 by L.L. No. 7-2009]**~~	~~North~~	~~Monday through Saturday from 7:00 a.m. to 6:00 p.m.~~	~~Entire length of street~~
Parsons Street	South	7:30 a.m. to 8:30 a.m.	Between the Middle School and High School driveways
Parsons Street **[Added 8-5-2013]**	South	2:00 p.m. to 4:00 p.m., for the duration of the Rye City School District construction	Between the Middle School and High School driveways

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CITY COUNCIL AGENDA

NO. 10 DEPT.: Corporation Counsel DATE: June 11, 2014

CONTACT: Kristen K. Wilson, Esq., Corporation Counsel

AGENDA ITEM: Consideration of referral to the Board of Architectural Review and the Planning Commission, the request from the Landmarks Advisory Committee to landmark the Rye Meeting House and the Bird Homestead.

FOR THE MEETING OF:
June 11, 2014

RYE CITY CODE,
CHAPTER
SECTION

RECOMMENDATION: That the Council refer the request from the Landmarks Advisory Committee to the BAR and Planning Commission.

IMPACT: ☐ Environmental ☐ Fiscal ☒ Neighborhood ☐ Other:

BACKGROUND: The Landmarks Advisory Committee is seeking approval to landmark the City-owned properties: the Rye Meeting House and the Bird Homestead. Both properties have already been listed on the State and National Registers of Historic Places. The City Council is asked to refer the request to the Board of Architectural Review (BAR) and the Planning Commission who will provide a written assessment to the Council.

See attached.

Hello Ms. Nodarse,

This memorandum is to notify you that at our May 28, 2014 meeting, the Landmarks Commission voted to confirm the request to landmark the Bird House and the Meeting House, along with Milestones #25 and #26.

Our feeling is that the City Clerk's office wants the Counsel to hold off the landmarking of the Bird House and Meeting House until Milestones #25 and #26 are placed in their new locations in order to save money and to follow procedure.

If you have any questions or would like to discuss further please do not hesitate to contact me.

Sincerely yours,

Jack Zahringer
Chairman; Landmarks Commission
City of Rye

CITY COUNCIL AGENDA

NO. 11 DEPT.: City Council DATE: June 11, 2014

CONTACT: Mayor Joseph A. Sack

AGENDA ITEM: Discussion regarding ways to engage in historic preservation and maintain the intrinsic character of Rye's community by keeping the Smoke Shop as a central meeting place in Rye.

FOR THE MEETING OF:
June 11, 2014

RYE CITY CODE,
CHARTER
SECTION

RECOMMENDATION:

IMPACT: ☐ Environmental ☐ Fiscal ☒ Neighborhood ☐ Other:

BACKGROUND: The Council is concerned about historic preservation and maintaining the intrinsic character of Rye's community. The Smoke Shop is seen as a central meeting place in Rye and is considered a "local landmark". The building's importance was recognized by letters of support from Rye citizens and a request to landmark the building. The Council is asked to discuss ways to engage in historic preservation to maintain the character of Rye.

CITY COUNCIL AGENDA

NO. 12 DEPT.: City Manager's Office DATE: June 11, 2014

CONTACT: Frank J. Culross, City Manager

AGENDA ITEM: Discussion of the recommendation by the Traffic and Pedestrian Safety Committee that a Pilot Study be conducted to test the effect of reducing the speed limit to 25 miles per hour on Stuyvesant Avenue, and/or to remove the rocks and belgian block in the City right of way.

FOR THE MEETING OF:
June 11, 2014

RYE CITY CODE,
CHAPTER
SECTION

RECOMMENDATION: That the Council consider the Speed Limit Modification Pilot Study for Stuyvesant Avenue proposed by the Traffic and Pedestrian Safety Committee.

IMPACT: ☐ Environmental ☐ Fiscal ☒ Neighborhood ☐ Other:

BACKGROUND: The Traffic and Pedestrian Safety Committee has been reviewing the speed limits on Stuyvesant Avenue at the request of residents. The recommendation is to conduct a Speed Limit Modification Pilot Study whereby the speed limit would be reduced to 25 mph for the length of Stuyvesant Avenue. The Pilot Period would be for a one year period with speed measurements performed during the club season and during the off-season. An additional consideration for the Council is the removal of the rocks and Belgian block placed in the City right of way.

See attached: Information from the Traffic and Pedestrian Safety Committee
Information supplied by City of Rye resident Jennifer Neren

CITY OF RYE
MEMORANDUM

TO: Mayor Sack and City Council

ALSO TO: S. Pickup, C. Miller, R. Coyne, RPD

FROM: Traffic and Pedestrian Safety Committee

SUBJECT: Speed Limit Modification Pilot Study – Stuyvesant Avenue

DATE: February 10, 2014

The Traffic and Pedestrian Safety Committee (TPS) has been reviewing the speed limits on Stuyvesant Avenue at the request of some residents who live on or near Stuyvesant Avenue.

Background

Over the years, the TPS has received requests from various residents to adjust speed limits on certain streets from the City's speed limit of 30 mph to 25 mph. In 2003, the TPS along with the City Council reviewed the lowering of speed limits and were not in favor of it due to the opinion that it would be difficult to enforce and would have limited impact.

The change was also reviewed at times by the TPS and the Assistant City Manager as well as the City Attorney and based upon an interpretation of State Law from the City Attorney at those times, it did not appear that the roadways in the City could be reduced to 25 mph. The latest version of the State Law is provided below. The requests have come for various locations such as the entire Greenhaven area, Kirby Lane, and others, with the latest coming from initially one resident who live on a side street of Stuyvesant Avenue. There is a safety benefit if vehicles actually drive slower.

As Rye is a City, the New York State Vehicle and Traffic Law (V&T) states that the City-wide Speed Limit has to be 30 mph. If Rye was a Town, then the Town-wide Speed Limit could be 25 mph. The (V&T) states,

Effective: August 17, 2012

McKinney's Consolidated Laws of New York Annotated Currentness
Vehicle and Traffic Law (Refs & Annos)
Chapter Seventy-One. Of the Consolidated Laws (Refs & Annos)
Title VIII. Respective Powers of State and Local Authorities
Article 39. Regulation of Traffic by Cities and Villages (Refs & Annos)
➡➡ **§ 1643. Speed limits on highways in cities and villages**

The legislative body of any city or village with respect to highways (which term for the purposes of this section shall include private roads open to public motor vehicle traffic) in such city or village, other than state highways maintained by the state on which the department of transportation shall have established higher or lower speed limits than the statutory fifty-five miles per hour speed limit as provided in section sixteen hundred twenty of this title, or on which the department of transportation shall have designated that such city or village shall not establish any maximum speed limit as provided in section sixteen hundred twenty-four of this title, subject to the limitations imposed by section sixteen hundred eighty-four of this title may by local law, ordinance, order, rule or regulation

establish maximum speed limits at which vehicles may proceed within such city or village, within designated areas of such city or village or on or along designated highways within such city or village higher or lower than the fifty-five miles per hour maximum statutory limit. No such speed limit applicable throughout such city or village or within designated areas of such city or village shall be established at less than thirty miles per hour; except that in the city of Long Beach, in the county of Nassau, speed limits may be established at not less than fifteen miles per hour on any portion of the following highways in such city: Cleveland avenue, Harding avenue, Mitchell avenue, Belmont avenue, Atlantic avenue, Coolidge avenue, Wilson avenue and Taft avenue. No such speed limit applicable on or along designated highways within such city or village shall be established at less than twenty-five miles per hour, except that school speed limits may be established at not less than fifteen miles per hour, for a distance not to exceed one thousand three hundred twenty feet, on a highway passing a school building, entrance or exit of a school abutting on the highway and except that within the cities of Buffalo and Rochester speed limits may be established at not less than fifteen miles per hour for any portion of a highway within a city park.

Over the years, TPS has obtained various interpretations on the full meaning of the above (or earlier versions of the law as the wording was confusing) as the request to change speed limits to 25 mph has been brought up before. The latest interpretation indicates that selective roadways can be changed to a 25 mph.

While some TPS members are strongly in favor of this change in speed limit, there are also those on the TPS who feel that changing the speed limit on Stuyvesant Avenue will not have any significant effect as people drive at the speed that they are comfortable at and thus will not have the desired impact. Another concern is if one street is made 25 mph, then others may request the same, such as Forest Avenue. Logically, why would a collector street like Stuyvesant Avenue have a lower speed limit than a smaller purely residential street like Halls Lane?

The United States Department of Transportation – Federal Highway Administration in its Study entitled “Effects of Rising and Lowering Speed Limits on Selected Roadway Sections” states that “neither raising nor lowering the speed limit had much effect on vehicle speeds. The mean speeds and the 85th percentile speeds did not change more than 1 or 2 mph”. It further states that the percent compliance decreased when the speed limits were lower.

Thus, the TPS has decided that Stuyvesant Avenue be utilized as a Pilot Study to see if changing the speed limit has any true impact.

Aside from the requests from residents, Stuyvesant Avenue was determined to be an appropriate road for the test due to its unique nature of different factors including:

- No sidewalks (sidewalks would be difficult to install)
- Narrow lanes
- Horizontal and vertical curvature
- Side streets and residential driveways
- Old growth trees
- Significant number of pedestrians and joggers
- Significant number of bicyclists
- Number of children in area
- Limited areas for enforcement
- Sight distance around curves and vegetation
- Proximity to Milton School and ability to walk to
- Mix of uses – residential and the clubs
- Seasonal fluctuation in traffic

- Serves as an emergency and evacuation route
- General support of residents in area based upon informal poll

Speed Studies

The City Engineering Department has performed speed studies on Stuyvesant Avenue during the past year to measure the existing speeds. The speeds were measured both when the clubs were fully operating and during the off-season for the clubs. The speed measurements taken during the summer actually showed lower speeds than during the off-season measurements. This could be the result of two factors, (1) the speed measurements were taken at two different locations and (2), during the summer, there are more people walking and bicycling which slows up the traffic somewhat. During this time (August), the 85th percentile speed, the speed that speed limits are generally to be set at, was approximately 31 mph (Average speed 25-26 mph) and thus the speed limit of 30 mph appears appropriate. The speed studies taken during the off-season (November) indicated an 85th percentile speed of 34-35 mph (Average speed 27 mph). Thus, a higher percentage of vehicles were exceeding the 30 mph speed limit. It should also be noted that during the summer, the speed counts showed that there is about twice the amount of traffic than during the other parts of the year (approximately 3,000 vehicles per day versus 1,500).

Other Measures

The TPS and City Engineer have reviewed other measures in regards to speeds along Stuyvesant Avenue and received input from some of the residents. Preliminary discussions were held with the City's Emergency Service Departments. The City has installed measures at the intersection of Stuyvesant Avenue and Milton Road/Old Milton Road including a median. This was previously attempted with bollards but they did not last. Consideration was also given to stop signs (not desired), raised crosswalks/speed humps (these would violate the City Speed Hump Policy as Stuyvesant is classified as an Emergency Road), standard crosswalks (not recommended due to no sidewalks).

Before the Pilot Study is enacted, this policy should be reviewed by the City Council as well as the Police Department.

Pilot Study Methodology

The first portion of the Pilot Study would consist of, upon approval of City Council, Corporate Council and the Police Department, to lower the speed limit on Stuyvesant Avenue to 25 mph for a one year period. Speed Measurements would be performed at the two previous locations during the club season and during the off-season.

After the one year period, speed radar signs would then be installed in each direction alerting drivers of their speed. Speed measurements would again be taken and compared to the previous measurements to study the changes and whether this should be pursued further.

This Pilot Study could be used as the basis for other locations in the future.

Presentation to Rye City Council

Stuyvesant Avenue Safety Initiative:

Speed-Related Pedestrian, Cyclist and Motorist Safety on Stuyvesant Avenue

June 11, 2014

CONTENTS

SECTION:	PAGE #
INTRODUCTION	3
DISCUSSION HIGHLIGHTS	4
SPEED MATTERS	
• ACCIDENT FREQUENCY	5
• SEVERITY OF COLLISIONS	6
• STOPPING DISTANCE	8
• STOPPING DISTANCE & SEVERITY OF COLLISIONS	9
• STOPPING DISTANCE & COLLISION SPEED	10
SETTING SPEEDLIMITS	
• EVOLUTION OF CRITERIA	11
• LIMITATIONS OF THE 85th PERCENTILE RULE	12
SPEED REDUCTION INITIATIVES	
• UNITED KINGOM	13
• VISION ZERO	14
• NYC NEIGHBORHOOD SLOW ZONES	15
STUYVESANT AVENUE	
• DAILY VEHICLE VOLUME	16
• FATAL COLLISION SPEEDS	17
• PHYSICAL CONSTRAINTS	18
• CHILD WITH SPECIAL NEEDS	19
TRAFFIC CALMING TOOLBOX	
• OVERVIEW	20
• TOOLS NOT APPLICABLE ON STUYVESANT AVENUE	21
• DEVICE EFFECTIVENESS AND EMERGENCY RESPONSE IMPACT	22
• STUYVESANT AVENUE OPPORTUNITIES	23
APPENDIX	

INTRODUCTION

Pedestrian safety on Stuyvesant Avenue is a multi-faceted challenge, with a unique set of conditions and constraints:

- Restricted horizontal sight distance (due to road curvature)
- Numerous points of restricted view (due to mature trees and other plants)
- Narrow shared roadway with no shoulder
- Belgian block and curb line boulders
- High volume of non-resident vehicles
- Restrictions on physical traffic calming measures, given the unimpeded access required for emergency response vehicles

Magnifying these concerns, are the increased vehicle stopping distances, as well as the documented and notably high pedestrian fatality rates, at collision speeds over 20 miles per hour.

The following presentation seeks to specify and illustrate:

- Pedestrian fatality risks associated with speeds over 20 mph on shared roadways
- Quantifiable reduction in collision casualties and fatalities resulting from reduced speeds
- Characteristics of Stuyvesant Avenue that magnify the speed-related risks, defining the need for traffic calming
- Proposed traffic calming strategies suitable for Stuyvesant Avenue

DISCUSSION HIGHLIGHTS

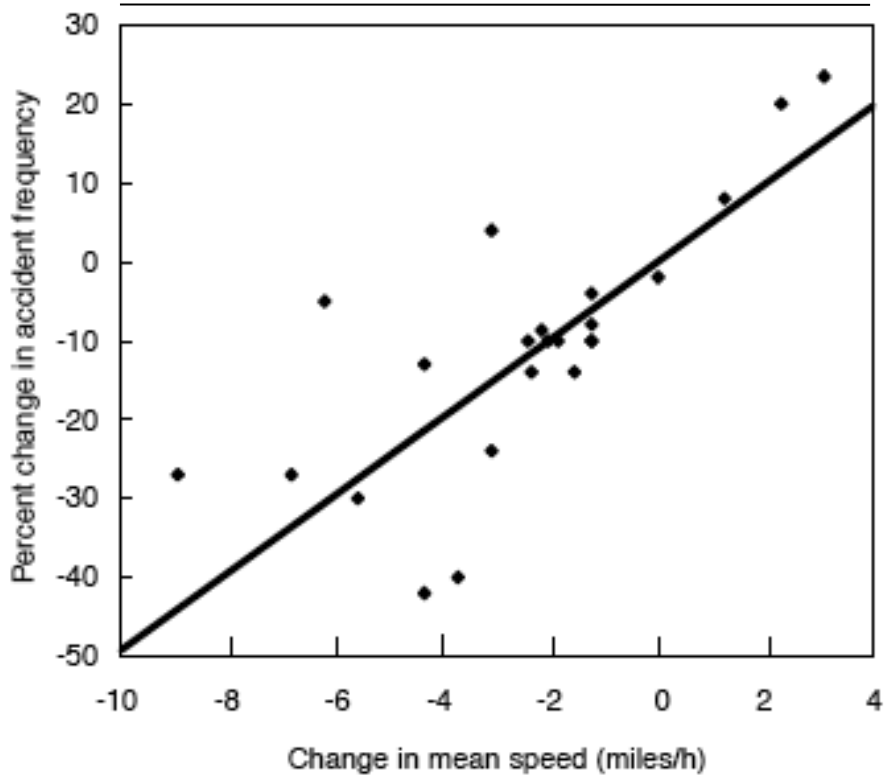
Driver/passenger safety vs. pedestrian safety	Design and technological advancements continue to emphasize safety inside cars, insulating drivers from the effects of speed more than ever before	Most vulnerable groups - pedestrians and cyclists that share the roadways - exposed to greatest danger
↑ Speed : ↑ Collision Severity and Fatalities	Speed is the defining factor in collision severity and pedestrian fatalities	Collisions at 30 mph 8 times more likely to kill the pedestrian than collisions at 20 mph[1]
85th percentile speed ≠ Safe speed for pedestrians	85th percentile measures what drivers think is a safe speed for themselves, inside their cars *(Most drivers unaware of pedestrian fatality risks at collision speeds above 20mph)*	85th percentile speed does not measure pedestrian safety
↓Speed : ↓Collision Severity and Fatalities	Programs to reduce vehicle speeds on shared roadways implemented successfully across Europe	UK initiatives (e.g.) "20's Plenty for Us" and the "20 MPH Zone" reduced speeds, collisions, casualties and fatalities successfully
30 mph unsafe for shared residential roadways	30 mph unsafe on shared roadways with high vehicle volume, restricted views, no sidewalks, physical deterrents, etc., and high pedestrians/cyclist volume	High volumes and physical constraints magnify unsafe conditions presented by speeds over 20 mph on Stuyvesant Avenue

[1]National Center for Safe Routes to School

SPEED MATTERS: ACCIDENT FREQUENCY

There is a direct positive correlation between increases in vehicle speed and accident frequency.

Change in Speed vs. Change in Accident Frequency [1]



[1]Road Safety Web Publication No. 16 - Relationship between Speed and Risk of Fatal Injury: Pedestrians and Car Occupants, D. C. Richards, Transport Research Laboratory, Department for Transport ("DfT"): London, September 2010

SPEED MATTERS: SEVERITY OF COLLISIONS

A small increase in traffic speed results in a disproportionately large increase in pedestrian fatalities.

COLLISIONS AT 30 MPH ARE EIGHT TIMES MORE LIKELY TO KILL THE PEDESTRIAN COLLISIONS AT 20 MPH[1]

COLLISION SPEED	FATALITY RISK
20 MPH	5%
30 MPH	45%
40 MPH	85%

Driver distraction and speeding rank above drunk driving as the leading causes of motor vehicle accidents[2].

Most drivers are not aware that a 5-10 mph difference in speed can be critical to whether a pedestrian lives or dies when struck by a car.

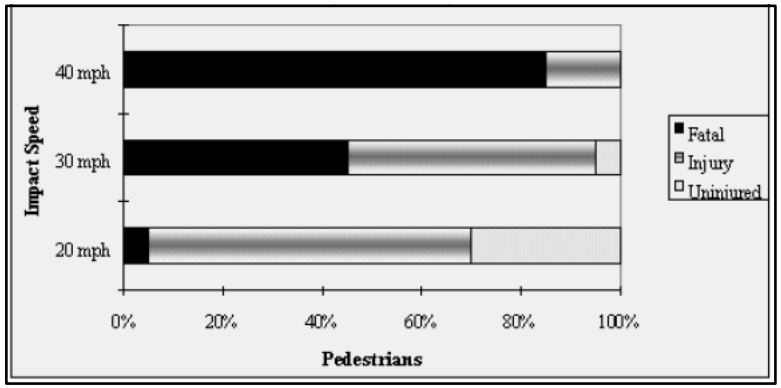
Pedestrian injuries and fatalities due to speed and driver distraction are not "accidents", they are the result of traffic violence, and are preventable.

[1]National Center for Safe Routes to School
[2]National Highway Traffic Safety Administration ("NHTSA")

SPEED MATTERS: SEVERITY OF COLLISIONS – ADDITIONAL DATA SOURCES

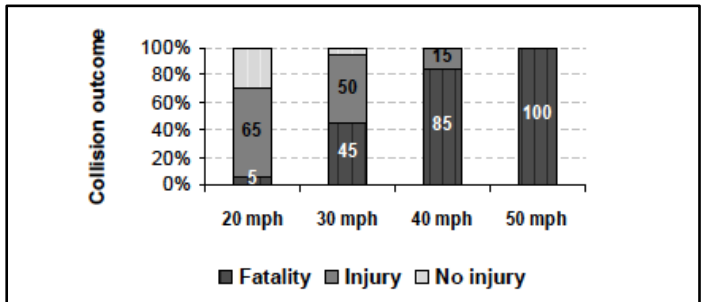
The relationship between collision speed and injury severity and fatality rates has been researched, quantified and published globally…Comparable findings are cited in a number of references across borders.

Impact Speed and Pedestrian Injury/Fatality



Source: UK Department of Transport Traffic Advisory Unit (DoT-TAU), Traffic Advisory Leaflet, 1993 (Also published by U.S. Department of Transportation, National Highway Traffic Safety Administration)

Impact Speeds and Pedestrian Injury/Fatality



Effect of impact speed on pedestrian fatality and injury (U.S. Department of Transportation, Leaf WA, Preusser DF 1999)

Source: MANAGING PEDESTRIAN SAFETY I: INJURY SEVERITY, Department of Urban Design and Planning, University of Washington, and Washington State Transportation Center (TRAC), February 2007

Collision Speed	Odds of Pedestrian Fatality[1]	Odds of Pedestrian Fatality[2]
20 mph	5%	5%
30 mph	45%	37%
40 mph	85%	83%

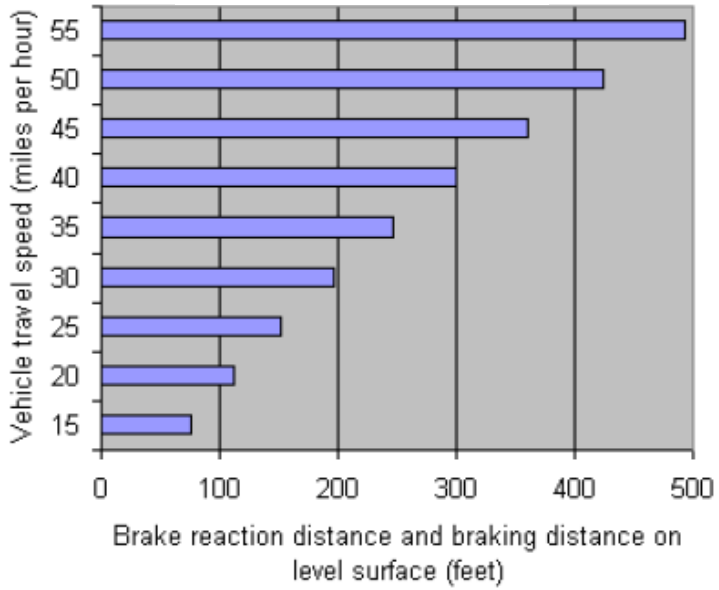
Source 1: Killing Speed and Saving Lives, UK Dept. of Transportation, London, England. See also Limpert, Rudolph. Motor Vehicle Accident Reconstruction and Cause Analysis. Fourth Edition. Charlottesville, VA. The Michie Company, 1994, p. 663

Source 2: Vehicle Speeds and the Incidence of Fatal Pedestrian Collisions prepared by the Australian Federal Office of Road Safety, Report CR 146, October 1994, by McLean AJ, Anderson RW, Farmer MJB, Lee BH, Brooks CG

SPEED MATTERS: STOPPING DISTANCE

Slower vehicle speeds allow drivers to stop in a shorter distance and reduce the chance of injuring a pedestrian or bicyclist.

Stopping Sight Distance[1]

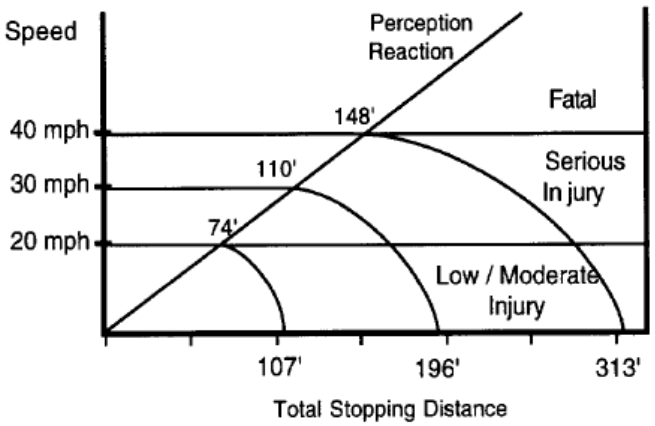


[1] American Association of State Highway and Transportation Officials (AASHTO). (2001). Chapter 3: Elements of design. Policy on geometric design of highways and streets. Washington, DC

SPEED MATTERS: STOPPING DISTANCE & SEVERITY OF COLLISIONS

High speeds reduce the amount of time that drivers have to process information while at the same time increasing the amount of time it takes to bring a vehicle to a complete stop.[1]

Accident Severity with Vehicles at Various Speeds



A vehicle traveling at 20-25 MPH can decelerate and stop to avoid hitting a pedestrian; a vehicle traveling at 40 MPH cannot:

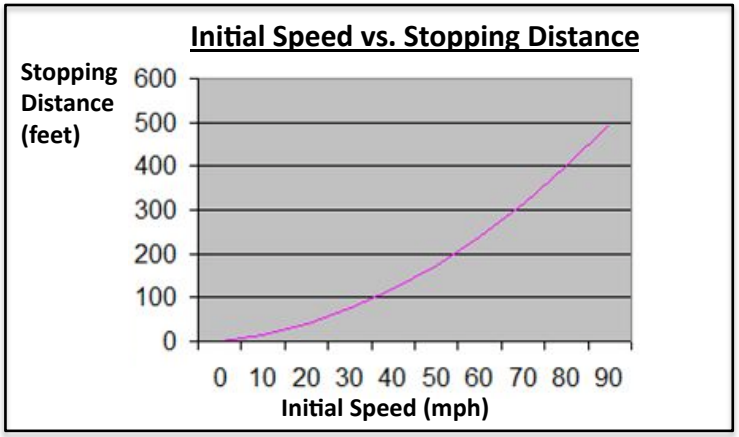
At 40 mph, the average driver who sights a pedestrian in the road 100 feet ahead will still be travelling 38 mph on impact.[3]

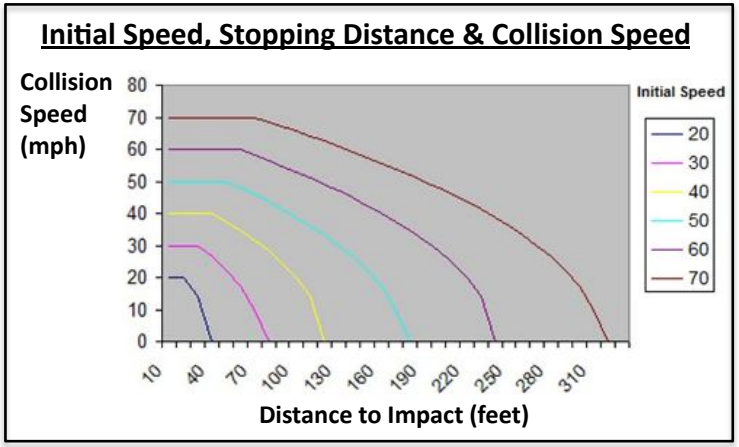
Driving at 25 mph, the driver will have stopped before the pedestrian is struck.

[1] Vision Zero: How Safer Streets in New York City Can Save More Than 100 Lives a Year, Transportation Alternatives, June 2011
[2] McLean AJ, Anderson RWG, Farmer MJB, Lee BH, Brooks CG. Vehicle Speeds and the Incidence of Fatal Pedestrian Collisions - Volume 1. Federal Office of Road Safety, Australia. See also Traditional Neighborhood Development Street Design Guidelines. Transportation Planning Council Committee 5P-8, Institute of Transportation

SPEED MATTERS: STOPPING DISTANCE & COLLISION SPEED

Higher speed incurs higher risk of a crash, disproportionately higher collision speeds, and more serious consequences.





Source: Brake.org.uk: http://www.brake.org.uk/info-resources/info-research/road-safety-factsheets/15-facts-a-resources/facts/685-speedscience

Higher Speed is Disproportionately More Risky

Theory: At 30 mph, a crash *could* be avoided *if* a stopping distance of 75 feet, or 5 car lengths, were clear (no trees, curves in road, other obstructions) and driver reaction/thinking time were immediate.

Reality: 5 car lengths is too much in a built-up area with many vehicles, cyclists and pedestrians, especially children, in close proximity to the road.

3 car lengths, the estimated stopping distance at just over 20 mph, is the most that could reasonably be expected.

SETTING SPEEDLIMITS: EVOLUTION OF CRITERIA

Across Europe standards for setting speed limits have evolved over time as societies have set different priorities for their road systems…Sweden is a good example.

Factors Determining Speed Limits

Evolution of Criteria for Setting Speed Limits[1]

50+ years ago: Limits set using 85th percentile speed to reflect *drivers'* behavior

Road design factors (sight distance, road curvature, etc.) came into account as analyses of crash data revealed growing speed-related problems.

Economic trade-off later introduced, with cost-benefit analysis of road projects using estimates of the 'value of time' savings to justify investment.

Today: Death and injury set as an absolute priority; speed management system as a whole is based on this philosophy.

[1]"Setting Appropriate, Safe, and Credible Speed Limits", European Transport Safety Council ("ETSC"), based on research from: WHO/FIA/GRSP/World Bank (2008) *Speed Management – A road Safety manual for decision-makers and practitioners*. GRSP, Geneva.

SETTING SPEEDLIMITS: LIMITATIONS OF THE 85th PERCENTILE RULE

Speed limits should be set to limit casualty risk…driver choices and perception of personal safety do not equate to pedestrian safety.

85th Percentile Speed

Theory:

- Most drivers will take road conditions into account and choose a reasonably safe speed.
- Majority of drivers are "reasonable and prudent", do not want to have a crash, desire to reach their destination in the shortest possible time.

Limitations of the 85th Percentile Rule

Reality:

- Drivers choose speeds safe for *themselves*, not necessarily speeds safe for others sharing the road: pedestrians and bicyclists.
- Most "reasonable and prudent" drivers not familiar with or considering pedestrian fatality statistics and choose higher travel speed than is safe for pedestrians.
- *Motorist* determines the speed, but *pedestrian* incurs nearly all personal costs of collision, including physical injury, resulting personal pain and suffering, and loss of time and mobility.

SPEED REDUCTION INITIATIVES: UNITED KINGOM

Across the UK, speed limits have been reduced to 20 mph in residential areas since 2008 to reduce the number of fatalities on the roads…"20's Plenty for Us" and the "20 MPH Zone" initiatives have successfully reduced speeds, collisions, casualties and fatalities.

20 MPH Zones

↓

Average Speeds DECREASED by 9 MPH[1]

↓

	Child Pedestrians[1]		All Pedestrians[1]	
	Casualties	Killed or Seriously Injured ("KSI")	Casualties	Killed or Seriously Injured ("KSI")
Pedestrian	↓ 48%	↓ 61%	↓ 40%	↓ 50%
Cyclist	↓ 59%	↓ 60%	↓ 33%	↓ 50%
Car Occupant	↓ 51%	↓ 47%	↓ 57%	↓ 77%

[1] "Inappropriate Speed", ROSPA, Royal Safety for the Prevention of Accidents, December 2011

SPEED REDUCTION INITIATIVES: VISION ZERO

Speed related pedestrian collisions are not "accidents", they are avoidable…Vision Zero is set of comprehensive policies developed in Sweden, now being adopted in NYC, aimed at a future in which no one is killed or seriously injured by traffic.

Vision Zero: NYC's Goal of Eliminating Traffic Deaths Within a Decade

- *"We Have to Act Right Now to Protect Lives"*[1]
- Default speed limits on streets filled with pedestrians shouldn't be at a level that could be fatal to pedestrians
- Over the last five years, 70 percent of pedestrian fatality incidents involve speed or failure to yield
- Number one cause of injury-related death for children under age 12 in New York City is being struck by a car.
- Children are physically unable to accurately perceive speed of cars approaching at over 20 mph.
- For every mile per hour driver speeds are reduced on pedestrian-dense streets, number of crashes decreases six percent.
- Widespread use of speed cameras in Washington, DC, resulted in a 76 percent reduction in traffic fatalities…police can't be everywhere to catch speeders, but cameras can.
- London's pedestrian fatality rate has fallen faster than New York's in part, because of stronger laws against dangerous drivers and robust automated enforcement.

[1]NYC Mayor's Office and Vision Zero: How Safer Streets in New York City Can Save More Than 100 Lives a Year, Transportation Alternatives, June 2011

SPEED REDUCTION INITIATIVES: NYC NEIGHBORHOOD SLOW ZONES

Modeled after the success of the UK based 20 mph zones, Neighborhood Slow Zones are a community-based program, that reduces the speed limit from 30 mph to 20 mph and add safety measures to change driver behavior.

Mayor Bloomberg (2013): "Speeding is the single greatest contributing factor in traffic fatalities in our City. Slow Zones have shown proven results in curbing dangerous driving and we want more neighborhoods to benefit from the program."

October 10, 2013: Mayor Bloomberg, Commissioner Sadik-Khan Announce Citywide Expansion of Neighborhood Slow Zones to Combat Speeding on Residential Streets and Further Improve Record Traffic Safety.

Speed limit to be reduced to 20 mph in 15 neighborhoods across five boroughs.

Speed bumps, markings and other traffic-calming measures added to reduce speeding in residential areas.

Slow zones now cover more than 65 miles of city streets, joining speed cameras, record speed-bump installation and other efforts to reduce dangerous driving.

Additional Information: Neighborhood Slow Zones, New York City Department of Transportation, 2013
http://www.nyc.gov/html/dot/html/motorist/slowzones.shtml

STUYVESANT AVENUE: DAILY VEHICLE VOLUME

Collision risk increases with increased traffic volume…High vehicle volumes magnify unsafe conditions already presented by speeds over 20 mph on Stuyvesant Avenue.

Average Daily Vehicle Volumes[1]

Time Frame	Southbound (toward clubs/ Wainwright)	Northbound (toward Milton Road)	Total
FALL 2013			
8:30am – 9:00am	44	18	62
7:45am – 9:00am	84	39	123
12:00am – 11:59pm *(24 hour period)*	708	819	1,527
SUMMER 2013			
8:30am – 9:00am			170
7:45am – 9:00am			260
12:00am – 11:59pm *(24 hour period)*			3,000

Key Observations

- Over 1,500 vehicles per day off season
- 3,000 vehicles per day in the summer
- Southbound volumes spike during the "morning rush" when children could be walking to school
- 44 (approximately) private homes on Stuyvesant on Stuyvesant Avenue, four on Topsail Lane, suggesting majority of traffic is not Stuyvesant Avenue residents

(Note: residents living the section of Stuyvesant north of 152 Stuyvesant, and on Barron, Halls, Overhill and Green most likely not represented in Fall 2013 figures)

[1]August 2013 and November 2013 speed studies at Overhill and Stuyvesant Avenue, respectively.

STUYVESANT AVENUE: FATAL COLLISION SPEEDS

During the summer *and* the fall, over 1,000 vehicles per day are traveling at high fatality risk collision speeds.

Volume at Speeds Exceeding 20 MPH

Speed Exceeded	Average Daily Volume	
	Fall	Summer
20 MPH	1,400	2,500
25 MPH	1,200	1,800
30 MPH	700	584

High volume of vehicles traveling at potentially fatal collision speeds on a shared residential street

[1]August 2013 and November 2013 speed studies at Overhill and Stuyvesant Avenue, respectively.

STUYVESANT AVENUE: PHYSICAL CONSTRAINTS

Physical attributes present safety challenges that exacerbate the speed and volume related risks, making driving, as well as walking, running, and cycling on Stuyvesant Avenue dangerous.

Restrictive Physical Characteristics	
Restricted horizontal sight distance due to road curvature ***(after turn onto Stuyvesant Avenue from Milton Road)***	• Pedestrians and cyclists in middle of traffic lane. • Vehicles in both directions move toward the middle of road to avoid collision with pedestrians and cyclists. • Difficult to see oncoming traffic in both directions due to horizontal curve.
Restricted views at street corners and driveways ***(due to mature trees and road curvature)***	• Corners of Green Avenue, Overhill Avenue, Halls Lane, and Barron Place. • Residential driveways at the ends of horizontal curves in the road.
Narrow shared roadway with no shoulder or sidewalks	• Pedestrians and cyclists competing with motorists for space.
Belgian block	• Cyclists or pedestrians with strollers cannot pull off the road quickly to avoid collision with vehicles.
Curb-line boulders	• Obstruction to cyclists or pedestrians with strollers, can cause injury if hit by bicycle. • Can cause damage to vehicles and possible injury to drivers/passengers if struck.

STUYVESANT AVENUE: CHILD WITH SPECIAL NEEDS

Dangerous pedestrian conditions, particularly for pedestrians with disabilities, further emphasize the need to slow the traffic on Stuyvesant Avenue.

Child with Special Needs:	A child with an Autistic Spectrum Disorder ("ASD" or "Autism") lives with her family on Stuyvesant Avenue.
Daily Guided Walks:	Parents reluctant to let their daughter go on daily walks with her guide dog (with adult supervision); she cannot react quickly enough to avoid being struck by a car, nor would she know to do so.
Wandering/Eloping:	Family also concerned about wandering or eloping (behavior common in persons on the Autism spectrum; a tendency to wander away from a safe environment, and/or unexpectedly run from a parent or adult supervisor). Wandering and eloping tend to increase in warmer months[1]. The most strictly supervised children can and do wander.
Collision Risk:	Two most common risks associated with wandering are drowning and <u>being struck by a vehicle</u>[2]. At the velocity the cars have been traveling, it is unlikely they could to stop in time to avoid hitting the child.
Wandering Occurrence:	Wandering episode occurred, and the child was found sitting on the road (Stuyvesant Avenue). A letter provided by the family addressing their concerns and experiences is in included in the appendix.

[1]National Autism Association
[2]The Autism Wandering Awareness Alerts Response and Education (AWAARE) Collaboration, http://www.awaare.org/

TRAFFIC CALMING TOOLBOX: OVERVIEW

Developed in the Netherlands in the 60's, traffic calming is a system of design and management strategies devised to integrate motor vehicle and pedestrian/cyclist traffic on shared roadways.

The Traffic Calming Toolbox[1]

Categories	Description		Devices/Tools[2]
Horizontal Deflection	*Horizontal Shift:* Shift in roadway created to hinder driver's ability to drive in a straight line; shift forces drivers to slow vehicles to safely navigate the measure.	*Road Narrowing:* Narrow travel lane to reduce usable roadway surface causing drivers to slow vehicles to maintain acceptable level of comfort.	Curb extensions/bulb-outs, chicanes, gateways, on-street parking, raised median islands/ pedestrian refuge, traffic circles
Vertical Deflection	Change in roadway height to reduce vehicle speeds; can also be used to improve safety of pedestrian crossings		Speed humps, speed cushions, raised crosswalks, raised intersection
Physical Obstruction	Reduce traffic volumes by preventing particular vehicle movements		Semi-diverter, diagonal diverters, right-in / right-out islands, raised median through intersections, street closures
Signs and Pavement Markings	Designed to regulate traffic movements in lieu of physical changes to the roadway; may require police enforcement		Speed limit signs, multi-way stop control, turn prohibitions, one-way streets, roadway narrowing with edge

[1] "Traffic Calming Measures and Guidelines", Pennsylvania Department of Transportation, Bureau of Maintenance and Operations (BOMO)
[2] See Appendix for detailed descriptions of each device/tool

TRAFFIC CALMING TOOLBOX: TOOLS NOT APPLICABLE ON STUYVESANT AVENUE

Certain devices and strategies cannot or should not be used for traffic calming on Stuyvesant Avenue.

Stop Signs	• 2005 City of Rye Stop Sign Installation Policy: "Stop signs should not be used for speed control."[1] • Federal Highway Administration: "Stop signs are not an effective measure for controlling or reducing midblock speeds." • Motorists speeding between stop signs and pedestrian false sense of safety increase collision risk.
Crosswalks[2]	• Increased collision frequency and risk of pedestrian severe injury or death at unsignalized crosswalks. • Drivers often don't stop or slow down for pedestrians, even when legally required. • Pedestrian false sense of security, don't look before crossing, force right-of-way, run across road.
Caution/ Warning Signs	• "Children at Play" signs don't change driver behavior or improve child safety; they breed false sense of security among parents.[3] • "CHILDREN AT PLAY" or similar legends "<u>should not be permitted on any roadway at any time</u>."[4] • "Autistic Child" cautionary signs "do not decrease driver speeds or increase driver caution, and are not effective measures for preventing tragic wandering-related accidents. Vehicles must slow down so they can stop in time to avoid hitting a child."[5]
Vertical Deflection Devices	• 2002 City of Rye Speed Hump Policy: Emergency routes (including Stuyvesant Avenue) not eligible for speed humps

[1] Based on Manual on Uniform Traffic Control Devices (MUTCD), United States Department of Transportation
[2] "Innovative Treatments at Unsignalized Pedestrian Crossing Locations", Herman Huang, Charles Zegeer, Richard Nassi for the Institute of Traffic Engineers ("ITE"), Washington, DC
[3] The Federal Highway Administration, Manual of Uniform Traffic Control Devices
[4] "Synthesis of Highway Practice" No. 139, National Cooperative Highway Research Program
[5] Autism Speaks, Autism research and advocacy group (discussion with organization representatives)

TRAFFIC CALMING TOOLBOX: DEVICE EFFECTIVENESS AND EMERGENCY RESPONSE IMPACT

Traffic calming devices vary in their effectiveness at speed reduction and in the impact on emergency response vehicles and timing.

	Speed Reduction	Emergency Response
Horizontal Deflection		
Bulb-out / curb extension	◪	□
Chicane	◪	◪
Gateway	◪	□
On-street parking	◪	◪
Raised median island / pedestrian refuge	◪	□
Traffic circle	◪	■
Vertical Deflection		
Speed hump	■	■
Speed Cushion	■	□
Raised crosswalk	■	■
Raised intersection	◪	■
Physical Obstruction		
Semi-diverter	◪	◪
Diagonal diverter	◪	◪
Right-in / right-out island	□	◪
Raised median through intersection	□	■
Street closure	◪	■
Signing and Pavement Markings		
Speed limit signing	◪	□
Multi-way stop control	◪	◪
Turn prohibitions	□	□
One-way streets	□	◪
Commercial vehicle prohibitions	◪	□
Roadway narrowing with edge lines	◪	□
Transverse markings	◪	□

□ Minimal or no effect
◪ Moderate effect
■ Significant effect

[1] "Traffic Calming Measures and Guidelines", Pennsylvania Department of Transportation, Bureau of Maintenance and Operations (BOMO)

TRAFFIC CALMING TOOLBOX: STUYVESANT AVENUE OPPORTUNITIES

Vehicle speeds can be reduced on Stuyvesant Avenue with a comprehensive traffic calming program.

Strategy	Implementation	Rationale/Potential Impact
Speed Limit Reduction/ Vehicle Activated Sign Combination	I. Lower speed limit to 25 mph *(20 mph highly preferable based on all collision speed fatality statistics discussed)* II. Install vehicle activated sign system to enforce reduced speed limit *(deliver "Slow Down" directive when speed limit exceeded)*	• Drivers reduce speed when specifically targeted; fixed signs alone are less effective. • <u>Reduce need for additional enforcement.</u> • Can be operated at thresholds well below normal police enforcement levels. • No evidence drivers become less responsive to signs (even over three years). • *Display combination must be permanent to be effective; temporary radar speed displays are ineffective once they are removed.*
Vertical Deflection Devices: Speed Cushions	Install *Speed Cushions* intermittently along Stuyvesant Avenue	• Modern vertical deflection devices; small speed humps installed across road width with spaces between them • Allow larger vehicles to straddle the cushion without slowing down • Minimal impact on emergency vehicle response times

[1] "Vehicle-activated signs – a large scale evaluation", Prepared by Traffic Research Laboratory (UK) for Road Safety Division, Department for Transport

[2] In Port Chester, where the town speed limit is 30 mph, there are streets, and sections of streets, where the speed limit has been lowered to 20 or 25 mph: Chestnut Street (20 mph), Parkway Drive (25 mph) and North Regent Street (20 mph). (see: http://ecode360.com/10911146). I visited these. Chestnut street and North Regent Street have sharp turns and Parkway Drive surrounds a large town park .

APPENDIX

STUYVESANT AVENUE: CHILD WITH SPECIAL NEEDS

May 9, 2013

To the Members of the City of Rye Traffic and Pedestrian Safety Committee:

I am writing in support of my neighbor, Jennifer Neren's, efforts to make Rye a safer community by reducing the speeding and dangerous conditions for pedestrians and drivers on Stuyvesant Avenue. Reducing the speed limit and using other measures to slow traffic would improve things significantly. The current speed limit of 30 miles per hour is a very real safety risk, particularly since it is not enforced, and almost laughable given that Playland Parkway posts the same speed requirement. What is not laughable, however, is the fact that over forty children under the age of fourteen live on Stuyvesant Avenue and are at risk every time they step outside their home. What looks like a quiet and picturesque suburban street, is more often than not a raceway for speeding cars, trucks and vans barreling to and from Coveleigh, the Wainwright House, Shenorock and American Yacht Club.

My husband and I live at 175 Stuyvesant Avenue. We have four young children ages 11, 10, 7 and 6. Our oldest child, Erin, has an autistic spectrum disorder, which causes speech and language, gross and fine motor and sensory issues. One of Erin's favorite activities is walking with her service dog, Pablo, (with adult supervision.) They walk daily and up to three or four days per week walk from our home to the library in town. More often than not on our own block they have to dodge cars and trucks which going 30 miles per hour, or typically much faster, hardly have time to adjust to pedestrians, particularly around the street's blind spots. Due to her disability Erin is not able to participate in organized sports with children her age, but walking with her dog and adult companion is an excellent means of exercise and one of the great joys of her life. Sadly, along Stuyvesant Avenue, it often proves to be among the most hazardous.

Erin also has a tendency to wander and has little safety awareness. While we keep a most watchful eye on her twenty-four hours a day, there have been instances when she has wandered off our property and into neighbor's yards and most disconcertingly on to Stuyvesant Avenue. In one instance when she walked on to Stuyvesant she became disoriented and actually sat right down in the middle of the road. We were beyond fortunate that an elderly couple happened to be the first car to drive toward her and that they were followed by a friend who was familiar with Erin's condition. She immediately stopped traffic and helped to get Erin out of the road and back to our house. I know if some higher power had not been looking out for her and us that day, if it had been one of the typical cars or vans racing by to get to the clubs on time, this instance would have turned out very differently.

The Town of Rye cannot in good conscience rely on good grace and fortune to keep its children and families safe. As a mother and neighbor of so many young children along Stuyvesant Avenue and the surrounding streets, I ask you please to take action now – to reduce the speed limit, to implement any other traffic slowing tools, and in doing so, act in the best interest of your youngest and most vulnerable citizens.

Thank you for your time and attention to this matter,

Sincerely,

Eileen O'Connor

175 Stuyvesant Avenue

COMMONLY USED TRAFFIC CALMING MEASURES

Horizontal Deflection	
Curb extension / bulb-out	Areas of expanded curbing that extend across a parking lane and may narrow a travel lane.
Chicane	Series of 3 bulb-outs, staggered at mid-block locations on alternating sides of the street.
Gateway	Entrance treatment, typically using physical and textural changes, that provides identity to an area.
On-street parking	Provision of on-street parking that reduces roadway width.
Raised median island / pedestrian refuge	Narrow islands, at mid-block or intersections, between travel lanes with breaks in landscaping and curbing for pedestrians.
Traffic circle	Raised island in the center of an intersection that requires vehicles to travel counterclockwise around the circle.
Vertical Deflection	
Speed hump	Raised humps in the roadway, typically 3 inches high with a 12 or 22-foot travel length.
Speed Cushion	Series of three to four cushions spaced across the roadway width that permits wide axle emergency vehicles to pass without slowing down.
Raised crosswalk	Marked pedestrian crossings elevated 3 to 6 inches above street grade at intersections or mid-block.
Raised intersection	Intersections, including crosswalks, raised 3 to 6 inches above street grade.
Physical Obstruction	
Semi-diverter	Directional closure created by physically blocking half the street.
Diagonal diverter	Physical barrier placed diagonally across a four-way intersection to create two unconnected intersections.
Right-in / right-out island	The use of raised islands to prevent left turns and through movements, to and from side streets, at intersections with major streets.
Raised median through intersection	Median barrier through an intersection that discourages through traffic in a residential area by restricting movements.
Street closure	The use of a cul-de-sac to close a roadway by extending a physical barrier across the entire width, obstructing all traffic movements.

[1] "Traffic Calming Measures and Guidelines", Pennsylvania Department of Transportation, Bureau of Maintenance and Operations (BOMO)

CITY COUNCIL AGENDA

NO. 13 DEPT.: City Manager's Office DATE: June 11, 2014

CONTACT: Frank J. Culross, City Manager

ACTION: Consideration to set a Public Hearing for July 9, 2014 to amend Local Law Chapter 76, "Dogs", Section 76-5, "Running at large prohibited" and Section 76-6, "When Leash Required", to establish regulations for the leashing of dogs at Rye Town Park.

FOR THE MEETING OF:
June 11, 2014

RYE CITY CODE,
CHAPTER
SECTION

RECOMMENDATION:

IMPACT: ☐ Environmental ☐ Fiscal ☐ Neighborhood ☒ Other:

BACKGROUND: A recommendation was made to amend Chapter 76 "Dogs" of the Rye City Code to permit dogs to be "at large" in Rye Town Park from 6:00 a.m. to 9:00 a.m. Coupled with the amendment is the suggestion that signage should be placed in the park advising early morning park visitors of the policy to allow dogs off leash until 9 a.m. After 9:00 a.m. all dogs must remain leashed in all areas of the park.

See attached draft Local Law.

CITY OF RYE
LOCAL LAW NO. 2014

A local law to amend Chapter 76 "Dogs" of the Code of the City of Rye to allow dogs to be at large during certain hours at Rye Town Park as follows:

Section 1: Chapter 76, Dogs

§ 76-5. Running at large prohibited.

A. **Except as permitted in § 76-5(B), n**o person owning, harboring or having the custody and control of a dog shall permit such dog to be at large in the City of Rye, elsewhere than on the premises of the owner, except if it is on the premises of another person with the knowledge and assent of such other person.
B. **During the hours of 6 a.m. to 9 a.m., a person owning, harboring or having the custody and control of a dog visiting Rye Town Park shall be permitted to allow the dog to be at large. After 9 a.m., all dogs must be leashed in accordance with this Chapter.**

§ 76-6. When leash required.

The owner, harborer or person having the custody and control of a dog in the City of Rye which is not on the premises of the owner or upon the premises of another person with the knowledge and assent of such person shall control and restrain such dog by a chain or leash not exceeding eight feet in length.

Section 2: This local law will take effect immediately on filing in the office of the Secretary of State.

DRAFT

CITY COUNCIL AGENDA

NO. 14 DEPT.: City Council DATE: June 11, 2014

CONTACT: Mayor Joseph A. Sack

AGENDA ITEM: Consideration to set a Public Hearing for July 9, 2014 on a proposed local law amending Article 6, "Council" of the Charter of the City of Rye to amend §C6-2 "Powers and duties" to add Section G to provide all Council members with the same authority as the Mayor as outlined in Section C7-1G to "examine the books, papers and accounts of any board, commission, department, office or agency of the city."

FOR THE MEETING OF:
June 11, 2014

RYE CITY CODE,
CHARTER
SECTION C6-2

RECOMMENDATION: That the City Council set a Public Hearing to approve the changes on to the City Charter as outlined.

IMPACT: ☐ Environmental ☐ Fiscal ☒ Neighborhood ☐ Other:

BACKGROUND: A proposal has been put forward to amend Article 6, "Council" of the Charter of the City of Rye to amend §C6-2 "Powers and duties" to add Section G to provide all Council members with the same authority as the Mayor as outlined in Section C7-1G to "examine the books, papers and accounts of any board, commission, department, office or agency of the city." The City Council is asked to set a Public Hearing regarding the proposed amendment.

See attached Draft Local Law.

Chapter C. CHARTER

Article 6. Council

§ C6-2. Powers and duties.

A. The legislative power of the city and the determination of all matter of policy shall be vested in the Council.
B. It shall be the duty of the Council to require that all city officers faithfully perform their duties, maintain peace and good order within the city, and cause the laws, local laws and ordinances to be enforced within the city.
C. The Council shall appoint the City Manager as hereinafter provided and shall appoint a Corporation Counsel or hire an attorney as an independent contractor. Such Counsel or attorney shall be engaged in the practice of law in this state for at least five years immediately preceding his appointment or hiring.
[Amended 7-15-1981 by L.L. No. 4-1981]
D. The Council shall supervise the work of the boards, commissions and officers appointed by the Council or the Mayor with the approval of the Council and such boards, commissions and officers shall be responsible directly to the Council.
E. The Council may require any officer or employee to render to it a verified account of all moneys received or disbursed by him and to appear and submit to an examination under oath by the Council or any committee thereof as to any matter in connection with his official duties. A willful refusal or neglect to obey any such order shall be deemed sufficient cause for a fine not to exceed $100, suspension without pay for a period not exceeding two months or removal from office or employment.
F. The Council shall award all contracts for public work and all purchase contracts, requiring competitive bidding under the General Municipal Law.
G. The Council shall have the authority at all times to examine the books, papers and accounts of any board, commission, department, office or agency of the city.

DRAFT

CITY COUNCIL AGENDA

NO. 16 DEPT.: Engineering DATE: June 11, 2014

CONTACT: Ryan X. Coyne, PE, City Engineer

AGENDA ITEM: Presentation of the City of Rye Stormwater Management Program 2013 Annual Report.

FOR THE MEETING OF:
June 11, 2014

RYE CITY CODE,
CHAPTER
SECTION

RECOMMENDATION: Review and comment on the attached Draft Annual Report.

IMPACT: ☐ Environmental ☐ Fiscal ☐ Neighborhood ☐ Other:

BACKGROUND:

Pursuant to the requirements of the Phase II Stormwater Regulations, attached is the 2013 annual report indicating the extent to which the City is complying with Stormwater Management Program filed with NYSDEC in 2003. The City is required to file this annual report with the NYSDEC; the report shows the activities that City conducted in 2013 to improve water quality and the activities that will be undertaking/continuing in the future.

The Council should review and comment on the draft report.

3258632975

MS4 Annual Report Cover Page

MCC form for period ending March 9, 2014

SPDES ID: NYR20A381

This cover page must be completed by the report preparer.
Joint reports require only one cover page.

Choose one:

● **This report is being submitted on behalf of an individual MS4.**

Fill in SPDES ID in upper right hand corner.

Name of MS4: CITY OF RYE

OR

○ **This report is being submitted on behalf of a Single Entity**

(Per Part II.E of GP-0-10-002)

Name of Single Entity:

OR

○ **This is a joint report being submitted on behalf of a coalition.**

Provide SPDES ID of each permitted MS4 included in this report. Use page 2 if needed.

Name of Coalition:

SPDES ID: NYR20A	SPDES ID: NYR20A	SPDES ID: NYR20A
SPDES ID: NYR20A	SPDES ID: NYR20A	SPDES ID: NYR20A
SPDES ID: NYR20A	SPDES ID: NYR20A	SPDES ID: NYR20A
SPDES ID: NYR20A	SPDES ID: NYR20A	SPDES ID: NYR20A
SPDES ID: NYR20A	SPDES ID: NYR20A	SPDES ID: NYR20A
SPDES ID: NYR20A	SPDES ID: NYR20A	SPDES ID: NYR20A

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MS4 Annual Report Cover Page

MCC form for period ending March 9, 2014

Provide SPDES ID of each permitted MS4 included in this report.

SPDES ID	SPDES ID	SPDES ID
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A
NYR20A	NYR20A	NYR20A

MS4 Municipal Compliance Certification(MCC) Form

MCC form for period ending March 9, 2014

Name of MS4: CITY OF RYE

SPDES ID: NYR02A381

Each MS4 must submit an MCC form.

Section 1 - MCC Identification Page

Indicate whether this MCC form is being submitted to certify endorsement or acceptance of:

- ● An Annual Report for a single MS4
- ○ A Single Entity (Per Part II.E of GP-0-10-002)
- ○ A Joint Report

Joint reports may be submitted by permittees with legally binding agreements.

If Joint Report, enter coalition name:

MS4 Municipal Compliance Certification(MCC) Form

MCC form for period ending March 9, 2014

Name of MS4: CITY OF RYE

SPDES ID: NYR20A381

Section 2 - Contact Information

Important Instructions - Please Read

Contact information must be provided for ***each*** of the following positions as indicated below:

1. Principal Executive Officer, Chief Elected Official or other qualified individual (per GP-0-08-002 Part VI.J).
2. Duly Authorized Representative (Information for this contact must only be submitted if a Duly Authorized Representative is signing this form)
3. The Local Stormwater Public Contact (required per GP-0-08-002 Part VII.A.2.c & Part VIII.A.2.c).
4. The Stormwater Management Program (SWMP) Coordinator (Individual responsible for coordination/implementation of SWMP).
5. Report Preparer (Consultants may provide company name in the space provided).

   A separate sheet must be submitted for each position listed above unless more than one position is filled by the same individual. If one individual fills multiple roles, provide the contact information once and check all positions that apply to that individual.

   If a new Duly Authorized Representative is signing this report, their contact information must be provided and a signature authorization form, signed by the Principal Executive Officer or Chief Elected Official must be attached.

For each contact, select all that apply:

● Principal Executive Officer/Chief Elected Official

○ Duly Authorized Representative

○ Local Stormwater Public Contact

○ Stormwater Management Program (SWMP) Coordinator

○ Report Preparer

First Name: FRANK

MI:

Last Name: CULROSS

Title: CITY MANAGER

Address: 1051 BOSTON POST ROAD

City: RYE

State: NY

Zip: 10580 -

eMail: FCULROSS@RYENY.GOV

Phone: (914) 967-7404

County: WESTCHESTER

MS4 Municipal Compliance Certification(MCC) Form

MCC form for period ending March 9, 2014

Name of MS4: CITY OF RYE

SPDES ID: NYR20A381

Section 2 - Contact Information

Important Instructions - Please Read

Contact information must be provided for ***each*** of the following positions as indicated below:

1. Principal Executive Officer, Chief Elected Official or other qualified individual (per GP-0-08-002 Part VI.J).
2. Duly Authorized Representative (Information for this contact must only be submitted if a Duly Authorized Representative is signing this form)
3. The Local Stormwater Public Contact (required per GP-0-08-002 Part VII.A.2.c & Part VIII.A.2.c).
4. The Stormwater Management Program (SWMP) Coordinator (Individual responsible for coordination/implementation of SWMP).
5. Report Preparer (Consultants may provide company name in the space provided).

   A separate sheet must be submitted for each position listed above unless more than one position is filled by the same individual. If one individual fills multiple roles, provide the contact information once and check all positions that apply to that individual.

   If a new Duly Authorized Representative is signing this report, their contact information must be provided and a signature authorization form, signed by the Principal Executive Officer or Chief Elected Official must be attached.

For each contact, select all that apply:

○ Principal Executive Officer/Chief Elected Official

○ Duly Authorized Representative

● Local Stormwater Public Contact

● Stormwater Management Program (SWMP) Coordinator

● Report Preparer

First Name: RYAN

MI:

Last Name: COYNE

Title: CITY ENGINEER

Address: 1051 BOSTON POST ROAD

City: RYE

State: NY

Zip: 10580 -

eMail: ENGINEER@RYENY.GOV

Phone: (914) 967-7676

County: WESTCHESTER

MS4 Municipal Compliance Certification (MCC) Form

MCC form for period ending March 9, 2014

Name of MS4: CITY OF RYE

SPDES ID: NYR20A381

Section 3 - Partner Information

Did your MS4 work with partners/coalition to complete some or all permit requirements during this reporting period? ● Yes ○ No

If Yes, complete information below.

Submit a separate sheet for each partner. Information provided in other formats will not be accepted. If your MS4 cooperated with a coalition, submit one sheet with the name of the coalition. It is not necessary to include a separate sheet for each MS4 in the coalition.

If No, proceed to Section 4 - Certification Statement.

Partner/Coalition Name: WESTCHESTER COUNTY PLANNING DEPT

Partner/Coalition Name (con't.):

SPDES Partner ID - If applicable: NYR20

Address: 148 MARTINE AVENUE

City: WHITE PLAINS

State: NY

Zip: 10601 -

eMail: CCAL@WESTCHESTERGOV.COM

Phone: (914) 995-3782

Legally Binding Agreement in accordance with GP-0-08-002 Part IV.G.? ● Yes ○ No

What tasks/responsibilities are shared with this partner (e.g. MM1 School Programs or Multiple Tasks)?

- ● MM1 GENERAL STORMWATER INFORMATION
- ○ MM2
- ○ MM3
- ○ MM4
- ○ MM5
- ○ MM6

Additional tasks/responsibilities

○ *Watershed Improvement Strategy Best Management Practices* required for MS4s in impaired watersheds included in GP-0-08-002 Part IX.

4643023765

MS4 Municipal Compliance Certification (MCC) Form

MCC form for period ending March 9, 2014

Name of MS4: CITY OF RYE

SPDES ID: NYR20A381

Section 3 - Partner Information

Did your MS4 work with partners/coalition to complete some or all permit requirements during this reporting period? ● Yes ○ No

If Yes, complete information below.

Submit a separate sheet for each partner. Information provided in other formats will not be accepted. If your MS4 cooperated with a coalition, submit one sheet with the name of the coalition. It is not necessary to include a separate sheet for each MS4 in the coalition.

If No, proceed to Section 4 - Certification Statement.

Partner/Coalition Name: LONG ISLAND SOUND WATERSHED INTER

Partner/Coalition Name (con't.): MUNICIPAL COUNCIL

SPDES Partner ID - If applicable: NYR20

Address: 740 BOSTON POST ROAD

City: MAMARONECK

State: NY

Zip: 10543 -

eMail: LISWICMAIL@LISWIC.ORG

Phone: (914) 381-7845

Legally Binding Agreement in accordance with GP-0-08-002 Part IV.G.? ● Yes ○ No

What tasks/responsibilities are shared with this partner (e.g. MM1 School Programs or Multiple Tasks)?

- ● MM1: GENERAL STORMWATER INFORMATION
- ○ MM2:
- ○ MM3:
- ○ MM4:
- ○ MM5:
- ● MM6: EVALUATE STORMWATER UTILITY

Additional tasks/responsibilities

○ *Watershed Improvement Strategy Best Management Practices* required for MS4s in impaired watersheds included in GP-0-08-002 Part IX.

MS4 Municipal Compliance Certification(MCC) Form

MCC form for period ending March 9, 2014

Name of MS4: CITY OF RYE

SPDES ID: NYR20A381

Section 4 - Certification Statement

"I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations."

This form must be signed by either a principal executive officer or ranking elected official, or duly authorized representative of that person as described in GP-0-08-002 Part VI.J.

First Name: FRANK

MI:

Last Name: CULROSS

Title (Clearly print title of individual signing report): CITY MANAGER

Signature:

Date: / /

Send completed form and any attachments to the DEC Central Office at:

MS4 Permit Coordinator
Division of Water
4th Floor
625 Broadway
Albany, New York 12233-3505

1100364151

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

Water Quality Trends

The information in this section is being reported (check one):

● On behalf of an individual MS4
○ On behalf of a coalition
How many MS4s are contributed to this report?

1. **Has this MS4/Coalition produced any reports documenting water quality trends related to stormwater? If not, answer No and proceed to Minimum Control Measure One.** ○ Yes ● No

If Yes, choose one of the following

○ Report(s) attached to the annual report

○ Web Page(s) where report(s) is/are provided below

Please provide specific address of page where report(s) can be accessed - not home page.

URL

URL

URL

URL

4286299954

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

Minimum Control Measure 1. Public Education and Outreach

The information in this section is being reported (check one):

● On behalf of an individual MS4
○ On behalf of a coalition

How many MS4s contributed to this report? ___

1. Targeted Public Education and Outreach Best Management Practices

Check all topics that were included in Education and Outreach during this reporting period:

● Construction Sites
● General Stormwater Management Information
● Household Hazardous Waste Disposal
● Illicit Discharge Detection and Elimination
○ Infrastructure Maintenance
○ Smart Growth
● Storm Drain Marking
● Green Infrastructure/Better Site Design/Low Impact Development
○ Other:

○ Pesticide and Fertilizer Application
● Pet Waste Management
● Recycling
○ Riparian Corridor Protection/Restoration
● Trash Management
○ Vehicle Washing
○ Water Conservation
● Wetland Protection
○ None

Other

2. Specific audiences targeted during this reporting period:

● Public Employees
● Residential
● Businesses
○ Restaurants
○ Other:

● Contractors
○ Developers
● General Public
○ Industries
○ Agricultural

Other

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

3. What strategies did your MS4/Coalition use to achieve education and outreach goals during this reporting period? Check all that apply:

○ Construction Site Operators Trained	# Trained	
○ Direct Mailings	# Mailings	
● Kiosks or Other Displays	# Locations	1
○ List-Serves	# In List	
○ Mailing List	# In List	
○ Newspaper Ads or Articles	# Days Run	
○ Public Events/Presentations	# Attendees	
○ School Program	# Attendees	
● TV Spot/Program	# Days Run	365
● Printed Materials:	Total # Distributed	1000

Locations (e.g. libraries, town offices, kiosks)

CITY HALL

DEPT OF PUBLIC WORKS

○ Other:

● Web Page: Provide specific web addresses - not home page. Continue on next page if additional space is needed.

URL: http://www.ryeny.gov/stormwater.cfm

URL: www.egovlink.com/public-documents300/rye/published-documents/public%20works/2014_Residents_Guide

0704299955

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

3. Web Page con't.: Provide specific web addresses - not home page.

URL
-to-DPW.PDF

URL

URL

URL

URL

URL

URL

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

4. Evaluating Progress Toward Measurable Goals MCM 1

Use this page to report on your progress and project plans toward achieving measurable goals identified in your Stormwater Management Program Plan (SWMPP), including requirements in Part III.C.1. Submit additional pages as needed.

A. Briefly summarize the Measurable Goal identified in the SWMPP in this reporting period.

The City's goal is to ensure that sufficient information is made available to the public on impairments to stormwater quality and ways in which to contribute to the overall Stormwater Management Program effort. The City prepared a Resident's Guide to Public Works for 2014, which includes information about the importance of reducing adverse impacts to stormwater quality.

B. Briefly summarize the observations that indicated the overall effectiveness of this Measurable Goal.

The City continues to educate contractors and residents that do construction on their homes about stormwater management. Many contractors understand the principles of erosion and sediment control.

C. How many times was this observation measured or evaluated in this reporting period?

1

(ex.: samples/participants/events)

D. Has your MS4 made progress toward this Measurable Goal during this reporting period? ● Yes ○ No

E. Is your MS4 on schedule to meet the deadline set forth in the SWMPP? ● Yes ○ No

F. Briefly summarize the stormwater activities planned to meet the goals of this MCM during the next reporting cycle (including an implementation schedule).

Continue to educate contractors. Update stormwater informational brochures for posting on the City's web site.

4961183103

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

Minimum Control Measure 2. Public Involvement/Participation

The information in this section is being reported (check one):

● On behalf of an individual MS4
○ On behalf of a coalition
How many MS4s contributed to this report? ___

1. What opportunities were provided for public participation in implementation, development, evaluation and improvement of the Stormwater Management Program (SWMP) Plan during this reporting period? Check all that apply:

○ Cleanup Events — # Events ___

○ Comments on SWMP Received — # Comments ___

● Community Hotlines — Phone # (914) 967-7464

Phone # (914) 967-1234 — Phone # ( ) -

Phone # ( ) - — Phone # ( ) -

Phone # ( ) - — Phone # ( ) -

Phone # ( ) - — Phone # ( ) -

Phone # ( ) - — Phone # ( ) -

○ Community Meetings — # Attendees ___

● Plantings — Sq. Ft. ___

● Storm Drain Markings — # Drains ___

○ Stakeholder Meetings — # Attendees ___

○ Volunteer Monitoring — # Events ___

● Other: CONSERVATION ADVISORY COUNCIL

2. Was public notice of availability of this annual report and Stormwater Management Program (SWMP) Plan provided? ● Yes ○ No

○ List-Serve — # In List ___

○ Newspaper Advertising — # Days Run ___

● TV/Radio Notices — # Days Run ___

○ Other: ___

● Web Page URL: Enter URL(s) on the following two pages.

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

2. URL(s) con't.:

Please provide specific address(es) where notice(s) can be accessed - not home page.

URL: http://www.ryeny.gov/boards.comm

issions.cfm

URL

URL

URL

URL

URL

URL

URL

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

2. URL(s) con't.:

Please provide specific address(es) where notices can be accessed - not home page.

URL

URL

URL

URL

URL

URL

URL

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

3. Where can the public access copies of this annual report, Stormwater Management Program SWMP) Plan and submit comments on those documents?

Enter address/contact info and select radio button to indicate which document is available and whether comments may be submitted at that location. Submit additional pages as needed.

● MS4/Coalition Office ● Annual Report ● SWMP Plan ● Comments

Department: ENGINEERING DEPARTMENT

Address: 1051 BOSTON POST ROAD

City: RYE NY Zip: 10580-

Phone: (914) 967-7676

○ Library ○ Annual Report ○ SWMP Plan ○ Comments

Address:

City: Zip: -

Phone: ( ) -

○ Other ○ Annual Report ○ SWMP Plan ○ Comments

Address:

City: Zip: -

Phone: ( ) -

○ Web Page URL: ○ Annual Report ○ SWMP Plan ○ Comments

Please provide specific address of page where report can be accessed - not home page.

○ eMail ○ Comments

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

4.a. If this report was made available on the internet, what date was it posted?
Leave blank if this report was not posted on the internet. 05 / 20 / 2014

4.b. For how many days was/will this report be posted? 365

If submitting a report for single MS4, answer 5.a.. If submitting a joint report, answer 5.b..

5.a. Was an Annual Report public meeting held in this reporting period? ● Yes ○ No

If Yes, what was the date of the meeting? 06 / 11 / 2014

If No, is one planned? ● Yes ○ No

5.b. Was an Annual Report public meeting held for all MS4s contributing to this report during this reporting period? ● Yes ○ No

If No, is one planned for each? ○ Yes ○ No

6. Were comments received during this reporting period? ○ Yes ● No
If Yes, attach comments, responses and changes made to SWMP in response to comments to this report.

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

7. Evaluating Progress Toward Measurable Goals MCM 2

Use this page to report on your progress and project plans toward achieving measurable goals identified in your Stormwater Management Program Plan (SWMPP), including requirements in Part III.C.1. Submit additional pages as needed.

A. Briefly summarize the Measurable Goal identified in the SWMPP in this reporting period.

It is the City's goal that all residents and businesses should have an opportunity to better understand the idea that water quality is an important concern for everyone in Rye and that improving stormwater quality will have a positive impact on the overall quality of life in the City.

B. Briefly summarize the observations that indicated the overall effectiveness of this Measurable Goal.

Sustainability Committee developed a plan for the City, which includes stormwater requirements and Better Site Design principles. Planning Commission and CC/AC review development projects and the meetings are open to the public. The Planning Commission held 19 meetings and the CC/AC held 8.

C. How many times was this observation measured or evaluated in this reporting period?

1

(ex.: samples/participants/events)

D. Has your MS4 made progress toward this measurable goal during this reporting period?

● Yes ○ No

E. Is your MS4 on schedule to meet the deadline set forth in the SWMPP?

● Yes ○ No

F. Briefly summarize the stormwater activities planned to meet the goals of this MCM during the next reporting cycle (including an implementation schedule).

The Planning Commission and CC/AC will continue to hold public meetings on development projects. Continue meeting with the Sustainability Committee.

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

Minimum Control Measure 3. Illicit Discharge Detection and Elimination

The information in this section is being reported (check one):

● On behalf of an individual MS4
○ On behalf of a coalition
How many MS4s contributed to this report? ___

1. Enter the number and approx. percent of outfalls mapped: 110 # 100 %

2. How many of these outfalls have been screened for dry weather discharges during this reporting period (outfall reconnaissance inventory)? ___

3.a. What types of generating sites/sewersheds were targeted for inspection during this reporting period?

○ Auto Recyclers
● Building Maintenance
○ Churches
○ Commercial Carwashes
○ Commercial Laundry/Dry Cleaners
○ Construction Vehicle Washouts
○ Cross-Connections
○ Distribution Centers
○ Food Processing Facilities
● Garbage Truck Washouts
○ Hospitals
○ Improper RV Waste Disposal
○ Industrial Process Water
● Other: GOLF COURSE
○ Sewersheds:

○ Landscaping (Irrigation)
● Marinas
○ Metal Plateing Operations
○ Outdoor Fluid Storage
○ Parking Lot Maintenance
○ Printing
○ Residential Carwashing
○ Restaurants
○ Schools and Universities
○ Septic Maintenance
○ Swimming Pools
○ Vehicle Fueling
○ Vehicle Maint./Repair Shops
○ None

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

3.b. What types of illicit discharges have been found during this reporting period?

- ● Broken Lines From Sanitary Sewer
- ○ Cross Connections
- ○ Failing Septic Systems
- ○ Floor Drains Connected To Storm Sewers
- ○ Illegal Dumping
- ○ Other:
- ○ Industrial Connections
- ○ Inflow/Infiltration
- ● Pump Station Failure
- ● Sanitary Sewer Overflows
- ○ Straight Pipe Sewer Discharges
- ○ None

4. How many illicit discharges/potential illegal connections have been detected during this reporting period? 11

5. How many illicit discharges have been confirmed during this reporting period? 11

6. How many illicit discharges/illegal connections have been eliminated during this reporting period? 11

7. Has the storm sewershed mapping been completed in this reporting period? ● Yes ○ No

If No, approximately what percent was completed in this reporting period? %

8. Is the above information available in GIS? ● Yes ○ No

Is this information available on the web? ● Yes ○ No

If Yes, provide URL(s):

Please provide specific address of page where map(s) can be accessed - not home page.

URL: WWW.MAPGEO.COM/RYENY

URL:

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

8. URL(s) con't.:
Please provide specific address of page where map(s) can be accessed - not home page

URL

URL

URL

URL

URL

9. Has an IDDE law been adopted for each traditional MS4 and/or have IDDE procedures been approved for all non-traditional MS4s contributing to this report? ● Yes ○ No

10. If Yes, has every traditional MS4 contributing to this report certified that this law is equivalent to the NYS Model IDDE Law? ● Yes ○ No ○ NT

11. What percent of staff in relevant positions and departments has received IDDE training?

100 %

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

12. Evaluating Progress Toward Measurable Goals MCM 3

Use this page to report on your progress and project plans toward achieving measurable goals identified in your Stormwater Management Program Plan (SWMPP), including requirements in Part III.C.1. Submit additional pages as needed.

A. Briefly summarize the Measurable Goal identified in the SWMPP in this reporting period.

It is the City's goal to inspect its stormwater conveyance system and to remediate any illicit discharges detected.

B. Briefly summarize the observations that indicated the overall effectiveness of this Measurable Goal.

Number of illicit discharges found and eliminated. Eleven illicit discharges were identified and eliminated in this reporting period.

C. How many times was this observation measured or evaluated in this reporting period?

1

(ex.: samples/participants/events)

D. Has your MS4 made progress toward this measurable goal during this reporting period?

● Yes ○ No

E. Is your MS4 on schedule to meet the deadline set forth in the SWMPP?

● Yes ○ No

F. Briefly summarize the stormwater activities planned to meet the goals of this MCM during the next reporting cycle (including an implementation schedule).

Continue to inspect outfalls annually. Respond to reports of illicit discharges immediately and take action to mitigate as needed.

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

Minimum Control Measures 4 and 5.
Construction Site and Post-Construction Control

The information in this section is being reported (check one):

● On behalf of an individual MS4
○ On behalf of a coalition
How many MS4s contributed to this report? ___

1a. Has each MS4 contributing to this report adopted a law, ordinance or other regulatory mechanism that provides equivalent protection to the NYS SPDES General Permit for Stormwater Discharges from Construction Activities? ● Yes ○ No

1b. Has each Town, City and/or Village contributing to this report documented that the law is equivalent to a NYSDEC Sample Local Law for Stormwater Management and Erosion and Sediment Control through either an attorney cerfification or using the NYSDEC Gap Analysis Workbook? ● Yes ○ No ○ NT

If Yes, Towns, Cities and Villages provide date of equivalent NYS Sample Local Law.
○ 09/2004 ● 03/2006 ○ NT

2. Does your MS4/Coalition have a SWPPP review procedure in place? ● Yes ○ No

3. How many Construction Stormwater Pollution Prevention Plans (SWPPPs) have been reviewed in this reporting period? 1

4. Does your MS4/Coalition have a mechanism for receipt and consideration of public comments related to construction SWPPPs? ● Yes ○ No ○ NT

If Yes, how many public comments were received during this reporting period? 0

5. Does your MS4/Coalition provide education and training for contractors about the local SWPPP process? ○ Yes ● No

6. Identify which of the following types of enforcement actions you used during the reporting period for construction activities, indicate the number of actions, or note those for which you do not have authority:

○ Notices of Violation	#		○ No Authority
○ Stop Work Orders	#		○ No Authority
○ Criminal Actions	#		○ No Authority
○ Termination of Contracts	#		○ No Authority
○ Administrative Fines	#		○ No Authority
○ Civil Penalties	#		○ No Authority
○ Administrative Orders	#		○ No Authority
○ Enforcement Actions or Sanctions	#		
○ Other	#		○ No Authority

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

Minimum Control Measure 4. Construction Site Stormwater Runoff Control

The information in this section is being reported (check one):

● On behalf of an individual MS4
○ On behalf of a coalition

How many MS4s contributed to this report? ___

1. **How many construction projects have been authorized for disturbances of one acre or more during this reporting period?** 1

2. **How many construction projects disturbing at least one acre were active in your jurisdiction during this reporting period?** 2

3. **What percent of active construction sites were inspected during this reporting period?** ○ NT
   100 %

4. **What percent of active construction sites were inspected more than once?** ○ NT
   100 %

5. **Do all inspectors working on behalf of the MS4s contributing to this report use the NYS Construction Stormwater Inspection Manual?** ● Yes ○ No ○ NT

6. **Does your MS4/Coalition provide public access to Stormwater Pollution Prevention Plans (SWPPPs) of construction projects that are subject to MS4 review and approval?** ● Yes ○ No ○ NT

   If your MS4 is Non-Traditional, are SWPPPs of construction projects made available for public review? ○ Yes ○ No

   If Yes, use the following page to identify location(s) where SWPPPs can be accessed.

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

6. con't.:

Submit additional pages as needed.

● MS4/Coalition Office

Department: ENGINEERING AND BUILDING DEPTS

Address: 1051 BOSTON POST ROAD

City: RYE NY Zip: 10580-

Phone: (914) 967-7676

○ Library

Address:

City: Zip: -

Phone: ( ) -

○ Other

Address:

City: Zip: -

Phone: ( ) -

○ Web Page URL(s): Please provide specific address where SWPPPs can be accessed - not home page.

URL

URL

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

7. Evaluating Progress Toward Measurable Goals MCM 4

Use this page to report on your progress and project plans toward achieving measurable goals identified in your Stormwater Management Program Plan (SWMPP), including requirements in Part III.C.1. Submit additional pages as needed.

A. Briefly summarize the Measurable Goal identified in the SWMPP in this reporting period.

It is the City's goal to ensure that all construction projects are completed with minimal or no impact to water quality. Where an impact to water quality cannot be avoided, it is the City's intent to ensure that Best Management Practices have been constructed properly and are operated and maintained properly in perpetuity.

B. Briefly summarize the observations that indicated the overall effectiveness of this Measurable Goal.

Two projects underway that include a SWPPP, which is reviewed by the City Engineer for compliance with required stormwater and erosion & sediment control measures.

C. How many times was this observation measured or evaluated in this reporting period?

1

(ex.: samples/participants/events)

D. Has your MS4 made progress toward this measurable goal during this reporting period?

● Yes ○ No

E. Is your MS4 on schedule to meet the deadline set forth in the SWMPP?

● Yes ○ No

F. Briefly summarize the stormwater activities planned to meet the goals of this MCM during the next reporting cycle (including an implementation schedule).

Continue to administer and monitor all projects underway as per individual SWPPPs and local ordinance.

1048119251

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

Minimum Control Measure 5. Post-Construction Stormwater Management

The information in this section is being reported (check one):

● On behalf of an individual MS4
○ On behalf of a coalition
How many MS4s contributed to this report? ___

1. How many and what type of post-construction stormwater management practices has your MS4/Coalition inventoried, inspected and maintained in this reporting period?

	# Inventoried	# Inspections	# Times Maintained
○ Alternative Practices			
○ Filter Systems			
○ Infiltration Basins			
○ Open Channels			
○ Ponds			
○ Wetlands			
● Other	1	1	0

2. Do you use an electronic tool (e.g. GIS, database, spreadsheet) to track post-construction BMPs, inspections and maintanance? ○ Yes ● No

3. What types of non-structural practices have been used to implement Low Impact Development/Better Site Design/Green Infrastructure principles?

● Building Codes ○ Municipal Comprehensive Plans

○ Overlay Districts ○ Open Space Preservation Program

● Zoning ● Local Law or Ordinance

○ None ○ Land Use Regulation/Zoning

○ Watershed Plans ○ Other Comprehensive Plan

● Other: VOLUNTEER COMMITTEES

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

4a. Are the MS4s contributing to this report involved in a regional/watershed wide planning effort?

● Yes ○ No

4b. Does the MS4 have a banking and credit system for stormwater management practices?

○ Yes ● No

4c. Do the SWMP Plans for each MS4 contributing to this report include a protocol for evaluation and approval of banking and credit of alternative siting of a stormwater management practice?

○ Yes ● No

4d. How many stormwater management practices have been implemented as part of this system in this reporting period?

0

5. What percent of municipal officials/MS4 staff responsible for program implementation attended training on Low Impace Development (LID), Better Site Design (BSD) and other Green Infrastructure principles in this reporting period?

100 %

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

6. Evaluating Progress Toward Measurable Goals MCM 5

Use this page to report on your progress and project plans toward achieving measurable goals identified in your Stormwater Management Program Plan (SWMPP), including requirements in Part III.C.1. Submit additional pages as needed.

A. Briefly summarize the Measurable Goal identified in the SWMPP in this reporting period.

The City's goal is to ensure that all installed Best Management Practices are properly operated and maintained in perpetuity.

B. Briefly summarize the observations that indicated the overall effectiveness of this Measurable Goal.

0 BMPs installed, inspected, and brought on line during this reporting period.

C. How many times was this observation measured or evaluated in this reporting period?

1

(ex.: samples/participants/events)

D. Has your MS4 made progress toward this measurable goal during this reporting period?

● Yes ○ No

E. Is your MS4 on schedule to meet the deadline set forth in the SWMPP?

● Yes ○ No

F. Briefly summarize the stormwater activities planned to meet the goals of this MCM during the next reporting cycle (including an implementation schedule).

Continue to inventory new Best Management Practices brought on line. Continue to require inspection and maintenance of all Best Management Practices inventoried. Develop map of BMPs.

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

Minimum Control Measure 6. Stormwater Management for Municipal Operations

The information in this section is being reported (check one):

● On behalf of an individual MS4
○ On behalf of a coalition

How many MS4s contributed to this report? ___

1. **Choose/list each municipal operation/facility that contributes or may potentially contribute Pollutants of Concern to the MS4 system. For each operation/facility indicate whether the operation/facility has been addressed in the MS4's/Coalition's Stormwater Management Program(SWMP) Plan and whether a self-assessment has been performed during the reporting period. A self-assessment is performed to: 1) determine the sources of pollutants potentially generated by the permittee's operations and facilities; 2) evaluate the effectiveness of existing programs and 3) identify the municipal operations and facilities that will be addressed by the pollution prevention and good housekeeping program, if it's not done already.**

Operation/Activity/Facility	Addressed in SWMP?		Self-Assessment Operation/Activity/Facility performed within the past 3 years?	
Street Maintenance	● Yes	○ No	● Yes	○ No
Bridge Maintenance	● Yes	○ No	● Yes	○ No
Winter Road Maintenance	● Yes	○ No	● Yes	○ No
Salt Storage	● Yes	○ No	● Yes	○ No
Solid Waste Management	● Yes	○ No	● Yes	○ No
New Municipal Construction and Land Disturbance	● Yes	○ No	● Yes	○ No
Right of Way Maintenance	● Yes	○ No	● Yes	○ No
Marine Operations	● Yes	○ No	● Yes	○ No
Hydrologic Habitat Modification	● Yes	○ No	● Yes	○ No
Parks and Open Space	● Yes	○ No	● Yes	○ No
Municipal Building	● Yes	○ No	● Yes	○ No
Stormwater System Maintenance	● Yes	○ No	● Yes	○ No
Vehicle and Fleet Maintenance	● Yes	○ No	● Yes	○ No
Other	○ Yes	● No	○ Yes	● No

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

2. Provide the following information about municipal operations good housekeeping programs:

● Parking Lots Swept (Number of acres X Number of times swept)	# Acres	924
● Streets Swept (Number of miles X Number of times swept)	# Miles	1428
● Catch Basins Inspected and Cleaned Where Necessary	#	764
○ Post Construction Control Stormwater Management Practices Inspected and Cleaned Where Necessary	#	
○ Phosphorus Applied In Chemical Fertilizer	# Lbs.	
○ Nitrogen Applied In Chemical Fertilizer	# Lbs.	
○ Pesticide/Herbicide Applied (Number of acres to which pesticide/herbicide was applied X Number of times applied to the nearest tenth.)	# Acres	.

3. How many stormwater management trainings have been provided to municipal employees during this reporting period? 1

4. What was the date of the last training? 09 / 10 / 2013

5. How many municipal employees have been trained in this reporting period? 1

6. What percent of municipal employees in relevant positions and departments receive stormwater management training? 100 %

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

7. Evaluating Progress Toward Measurable Goals MCM 6

Use this page to report on your progress and project plans toward achieving measurable goals identified in your Stormwater Management Program Plan (SWMPP), including requirements in Part III.C.1. Submit additional pages as needed.

A. Briefly summarize the Measurable Goal identified in the SWMPP in this reporting period.

Number of stormwater catch basins inspected and cleaned, number of miles of roads swept.

B. Briefly summarize the observations that indicated the overall effectiveness of this Measurable Goal.

764 catch basins were inspected, 351 were cleaned, and 15 were repaired. 6,344 feet of storm drains were cleaned, 14 feet of storm drains were repaired.

C. How many times was this observation measured or evaluated in this reporting period?

1

(ex.: samples/participants/events)

D. Has your MS4 made progress toward this measurable goal during this reporting period?

● Yes ○ No

E. Is your MS4 on schedule to meet the deadline set forth in the SWMPP?

● Yes ○ No

F. Briefly summarize the stormwater activities planned to meet the goals of this MCM during the next reporting cycle (including an implementation schedule).

Continue stormwater catch basin cleaning program. Continue infrastructure inspection and maintenance per City SWMP.

6327042251

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

Additional Watershed Improvement Strategy Best Management Practices

The information in this section is being reported (check one):

● On behalf of an individual MS4
○ On behalf of a coalition

How many MS4s contributed to this report? ___

MS4s must answer the questions or check NA as indicated in the table below.

MS4 Description	Answer	Check NA	(POC)
NYC EOH Watershed	-	-	-
Traditional Land Use	1,2,3,4,5,6,7a-d,8a,8b,9	10,11,12	Phosphorus
Traditional Non-Land Use	1,2,3,4,7a-d,8a,8b,9	5,10,11,12	Phosphorus
Non-Traditional	1,2,77a-d,8a,8b,9	3,4,5,10,11,12	Phosphorus
Onondaga Lake Watershed	-	-	-
Traditional Land Use	1,6,7a-d,8a,9	2,3,4,5,8b,10,11,12	Phosphorus
Traditional Non-Land Use	1,6,7a-d,8a,9	2,3,4,5,8b,10,11,12	Phosphorus
Non-Traditional	1,6,7a-d,8a,9	2,3,4,5,8b,10,11,12	Phosphorus
Greenwood Lake Watershed	-	-	-
Traditional Land Use	1,4,6,7a-d,8a,9	2,3,5,8b,10,11,12	Phosphorus
Traditional Non-Land Use	1,4,6,7a-d,8a,9	2,3,5,8b,10,11,12	Phosphorus
Non-Traditional	1,4,6,7a-d,8a,9	2,3,5,8b,10,11,12	Phosphorus
Oyster Bay	-	-	-
Traditional Land Use	1,4,7a-d,9,10,11,12	2,3,5,6,8a,8b	Pathogens
Traditional Non-Land Use	1,4,7a-d,9,10,11,12	2,3,5,6,8a,8b	Pathogens
Non-Traditional	1,4,7a-d,9	2,3,4,5,8a,8b,10,11,12	Pathogens
Peconic Estuary	-	-	-
Traditional Land Use	1,4,7a-d,8a,9,10,11,12	2,3,5,6,8b	Pathogens and Nitrogen
Traditional Non-Land Use	1,4,7a-d,8a,9,10,11,12	2,3,5,6,8b	Pathogens and Nitrogen
Non-Traditional	1,4,7a-d,8a,9	2,3,4,5,8b,10,11,12	Pathogens and Nitrogen
Oscawana Lake Watershed	-	-	-
Traditional Land Use	1,4,6,7a-d,8a,9	2,3,5,8b,10,11,12	Phosphorus
Traditional Non-Land Use	1,4,6,7a-d,8a,9	2,3,5,8b,10,11,12	Phosphorus
Non-Traditional	1,4,6,7a-d,8a,9	2,3,5,8b,10,11,12	Phosphorus
LI 27 Embayments	-	-	-
Traditional Land Use	1,2,3,4,7a-d,9,10,11,12	5,6,8a,8b	Pathogens
Traditional Non-Land Use	1,2,3,4,7a-d,9,10,11,12	5,6,8a,8b	Pathogens
Non-Traditional	1,2,3,4,7a-d,9	5,6,8a,8b,10,11,12	Pathogens

1. **Does your MS4/Coalition have an education program addressing impacts of phosphorus/nitrogen/pathogens on waterbodies?** ○ Yes ○ No ○ N/A

2. **Has 100% of the MS4/Coalition conveyance system been mapped in GIS?** ○ Yes ○ No ○ N/A

   If N/A, go to question 3.

   If No, estimate what percentage of the conveyance system has been mapped so far. ___ %

   Estimate what percentage was mapped in this reporting period. ___ %

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

3. **Does your MS4/Coalition have a Stormwater Conveyance System (infrastructure) Inspection and Maintenance Plan Program?** ○ Yes ○ No ○ N/A

4. **Estimate the percentage of on-site wastewater treatment systems that have been inspected and maintained or rehabilitated as necessary in this reporting period?** ___ %

5. **Has your MS4/Coalition developed a program that provides protection equivalent to the NYSDEC SPDES General Permit for Stormwater Discharges from Construction Activities (GP-0-08-001) to reduce pollutants in stormwater runoff from construction activities that disturb five thousand square feet or more?** ○ Yes ○ No ○ N/A

6. **Has your MS4/Coalition developed a program to address post-construction stormwater runoff from new development and redevelopment projects that disturb greater than or equal to one acre that provides equivalent protection to the NYS DEC SPDES General Permit for Stormwater Discharges from Construction Activities (GP-0-08-001), including the New York State Stormwater Design Manual Enhanced Phosphorus Removal Standards?** ○ Yes ○ No ○ N/A

7a. **Does your MS4/Coalition have a retrofitting program to reduce erosion or phosphorus/nitrogen/pathogen loading?** ○ Yes ○ No ○ N/A

7b. **How many projects have been sited in this reporting period?** ___

7c. **What percent of the projects included in 7b have been completed in this reporting period?** ___ %

7d. **What percent of projects planned in previous years have been completed?** ___ %

○ No Projects Planned

8a. **Has your MS4/Coalition developed and implemented a turf management practices and procedures policy that addresses proper fertilizer application on municipally owned lands?** ○ Yes ○ No ○ N/A

8b. **Has your MS4/Coalition developed and implemented a turf management practices and procedures policy that addresses proper disposal of grass clippings and leaves from municipally owned lands?** ○ Yes ○ No ○ N/A

MS4 Annual Report Form

This report is being submitted for the reporting period ending March 9, 2014

If submitting this form as part of a joint report on behalf of a coalition leave SPDES ID blank.

Name of MS4/Coalition: CITY OF RYE

SPDES ID: NYR20A381

9. Has your MS4/Coalition developed and implemented a program of native planting?
○ Yes ○ No ○ N/A

10. Has your MS4/Coalition enacted a local law prohibiting pet waste on municipal properties and prohibiting goose feeding?
○ Yes ○ No ○ N/A

11. Does your MS4/Coalition have a pet waste bag program?
○ Yes ○ No ○ N/A

12. Does your MS4/Coalition have a program to manage goose populations?
○ Yes ○ No ○ N/A

CITY COUNCIL AGENDA

NO. 17 DEPT.: City Manager's Office DATE: June 11, 2014

CONTACT: Frank J. Culross, City Manager

ACTION: Resolution to revise the Mission Statement for the Finance Committee.

FOR THE MEETING OF:
June 11, 2014

RYE CITY CODE,
CHAPTER
SECTION

RECOMMENDATION: That the Council review the revised Mission Statement for the Finance Committee.

IMPACT: ☐ Environmental ☐ Fiscal ☐ Neighborhood ☒ Other:

BACKGROUND: The Finance Committee was established by City Council resolution on Feb. 5, 1997 to consist of seven members. At the meeting of 3-1-06 the membership was increased from 7 to 9 members at the City Council meeting of March 1, 2006. The Committee has made a request to revise the Committee's Mission Statement.

See attached amended Mission Statement.

Mission Statement

The Finance Committee is an independent research and advisory committee with a dual mission:

1) To act in an advisory capacity to the City Council, reviewing, commenting, and advising on proposals, initiatives and financial issues.

2) To inform Rye residents about financial issues, challenges, and opportunities affecting the community.

The committee's primary concern is the long-term financial stability of the city and the prudent use of city resources for the maintenance of existing and creation of needed assets and programs.

CITY COUNCIL AGENDA

NO. 18 DEPT.: City Council DATE: June 11, 2014

CONTACT: Julie Killian, City Councilmember

AGENDA ITEM: Presentation on Smart Parking Technology.

FOR THE MEETING OF:
June 11, 2014

RYE CITY CODE,
CHAPTER
SECTION

RECOMMENDATION:

IMPACT: ☐ Environmental ☒ Fiscal ☐ Neighborhood ☐ Other:

BACKGROUND:

A preliminary presentation will be made on Smart Parking Technology by Jerom Theunissen, a Rye High School Senior who is doing an internship with the Sustainability Committee.

CITY COUNCIL AGENDA

NO. 19 DEPT.: City Manager's Office DATE: June 11, 2014

CONTACT: Frank J. Culross, City Manager

AGENDA ITEM: Resolution ratifying the appointment of one member to the Emergency Medical Services Committee for a three-year term ending June 30, 2017.

FOR THE MEETING OF:
June 11, 2014

RYE CITY CODE,
CHAPTER
SECTION

RECOMMENDATION: Approval by Mayor and City Council of the appointment of Mr. Bart DiNardo, the City of Rye Representative, to the Emergency Medical Services Committee.

IMPACT: ☐ Environmental ☐ Fiscal ☐ Neighborhood ☐ Other:

BACKGROUND:

The term of Bart DiNardo, the Community Representative to the Emergency Medical Services Committee from the City of Rye, will expire on June 30, 2014. Section 3A of the Inter-Municipal Agreement states that the community representatives shall be "recommended by the Corps and ratified by joint resolution of the municipalities." The City of Rye and the Villages of Port Chester and Rye Brook have joined in this inter-municipal cooperative.

Mr. DiNardo has expressed his willingness to continue as the City of Rye's representative and the Corps recommends his reappointment. The City of Rye resolution will then be sent to the Village of Rye Brook and the Village of Port Chester for approval.

See attached.

PORT CHESTER-RYE-RYE BROOK
EMERGENCY MEDICAL SERVICES

5/24/14

Mr. Frank Culross
Manager
The City of Rye
1051 Boston Post Rd.
Rye, N.Y. 10580

Dear Mr. Culross:

The Inter-Municipal Agreement for Emergency Medical Services established the Emergency Medical Services Committee (EMSC). The Term of Mr. Bart DiNardo, the Community Representative to the Committee from the City of Rye will expire on June 30th 2014. Mr. DiNardo has expressed his desire to continue as Rye's representative.

Section 3A of the Inter-Municipal Agreement states that the community representative shall be "recommended by the Corps and ratified by joint resolution of the municipalities". In accordance with the agreement, I respectfully submit Bart DiNardo for reappointment to the EMSC for a term of three (3) years, ending June 30, 2017. I request that the City of Rye originate the "joint resolution", which I will then forward to the Village of Rye Brook and the Village of Port Chester for approval.

Sincerely,

Scott T. Moore
EMS Administrator

Cc: Bart DiNardo

"Caring for the Community since 1968"
417 Ellendale Avenue Port Chester, N.Y. 10573
Phone: 914-939-8112 Fax: 914-939-1075 EMSADM2@aol.com

CITY COUNCIL AGENDA

NO. 20 DEPT.: Engineering DATE: June 11, 2014

CONTACT: Ryan X. Coyne, City Engineer

ACTION: Award bid for the Annual Street Resurfacing contract (Contract #2014-02).

FOR THE MEETING OF:
June 11, 2014

RYE CITY CODE,
CHAPTER
SECTION

RECOMMENDATION: That Contract #2014-02 be awarded to the low bidder, Bilotta Construction Corp., in the amount of four hundred eighty-six thousand one hundred fifty-six dollars ($486,156.00) as recommended by the City Engineer.

IMPACT: Environmental ☒ Fiscal ☐ Neighborhood ☐ Other:

BACKGROUND: The Engineering Department has prioritized a list of streets for resurfacing. Utility companies have been notified of the selected streets in order to coordinate construction activities and avoid excavation of new roadways.

The City Engineer's recommendation and bid results are attached for your review.

CITY OF RYE
Engineering Department

Interoffice Memorandum

To: Frank Culross, City Manager

From: Ryan Coyne, PE, City Engineer

Date: June 6, 2014

Subject: **Annual Street Resurfacing Program**
Contract 2014-02

I have checked and tabulated the three bids received on June 5, 2014 for the above contract. A copy of the bid results is attached.

I recommend the bid be awarded to the low bidder, Bilotta Construction Corp., in the amount of $486,156.00. Bilotta is the lowest responsive and responsible bidder. Sufficient funds exist within the Capital Budget for this project to be awarded.

I have attached a list of recent projects that they were required to submit with their bid. As can be seen, they have experience with similar street resurfacing projects, as well as with other site construction work.

In the recent past, Bilotta was the City's contractor for the *Annual Sidewalk Replacement* project for 2013. They have performed well for the City in the past and I have no reason to believe that will change at this time.

Please feel free to contact me should you need additional information.

BILOTTA CONSTRUCTION CORP

296 PURCHASE ST
RYE, NY 10580

2011 –2012 2013 COMPLETED PROJECTS

Westchester Country Club Resurfacing	$ 100,340.15	Nov 2013
Village of Ossining Bituminous Matl Laid in Place 2013 Paul Fraioli Pe 941 4660	$ 73,858.92	Nov 2013
Town of Cortlandt Resurfacing 2013 Contr # TE2013.03 Ed Vergano 734 1060	$ 1,024,736.79.	Nov 2013
Town of North Castle Bituminous Laid In Place 2013 Jamie Norris supt highway 273-3561	$ 581,633.34	Nov 2013
Town of North Castle Roadway Repair Various Locations Jamie Norris Supt Highway 273-3561	$ 2,400,861.30	Oct 2013
Town of Mt Kisco Columbus Ave Road Improvmnt Cont # 2013-9 Dolph Rotfeld Engineer 631 8600	$ 334.671.77	Oct 2013
Town of Mt Pleasant Resurfacing 2013 Total to date Peter Sciliano 769 1045	$ 408,746.05	OCT 2013
Village of Briarcliff Manor Resurf 2013	$ 304,593.68	Oct 2013
Town of Yorktown Resurfacing 2013 (Thru 2011 Resurf contract) Eric DeBartolo 962 5781	$ 1,282,312.61	SEPT 2013
Town of Cortlandt Rte 35/ 202 Improvement Edward Vergano, PE 734-1060	$ 2,251,985.12	SEPT 2013
NYSDOT D262218 RTE 134 Road Improvements Ossining NY Michael Ruddy, EIC	$ 1,620,193	SEPT 2013
Westchester Cty Contr # 11-551 Resurf & Addl Imp West & East Main Street Peekskill,NY Scott Donnelly 995-2505	$1,983,643.64	AUG/2013

Village of Croton on Hudson Resurfacing 2013	$128,634 .51	Aug 2013
Village of Pleasantville Resurfacing 2013 Jeffrey Econom	$ 110,518.72	Aug 2013
Town of Pound Ridge Resurfacing 2013 Vinnie Duffield	$ 153,099.74	Aug 2013
Town of Harrison West St Re-alignment Mike Amedeo PE 835-2000	$ 161,294.04	June 2013
Town of Cortlandt Continental Village Water Main Water Main Replacement 6100 LF 8" Edward Vergano, PE 734-1060	$ 889,706.15	May 2013
Village of Rye Brook Resurfacing 2012 Michal Nowak 939 0753	$437,721.99	May 2013
Town of Cortlandt Manor Resurfacing 2012 Ed Vergano, PE 914 734-1060	$792,846.00	Dec 2012
Town of North Castle Resurfacing 2012	TOWN DID NO QTYS 2012	
Town of North Salem Keeler Lane Hunt Lane Rt121 Repair & Re-pave Paul Volpicelli Hahn Engineers 845-279-2220	$ 188,632.02	Oct 2012
Village of Port Chester Resurfacing 2012 Dolph Rotfeld, PE Rotfeld Engineering 914 631 8600	$ 372974.00	Oct 2012
Village of Briarcliff Manor Ed Torhan 914 941v9105	$207,947.19	Oct 2012
City of Peekskill Resurfacing 2012 Greg Rich 914 734 4135	$ 216,574.05	Oct 2012
Toll Brothers Westchester Estates @ Wilson Park Tarrytown, NY Site Work & Improvements Casey Devlin	$ 1,018,984.42	10/12
Village of Ossining Resurfacing 2012 Paul Fraioli, PE 914 941 4660	$ 48,309.66	8/12

Town of Ossining Misc Resurfacing 2012 Paul Fraioli PE 914 941 4660	$ 27,831.92	8/12
Town of Pound Ridge Resurfacing 2012 Vinnie Duffield Highway Super 914 755 4514	$ 94,638.00	8/12
Town of New Castle Resurfacing 2012 Anthony Vaccaro Comm. 914 238-3968	$ 396,041.00	8/12
Town of Pleasantville Resurfacing 2012 Jeff Econom PE 914 769 1690	$ 165,180.00	8/12
Town of Mt Pleasant Resurfacing 2012 Peter Sciliano 914 769 1045	$ 267,000.00	8/12
Westchester Cty Contr # 05-508 June Rd Reconst Scott Donnelly 914 995 8110 North Salem	$ 3,122,913.93	7/12
Town of Yorktown Resurfacing 2012 (thru 2011 contract) Eric DeBartolo 962-5781	$ 714,162.12	7/12
Westchester County Contr # 10-044 Sprout Brook Final Closure phase 3 –Cortlandt Manor,NY Leah Radko PE/Scott Donnelly 914 995 8110	$ 5,900,013.93	6/12
westchester County Cont # 11-507 Improvements to Roads and Areas Phase I Georges Island Park, Blue Mountain and Croton Gorge Park Nick Rienzi /Scott Donnelly 995 8110	$ 1,391,482.00	5/12
Village of Rye Brook Resurfacing 2011 Michael Nowak 914 939 0753	$ 321,806.36	12/11
Village of Briarcliff Manor Central Drive Water Main Dave Turiano/ Ed Torhan 941 9105 740 LF 8" DIP	$ 130,580.59	12/11
Town/Village of Harrison Sidewalk& Resurfacing 2011 Mike Amedeo PE 835-2000	$ 694,993.88	10/11
Town of Mt Pleasant Water Main Replacement Bear Ridge Water Dist. Robert Guena	$ 647,897.22	10/11
Town of Yorktown Resurfacing 2011 Eric DeBartolo 962-5781	$ 314,963.19	9/11

City of New Rochelle North Ave Transit District

Streetscape Phase II Blvd & Memorial Hwy	$ 1,366,661.33	6/11

VA Hospital Resurfacing (Bilotta is subcontractor) Spanish Springs is Prime Contractor Adam Rutherford (775)-425-4000	$1,524,651.92	6/11
Town/Village of Harrison Westchester Avenue/ Woods End Sewer Improvement	$ 270,571.85	6/11
Westchster Cty Contr # 09-555 Rehab Taxiway L @ West Cty Airport	$ 1,959,194.16	Dec 2010
Village of Scarsdale Resurfacing 2010 Frank Diodati 772-1105	$ 481,174.98 Dec 2010	
Town of Cortlandt Resurfacint 2010 Ed Vergano,PE 734-1060	$ 454,898.67 Nov 2010	
Perini Corporation (Bilotta is subcontractor) Asphalt Resurfacing NYSDOT Proj D260694 Rte 9 Peekskill, NY	$ 1,728,894.55	Oct 2010
Village of Ossining Resurfacing 2010 Paul Fraioli	$ 95,776.54 Nov 2010	
Village of Briarcliff Manor Resurfacing 2010 Ed Torhan,Vince D'Addona	$ 227,708.34 Nov 2010	
Liberty Lines Transit Prj 764 Cerrato Spill Contnment & Water Treatmnt Syst Ray Periera	$ 292,594.00 Nov 2010	
City of Rye Pedestrian Safety Improvements George Mottarella,PE 967-7676	$ 631,571.97 Oct 2010	
City of Rye Hewlett Ave Sanitary Sewar	$ 230,056.47 Oct 2010	

BILOTTA CONSTRUCTION CORP.

Asphalt Paving & Utility Contractor
296 Purchase Street Rye, New York 10580
(914)967-2944 Fax: (914)967-2946
Email: Bilottaconst@verizon.net

Westchster Cty Contr # 09-555 Rehab Taxiway L @ West Cty Airport	$ 1,959,194.16 Dec 2010
Village of Scarsdale Resurfacing 2010 Frank Diodati 772-1105	$ 481,174.98 Dec 2010
Town of Cortlandt Resurfacint 2010 Ed Vergano,PE 734-1060	$ 454,898.67 Nov 2010
Perini Corporation (Bilotta is subcontractor) Asphalt Resurfacing NYSDOT Proj D260694 Rte 9 Peekskill, NY	$ 1,728,894.55 Oct 2010
Village of Ossining Resurfacing 2010 Paul Fraioli	$ 95,776.54 Nov 2010
Village of Briarcliff Manor Resurfacing 2010 Ed Torhan,Vince D'Addona	$ 227,708.34 Nov 2010
Liberty Lines Transit Prj 764 Cerrato Spill Contnment & Water Treatmnt Syst Ray Periera	$ 292,594.00 Nov 2010
City of Rye Pedestrian Safety Improvements George Mottarella,PE 967-7676	$ 631,571.97 Oct 2010
City of Rye Hewlett Ave Sanitary Sewar	$ 230,056.47 Oct 2010
Town of Mt Pleasant Resurfacing 2010	$ 262,475.08 Sept 2010
ugni & Son West Cty Airport Hangar E Sewer John Hsu West Cty	$ 87,595.51 Sept 2010
own of Yorktown Resurfacing ric DeBartolo	$ 283,691.22 Sept 2010

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Email: Bilottaconst@verizon.net

City of White Plains #902773 580 LF 12" DIP Water Main Rep E. Post Rd Rte 22 20 LF 10" DIP 20 LF 8" Brian Murphy PE 422-1215 40 LF 6" 25 LF 4"	$ 284,926.66 Sept 2010
Westchester Cty 07-541 Bedford Center Rd Reconstruction John Hsu	$ 1,797,781.50 Aug 2010
City of Rye Oakland Beach Ave Re-alignment	$ 169,131.39 Aug 2010
City of New Rochelle- Lincoln Ave Improvements Contr # 04-497.1P2 John Clemente, PE 654-2131	$ 4,042,793.39 AUG 2010
City of Rye Resurfacing 2009-2010 Extension George Mottarella, Pe July 2010	$ 335,496.88
Willow Ridge Country Club Water Service Line Installation Scott Garvin June 2010	$ 189,565.03
Town of Somers Single Course Overlay Resurfacing Tom Chiaverini June 2010	$ 564,000.48
nternational Sheet Metal Workers Union Brewster Hall Parking Lot Reconstruction June 2010	$ 194,000.00
City of New Rochelle- Lincoln Ave Improvements Contr # 04-497.1P2 ohn Clemente, PE 654-2131	$ 3,609,283.45 Dec 2009
City of New Rochelle- North Avenue Streetscape Phase I	$ 1,567,612.69 June 2010
Village of Rye Brook Edgewood Rd Detention Basin -M.Nowak Rye Brook 939-0668 Dolph Rotfeld Consultant 009 completed prjs	$ 663,126.16 May 2010

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Asphalt Paving & Utility Contractor
296 Purchase Street Rye, New York 10580
(914)967-2944 Fax: (914)967-2946
Email: Bilottaconst@verizon.net

Village of Croton on Hudson Resurfacing 2009
Dan O'Connor, PE 271-4783 $ 235,365.36
Nov 2009

Town/Village of Harrison
Century Trail Sewer Improvements
Robert Wasp, PE 835-2000 Nov 2009 $ 99,870.52

City of Peekskill Street Resurfacing 2009
Various Locations
Dave Greener 734-4130 $ 695,899.21
Nov 2009

City of Peekskill- Main & No. Division Streetscape
Dave Greener $ 1,264,584.74
Nov 2009

Putnam County Resurfacing 2009
Contr # RFB 14-09 $ 711,821.85
Harold Gary (845) 878-6331 Nov 2009

Town of Bedford Resurfacing 2009
Various Locations Phase I July 09 $ 171,177.73
Phase II Sept-Oct 09 $ 231,908.13

City of Rye Resurfacing 2009
Various Locations
George Mottarella, PE 967-7658 $ 689,506.87
Oct 2009

Town of New Castle Resurfacing
Various Locations
Anthony Vaccaro 238-4771 Aug 2009 $ 156,489.66

Con Ed Peekskill Main/No Division Interference $ 195,730.69
Aug 2009

Westchester County
Contr # 06-543 Taxiway A Rehab $ 3,089,502.86
Westchester County Airport July 2009

BILOTTA CONSTRUCTION CORP.

Asphalt Paving & Utility Contractor
296 Purchase Street Rye, New York 10580
(914)967-2944 Fax: (914)967-2946
Email: Bilottaconst@verizon.net

City of Rye- Elm Street Retaining Wall Replcmt George Mottarella, PE 967-7658	$ 1,164,041.92 May 2009
Town of Yorktown Hunterbrook Sewer District Sharon Robinson PE 962-5722 May 2009	$ 1,264,599.88
Town of Harrison Resurfacing 2008 Robert Wasp PE May 2009	$ 789,461.38
Westchester County Contr # 04-526 West Cty Center Parking Lot	$ 2,542,422.54 April 2009
Westchester County Contr # 08-209 Culvert & Security Fence Replacmnt	$ 92,688.33 FEB 2009

Annual Street Resurfacing Program - Contract 2014-02
Bid Tabulation

				1. Bilotta Construction Corp.		2. ELQ Industries, Inc.		3. PCI Industries	
Item Number	Item	Unit	Quantity	Unit Price	Total Price	Unit Price	Total Price	Unit Price	Total Price
48A	Milling of Asphalt Roads (3"-6" depth)	SY	20,600	$4.75	$97,850.00	$4.09	$84,254.00	$5.50	$113,300.00
83SB	Pre-Coated Non-Woven Fabric Reinforcement (18" Wide Mat)	LF	12,000	$2.39	$28,680.00	$3.50	$42,000.00	$5.00	$60,000.00
97AC	Remove and Replace Asphalt Curb	LF	2,500	$9.70	$24,250.00	$13.00	$32,500.00	$10.00	$25,000.00
W604.07	Remove, Replace, and Adjust Manhole Castings for Resurfacing Work	EA	5	$475.00	$2,375.00	$760.00	$3,800.00	$950.00	$4,750.00
203.02	Unclassified Excavation	CY	100	$50.00	$5,000.00	$70.00	$7,000.00	$65.00	$6,500.00
304.15	Subbase Course, Optional Type - Coarse Aggregate CA1 (3/4" Crushed Stone)	CY	8	$41.00	$328.00	$60.00	$480.00	$95.00	$760.00
403.138902	Hot Mix Asphalt, Type 3 Binder	Tons	700	$96.23	$67,361.00	$96.00	$67,200.00	$105.00	$73,500.00
403.178902	Hot Mix Asphalt, Type 6 Top	Tons	2,400	$96.23	$230,952.00	$95.00	$228,000.00	$105.00	$252,000.00
605.0901	Underdrain Filter Type 1	CY	100	$41.00	$4,100.00	$68.00	$6,800.00	$75.00	$7,500.00
605.1606	Perforated Polyvinyl Chloride Underdrain Pipe	LF	600	$11.00	$6,600.00	$20.00	$12,000.00	$5.00	$3,000.00
608.0101	Concrete Sidewalks and Driveways	CY	8	$525.00	$4,200.00	$640.00	$5,120.00	$650.00	$5,200.00
608.21	Embedded Detectable Warning Unit	SY	9	$160.00	$1,440.00	$240.00	$2,160.00	$400.00	$3,600.00
619.01	Basic Work Zone Traffic Control	LS	1	$8,000.00	$8,000.00	$12,500.00	$12,500.00	$15,000.00	$15,000.00
685.11	White Epoxy Reflectorized Pavement Stripes, 20 mils	LF	1,200	$0.41	$492.00	$0.40	$480.00	$0.60	$720.00
685.12	Yellow Epoxy Reflectorized Pavement Stripes, 20 mils	LF	10,800	$0.41	$4,428.00	$0.40	$4,320.00	$0.60	$6,480.00
698.04	Asphalt Price Adjustment	DC	100	--	$100.00	--	$100.00	--	$100.00
	Engineer's Total			**$486,156.00**		**$508,714.00**		**$577,410.00**	
	Contractor's Total			$486,156.00		$508,714.00		$577,410.00	

Bid Opening:
June 5, 2014

CITY COUNCIL AGENDA

NO. 20A DEPT.: City Council DATE: June 11, 2014

CONTACT: Mayor Joseph A. Sack

AGENDA ITEM: One appointment to the Board of Architectural Review for a three-year term, by the Mayor with Council approval.

FOR THE MEETING OF:
June 11, 2014

RYE CITY CODE,
CHAPTER
SECTION

RECOMMENDATION: That the Council approve the appointment of John Barrett.

IMPACT: ☐ Environmental ☐ Fiscal ☐ Neighborhood ☐ Other:

BACKGROUND:

Current Committee Members	Expiration Date
Carmen Aguilar, Chair	1-1-15
Kathy Grainger Hobbins	1-1-17
Kevin Grainger	1-1-17
Holly Kennedy	1-1-16
Lisa Hogan Luthringer	1-1-17
VACANCY	
VACANCY	

CITY COUNCIL AGENDA

NO. 21 DEPT.: City Manager DATE: June 11, 2014

CONTACT: Frank J. Culross, City Manager

AGENDA ITEM: Appeal of denial of FOIL requests by Timothy Chittenden.

FOR THE MEETING OF:
June 11, 2014
RYE CITY CODE,
CHAPTER
SECTION

RECOMMENDATION: That the Council make a decision on the nine FOIL appeals.

IMPACT: ☐ Environmental ☐ Fiscal ☐ Neighborhood ☐ Other:

BACKGROUND: The following nine FOIL Requests were appealed by the requestor:

1) Foil Request 7159561150: All reports received or written by the City of Rye or their agents with regard to the RGC Investigation since the February 27, 2013 Summary Report of the City Council's Investigation into the Rye Golf Club including but not limited to any forensic audits performed.
FOIL Response: The Request was denied with the response: "There are additional forensic reports that the City has received but the documents(numbering a few hundred pages) are exempt from FOIL under POL 87(2)(e)(i) as the matter for which they were created is still part of an active investigation and could interfere with law enforcement investigations and judicial proceedings. Other than the above forensic reports, there are no other responsive documents to your request. If you wish to appeal this denial you can do so by directing your appeal to the City Council by way of the City Clerk."
Appeal Reason: Records not provided

2) Foil Request 7180311059: All FOIL Requests submitted by the Journal News/LoHud since 1/1/2013 including all responses from the City of Rye and all documents provided to them.
FOIL Response: currently in progress
Appeal Reason: Records not provided

3) FOIL Request 7204141720: All receipts submitted by every member of the Rye Police Department for reimbursement of police uniforms since April 1, 2013 and every purchase order submitted by the Rye Police Department for uniforms since 1/1/2010
FOIL Response: Requestor was provided with two files containing 112 pages of records.
Appeal Reason: Requestor believes there are more records which were not provided

4) FOIL Request 7220811612: All Rye Police Department ring sheets, activity sheets, calls for service log, cad dispatch reports, incident reports, overtime claims and time off requests for March 18, 2014.
FOIL Response: Requestor was provided with nine files containing 40 pages of records.
Appeal Reason: Records had not provided at the time of Appeal; they were provided on 5/29/14

5) FOIL Request 7220841622: All car to car computer transmissions, HQ to car and car to HQ computer transmissions, all license plates run through on any car or HQ computer, all video or digital recordings of in and outside of HQ, all recordings of the HQ phones from 4 p.m. to midnight (B-Tour) on March 18, 2014.
FOIL Response: currently in progress
Appeal Reason: Records not provided

6) FOIL Request 7306681424: All letters, memorandums, correspondence and all other documents concerning the suspension, resignation and reinstatement of Rye Police Auxiliary Police Officer John Holmes since 1/1/2009.
FOIL Response: currently in progress
Appeal Reason: Records not provided

7) FOIL Request 7306701431: All e-mails to and from William Connors, Robert Falk and any City of Rye official including but not limited to the Rye City Council, City Manager, Corporation Counsel and the City Clerk concerning the arrest of John Holmes, the suspension of John Holmes, the reinstatement of John Holmes and any uniforms provided by John Holmes since 1/1/2013.
FOIL Response: currently in progress
Appeal Reason: Records not provided

8) FOIL Request 7306731435: All records of all overtime worked by Robert Falk since 1/1/2013.
FOIL Response: currently in progress
Appeal Reason: Records not provided

9) FOIL Request 7349411204: All records of the Motor Vehicle Accident that occurred on the night of May 2, 2014, on Boston Post Road at or near the intersection with Sonn Drive including but not limited to all incident reports, all accident reports, photos and diagrams from all agencies, all cad dispatch reports, all reports and cad dispatch reports for all other involved agencies dispatched and all supplementary reports.
FOIL Response: The Request was denied with the response:"The record responsive to this FOIL request is exempt under POL, Sec:87(2) (e) (i). If you wish to appeal this denial you may do so by directing your appeal to the City Council by way of the City Clerk."
Appeal Reason: The requestor is appealing the denial of records as he believes the requested records could be redacted and supplied.

CITY COUNCIL AGENDA

NO. 22 DEPT.: City Manager DATE: June 11, 2014

CONTACT: Frank J. Culross, City Manager

AGENDA ITEM: Appeal of denial of FOIL request by David McKay Wilson.

FOR THE MEETING OF:
June 11, 2014

RYE CITY CODE,
CHAPTER
SECTION

RECOMMENDATION: That the Council make a decision on the FOIL appeal.

IMPACT: ☐ Environmental ☐ Fiscal ☐ Neighborhood ☐ Other:

BACKGROUND: The following FOIL Request was appealed by the requestor:

FOIL Request 7281801504: a copy of the document sent from the state of NY, to the Rye City Assessors Department in 2014, which indicates that more than 400 Rye homeowners should be removed from the list of those receiving an exemption under the state's STAR program. The document includes the names and addresses of those who were deemed ineligible to receive the STAR exemption.

FOIL Response: ”The document that you requested is exempt pursuant to RPTL Section 425 and Public Officer's Law Section 87 (2) (b). If you wish to appeal this denial you may do so by directing your appeal to the City Council by way of the City Clerk.”

Appeal Reason: The requestor is appealing the denial of records as he believes it is not “an unwarranted invasion of personal privacy” since the list requested includes just the name and address of those to be removed from the STAR program and does not include any personal information. He notes that the names and addresses of those who receive the STAR exemption are already available to the public on the town assessment rolls.